

# YASH TRADING AND FINANCE LIMITED

CIN: L51900MH1985PLC036794

Registered Office: Bagri Niwas, 53/55, N. M. Path, Mumbai - 400002

Corporate Office: 1207-A, P.J. Towers, Dalal Street, Fort, Mumbai – 400001

Tel.: +91-22-2272 0000

Email: [yashtradingandfinancelimited@gmail.com](mailto:yashtradingandfinancelimited@gmail.com) website: [www.yashtradingfinance.com](http://www.yashtradingfinance.com)

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Date: 1<sup>st</sup> September, 2021

To,  
**Department of Corporate Services**  
**BSE Limited**  
P.J. Towers,  
Dalal Street, Fort,  
Mumbai – 400 001

**Ref: Yash Trading and Finance Limited (Scrip Code: 512345)**  
**Subject: Notice of 36<sup>th</sup> Annual General Meeting of the Company**

Dear Sir/Madam,

Pursuant to SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Notice of 36<sup>th</sup> Annual General Meeting of the Company for the financial year 2020-21 to be held on Thursday, 30<sup>th</sup> September, 2021 at 10:00 A.M. at 1207/A,

**Yash Trading and Finance Limited**

**Sadiq Patel**  
**Director**

**DIN-06911684**

SADIQ  
DAWOOD  
D PATEL

Digitally signed  
by SADIQ

DAWOOD PATEL

Date: 2021.09.01

15:30:01 +05'30'

Enclosed as above

**NOTICE**

**NOTICE** is hereby given that the 36<sup>th</sup> Annual General Meeting of Yash Trading and Finance Limited will be held at 1207/A, P.J. Towers, Dalal Street, Fort, Mumbai – 400 001 on Thursday, 30<sup>th</sup> September, 2021 at 10:00 A.M. to transact the following business:

**Ordinary Business**

1. To receive, consider and adopt the Audited Standalone Financial Statements of the Company for the financial year ended 31<sup>st</sup> March, 2021, together with the Reports of the Directors and the Auditors thereon.
2. To appoint a Director in place of Mr. Bharat C. Bagri (holding DIN 01379855), who retire by rotation and being eligible offers himself for re-appointment.
3. To fix the remuneration of M/s. Chaturvedi Sohan & Co., Chartered Accountants, Statutory Auditors of the Company

To consider and, if thought fit, to pass, with or without modification(s), the following resolution as an ordinary resolution:

“**RESOLVED THAT** pursuant to the provisions of Section 142 and all other applicable provisions of the Companies Act, 2013, read with the Companies (Audit and Auditors) Rules, 2014 (including any statutory modification(s) or re-enactment(s) thereof for the time being in force) and pursuant to the resolution passed by the members of the Company at the 32<sup>nd</sup> Annual General Meeting held on 29<sup>th</sup> September, 2017 in respect of the appointment of M/s. Chaturvedi Sohan & Co., Chartered Accountants (Firm Registration No. 118424W) till the conclusion of the 37<sup>th</sup> Annual General Meeting, the Board of Directors be and is hereby authorised to fix the remuneration of Statutory Auditors for the period commencing from the year 2020-21, until the conclusion of the 37<sup>th</sup> Annual General Meeting of the Company.”

**Special Business**

4. Re-appointment of Mr. Sadiq Patel (DIN: 06911684) as Whole Time Director of the Company

To consider and, if thought fit, to pass the following resolution as a **Special Resolution**:

“**RESOLVED THAT** as recommended by Nomination and Remuneration Committee, pursuant to the provisions of Sections 196, 197 and 203 of the Companies Act, 2013 (“Act”) read with Schedule V to the Act and the Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014 (including any statutory modification(s) and enactment(s) thereof for the time being in force), the consent of the members be and is hereby accorded for the re-appointment of Mr. Sadiq Patel (DIN: 06911684) as a Whole Time Director of the Company for a period of 5 years, with effect from 30<sup>th</sup> September, 2021 with the terms and conditions including remuneration as set out in the Explanatory Statement annexed to the Notice convening this Annual General Meeting and as recommended by Nomination and Remuneration Committee (“Committee”) and approved by the Board, with liberty to the Board of Directors (including Committee) to alter and vary the terms and conditions of the said re-appointment / remuneration in such manner as deemed fit necessary.

**RESOLVED FURTHER THAT** the remuneration payable to Mr. Sadiq Patel, shall not exceed the overall ceiling of the total managerial remuneration as provided under section 197 and Schedule V of the Companies Act, 2013 or such other limits as may be prescribed from time to time.

**RESOLVED FURTHER THAT** where in any financial year during the currency of his tenure, the Company has no profits or its profits are inadequate, the remuneration payable to Mr. Sadiq Patel, Whole Time Director by way of salary, perquisites and allowances shall not exceed the maximum remuneration payable in accordance with Section II of Part II of Schedule V of the Companies Act, 2013 with liberty to the Board / Committee to decide the breakup of the remuneration from time to time in consultation with the Whole Time Director.

**RESOLVED FURTHER THAT** Mr. Sadiq Patel, Whole Time Director be entrusted with such powers and perform such duties as may from time to time be delegated / entrusted to him subject to the supervision and control of the Board.

**RESOLVED FURTHER THAT** the Board be and is hereby authorised to do all such acts, deeds, matters and things as may be considered necessary, usual or expedient, to give effect to the aforesaid resolution.”

By Order of the Board of Directors  
For Yash Trading and Finance Limited

Krishna Mehta  
(Company Secretary)

Registered Office: Bagri Niwas, 53/55, N.M. Path, Mumbai – 400 002.

Mumbai, August 6, 2021

**Notes:**

1. An Explanatory statement pursuant to Section 102(1) of the Companies Act, 2013 ('the Act') and Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 pertaining to Item No.4 to be transacted at the Annual General Meeting ('AGM') is annexed hereto.
2. A MEMBER ENTITLED TO ATTEND AND VOTE AT THE ANNUAL GENERAL MEETING ("THE MEETING") IS ENTITLED TO APPOINT A PROXY TO ATTEND AND VOTE INSTEAD OF HIMSELF AND SUCH A PROXY NEED NOT BE A MEMBER.
3. As per the provisions of section 105 of the Companies Act, 2013, a person can act as a proxy on behalf of members not exceeding 50 and holding in aggregate not more than 10 per cent of the total share capital of the Company carrying voting rights. A member holding more than 10 per cent of the total share capital of the Company carrying voting rights may appoint a single person as proxy and such person shall not act as a proxy for any other person or shareholder.
4. Brief profile and other required information in respect of the Directors proposed to be appointed/re-appointed, in terms of Regulation 36(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and Secretarial Standard on General Meetings (SS-2) is enclosed with this notice. Requisite declarations have been received from the Directors seeking appointment / re-appointment.
5. The instrument appointing proxy/proxies in order to be effective, must be lodged at the Registered Office of the Company not later than 48 hours before the commencement of the meeting. A proxy form for the AGM is enclosed.
6. During the period beginning 24 hours before the time fixed for the commencement of the meeting and ending with the conclusion of the meeting, a member would be entitled to inspect the proxies lodged at any time during the business hours of the Company, provided that not less than three days of notice in writing is given to the Company.
7. Members/proxies/authorized representatives should bring duly filled Attendance slip enclosed herewith to attend the meeting mentioning therein details of their DP ID and Client ID/Folio No.
8. In case of joint holders attending the AGM, only such joint holder whose name appear first in order of names will be entitled to vote. Corporate Members are requested to send a duly certified copy of the Board Resolution authorizing their representative(s) to attend and vote on their behalf at the AGM.
9. The Register of Directors and Key Managerial Personnel and their shareholding, maintained u/s 170 of the Companies Act, 2013, will be available for inspection by the members at the AGM. Members are requested to write to [yashtradingandfinancelimited@gmail.com](mailto:yashtradingandfinancelimited@gmail.com) for inspection of all the relevant documents referred to in the accompanying Notice and Explanatory Statement up to the date of the AGM.
10. The Register of Contracts or Arrangements in which the Directors are interested, maintained u/s 189 of the Companies Act, 2013 will be available for inspection by the members at the AGM. Members are requested to write to [yashtradingandfinancelimited@gmail.com](mailto:yashtradingandfinancelimited@gmail.com) for inspection of all the relevant documents referred to in the accompanying Notice and Explanatory Statement up to the date of the AGM.
11. Members desirous of obtaining any information concerning the accounts and operations of the Company are requested to address their questions in writing to the Company Secretary at least seven days before the date of the Meeting, so that the information required may be made available at the Meeting.
12. The Register of Members and Share Transfer Books will remain closed from **Friday, 24<sup>th</sup> September, 2021 to Thursday, 30<sup>th</sup> September, 2021 (both days inclusive)**, for the purpose of AGM.
13. Members holding shares in physical form are requested to approach for updating or change in their bank details, correspondence including change of address, mandates, etc. to the Registrar and Transfer Agents of the Company ('RTA') viz. Purva Sharegistry (India) Private Limited, Unit No.9, Shiv Shakti Industrial Estate, Near Lodha Excelus J.R. Boricha Marg, Lower Parel (East), Mumbai-400 011 and members holding shares in dematerialized form should approach their respective Depository Participants for the same.
14. The Members who still hold share certificate(s) in physical form are advised to dematerialize their shareholding to avail the benefits of dematerialization, which includes easy liquidity, since trading is permitted in dematerialized form only, electronic transfer, savings in stamp duty and elimination of any possibility of loss of documents. Further, effective April 1, 2019 requests for effecting transfer of securities are not being processed unless the securities are held in a dematerialized form with a depository except in case of transmission or transposition of securities as per SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended. Therefore, the Members who still hold share certificate(s) in physical form are advised to dematerialize their shareholding at the earliest.
15. Non-resident Indian members are requested to inform the RTA, M/s. Purva Sharegistry (India) Private Limited, Mumbai immediately about:
  - Change in their residential status on return to India for permanent settlement
  - Particulars of their bank account maintained in India with complete name, branch, account type, account number and address of the bank with pin code number, if not furnished earlier.
16. Members may avail nomination facility as provided under Section 72 of Companies Act, 2013. Members holding shares in physical form are advised to make nomination in respect to their shareholding with the Company or RTA and those holding shares in Dematerialized form are advised to make nomination through their Depository Participant.

17. SEBI has also mandated that for registration of transfer of securities, the transferor(s) or transferee(s) shall furnish a copy of their PAN card to the Company for registration of transfer of securities.
18. To prevent fraudulent transactions, Members are advised to exercise due diligence and notify the Company of any change in address or demise of any Member as soon as possible. Members are also advised not to leave their demat account(s) dormant for long. Periodic statement of holdings should be obtained from the concerned DP and holdings should be verified.
19. With a view to using natural resources responsibly, we request shareholders to update their email address with their Depository Participants to enable the Company to send communications electronically. Members who require communication in physical form in addition to e-communication, or have any other queries, may write to us at [yashtradingandfinancelimited@gmail.com](mailto:yashtradingandfinancelimited@gmail.com)
20. The Annual Report for 2020-21 is being sent through electronic mode only to the members whose email addresses are registered with the Company/Depository Participant(s), unless any member has requested for the physical copy of the report. Further, the Members holding shares in physical form or other Members who have not registered their email address with the Company can get the same registered by approaching the Registrar and Transfer Agents of the Company (RTA) viz. M/s. Purva Sharegistry (India) Private Limited, Unit No.9, Shiv Shakti Industrial Estate, Near Lodha Excelus J.R. Boricha Marg, Lower Parel (East), Mumbai-400 011, Email ID – [support@purvashare.com](mailto:support@purvashare.com) mentioning Name & address of the Members along with a self-attested copy of the PAN card and self-attested copy of any document i.e Driving License, Election Identity card, Passport for address proof. Members holding shares in demat form are requested to update their email address with their Depository.
21. Members may also note that the notice of the 36<sup>th</sup> AGM and the Annual Report for 2020-21 will be available on the Company's website [www.yashtradingfinance.com](http://www.yashtradingfinance.com)
22. The Securities and Exchange Board of India (SEBI) has mandated the submission of Permanent Account Number (PAN) by every participant in the securities market. Members holding shares in electronic form are, therefore, requested to submit their PAN to their Depository Participant(s). Members holding shares in physical form are required to submit their PAN details to the Company.

#### **Voting through electronic means:**

23. In terms of Regulation 44 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and Section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014 as amended and MCA Circulars the Company is pleased to provide the e-voting facility to its Members holding shares in physical or dematerialized form, as on the cut-off date, being **Thursday, September 23, 2021** to exercise their right to vote by electronic means on any or all of the businesses specified in the Notice. For this purpose, the Company has entered into an agreement with National Securities Depository Limited (“NSDL”) for facilitating voting through electronic means. The facility of casting votes by a member using Remote e-voting as well as the e-voting system on the date of the AGM will be provided. The facility for voting through ballot paper shall be made available at the AGM and the members attending the meeting who have not cast their vote by remote e-voting shall be able to exercise their right at the meeting through ballot paper.
24. The remote e-voting period commences on **Monday, 27<sup>th</sup> September, 2021 at 10:00 Hrs and will end on Wednesday, 29<sup>th</sup> September, 2021 at 17:00 Hrs**. During this period members' of the Company, holding shares either in physical form or in dematerialized form, as on the **cut-off date** (record date) of **Thursday, September 23, 2021**, may cast their vote by remote e-voting. The remote e-voting module shall be disabled by NSDL for voting thereafter. Once the vote on a resolution is cast by the member, the member shall not be allowed to change it subsequently.
25. A person who is not a Member as on cut-off date should treat this Notice for information purposes only. Any Person who becomes a Member of the Company after dispatch of Notice and holding shares as on cut-off date shall also follow the procedure stated herein. The voting rights of the Members shall be in proportion to their shares of the paid-up equity share capital of the Company as on the cut-off date, subject to the provisions of the Companies Act, 2013, as amended.
26. The Board of Directors has appointed Ms. Sonam Jain, ~~Practicing~~ Company Secretary as the Scrutinizer to scrutinize the voting and remote e-voting process in a fair and transparent manner. The Results on above resolutions shall be declared not later than two working days from the conclusion of AGM of the Company and the resolutions will be deemed to be passed on the AGM date subject to receipt of the requisite number of votes in favour of the resolutions.
27. **THE INSTRUCTIONS OF SHAREHOLDERS FOR REMOTE E-VOTING ARE AS UNDER:**
  - (i) The remote e-voting period begins on **Monday, 27<sup>th</sup> September, 2021 at 10:00 Hrs and will end on Wednesday, 29<sup>th</sup> September, 2021 at 17:00 Hrs**. During this period shareholders' of the Company, holding shares either in physical form or in dematerialized form, as on the cut-off date (record date) of **Thursday, September 23, 2021** may cast their vote electronically. The e-voting module shall be disabled by NSDL for voting thereafter.

#### **How do I vote electronically using NSDL e-Voting system?**

##### **Step 1: Access to NSDL e-Voting system**

##### **A) Login method for e-Voting for Individual shareholders holding securities in demat mode**

Pursuant to SEBI Circular No. SEBI/HO/CFD/CMD/ CIR/P/2020/242 dated December 9, 2020, under Regulation 44 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, listed entities are required to provide remote e-voting facility to its shareholders, in respect of all shareholders' resolutions.

Currently, there are multiple e-voting service providers (ESPs) providing e-voting facility to listed entities in India.

This necessitates registration on various ESPs and maintenance of multiple user IDs and passwords by the shareholders.

In order to increase the efficiency of the voting process, pursuant to a public consultation, it has been decided to enable e-voting to all the demat account holders, by way of a single login credential, through their demat accounts/ websites of Depositories/ Depository Participants. Demat account holders would be able to cast their vote without having to register again with the ESPs, thereby, not only facilitating seamless authentication but also enhancing ease and convenience of participating in e-voting process.

In terms of SEBI circular no. SEBI/HO/CFD/CMD/ CIR/P/2020/242 dated December 9, 2020 on e-Voting facility provided by Listed Companies, Individual shareholders holding securities in demat mode are allowed to vote through their demat account maintained with Depositories and Depository Participants. Shareholders are advised to update their mobile number and email Id in their demat accounts in order to access e-Voting facility.

Pursuant to the above SEBI Circular, Login method for e-Voting and joining virtual meetings for Individual shareholders holding securities in Demat mode is given below:

| Type of shareholders   | Login Method  |
|--|---|
| Individual Shareholders Holding securities in Demat mode with CDSL             | <ol style="list-style-type: none"> <li>Existing Users who have opted for CDSL Easi / Easiest facility, can login through their existing user id and password. Option will be made available to reach e-Voting page without any further authentication. The URL for users to login to Easi / Easiest are <a href="https://web.cdslindia.com/myeasi/home/login">https://web.cdslindia.com/myeasi/home/login</a> or visit <a href="http://www.cdslindia.com">www.cdslindia.com</a> and click on Login icon and select New System Myeasi.</li> <li>After successful login of Easi/Easiest the user will be also able to see the E Voting Menu. The Menu will have links of <b>e-Voting service provider i.e. NSDL</b>. Click on <b>NSDL</b> to cast your vote</li> <li>If the user is not registered for Easi/Easiest, option to register is available at <a href="https://web.cdslindia.com/myeasi/Registration/EasiRegistration">https://web.cdslindia.com/myeasi/Registration/EasiRegistration</a></li> <li>Alternatively, the user can directly access e-Voting page by providing Demat Account Number and PAN No. from a e-Voting link available on <a href="http://www.cdslindia.com">www.cdslindia.com</a> home page. The system will authenticate the user by sending OTP on registered Mobile &amp; Email as recorded in the Demat Account. After successful authentication, user will be provided links for the respective ESP i.e. <b>NSDL</b> where the e-Voting is in progress.</li> </ol>   |
| Individual Shareholders Holding securities in demat mode with NSDL             | <ol style="list-style-type: none"> <li>If you are already registered for <b>NSDL IDeAS facility</b>, please visit the e-Services website of NSDL. Open web browser by typing the following URL: <a href="https://eservices.nsd.com">https://eservices.nsd.com</a> either on a Personal Computer or on a mobile. Once the home page of e-Services is launched, click on the <b>“Beneficial Owner”</b> icon under <b>“Login”</b> which is available under <b>‘IDeAS’</b> section. A new screen will open. You will have to enter your User ID and Password. After successful authentication, you will be able to see e-Voting services. Click on <b>“Access to e-Voting”</b> under e-Voting services and you will be able to see e-Voting page. Click on company name or <b>e-Voting service provider - NSDL</b> and you will be re-directed to e-Voting service provider website for casting your vote during the remote e-Voting period or joining virtual meeting &amp; voting during the meeting.</li> <li>If the user is not registered for IDeAS e-Services, option to register is available at <a href="https://eservices.nsd.com">https://eservices.nsd.com</a>. Select <b>“Register Online for IDeAS”</b> Portal or click at <a href="https://eservices.nsd.com/SecureWeb/IdeasDirectReg.jsp">https://eservices.nsd.com/SecureWeb/IdeasDirectReg.jsp</a></li> <li>Visit the e-Voting website of NSDL. Open web browser by typing the following URL: <a href="https://www.evoting.nsd.com/">https://www.evoting.nsd.com/</a> either on a Personal Computer or on a mobile. Once the home page of e-Voting system is launched, click on the icon <b>“Login”</b> which is available under <b>‘Shareholder/Member’</b> section. A new screen will open. You will have to enter your User ID (i.e. your sixteen digit demat account number hold with NSDL), Password/OTP and a Verification Code as shown on the screen. After successful authentication, you will be redirected to NSDL Depository site wherein you can see e-Voting page. Click on company name or <b>e-Voting service provider – NSDL</b> and you will be redirected to e-Voting service provider website for casting your vote during the remote e-Voting period or joining virtual meeting &amp; voting during the meeting.</li> </ol> |
| Individual Shareholders (holding securities in demat mode) login through their | You can also login using the login credentials of your demat account through your Depository Participant registered with NSDL/CDSL for e-Voting facility. After Successful login, you will be able to see e-Voting option. Once you click on e-Voting option, you will be redirected to NSDL/CDSL Depository site after successful  |

|                                |   |
|--------------------------------|---|
| <b>Depository Participants</b> | authentication, wherein you can see e-Voting feature. Click on company name or e-Voting service provider name and you will be redirected to e-Voting service provider website for casting your vote during the remote e-Voting period or joining virtual meeting & voting during the meeting. |
|--------------------------------|---|

**Important note:** Members who are unable to retrieve User ID/ Password are advised to use Forget User ID and Forget Password option available at abovementioned website.

**Helpdesk for Individual Shareholders holding securities in demat mode for any technical issues related to login through Depository i.e. CDSL and NSDL**

| <b>Login type</b>   | <b>Helpdesk details</b>   |
|---|---|
| Individual Shareholders holding securities in Demat mode with <b>NSDL</b> | Members facing any technical issue in login can contact NSDL helpdesk by sending a request at <a href="mailto:evoting@nsdl.co.in">evoting@nsdl.co.in</a> or call at toll free no.: 1800 1020 990 and 1800 22 44 30              |
| Individual Shareholders holding securities in Demat mode with <b>CDSL</b> | Members facing any technical issue in login can contact CDSL helpdesk by sending a request at <a href="mailto:helpdesk.evoting@cdslindia.com">helpdesk.evoting@cdslindia.com</a> or contact at 022-23058738 and 22-23058542-43. |

**B) Login Method for shareholders other than Individual shareholders holding securities in demat mode and shareholders holding securities in physical mode.**

**How to Log-in to NSDL e-Voting website?**

- Visit the e-Voting website of NSDL. Open web browser by typing the following URL: <https://www.evoting.nsdl.com/> either on a Personal Computer or on a mobile.
- Once the home page of e-Voting system is launched, click on the icon “Login” which is available under ‘Shareholder/Member’ section
- A new screen will open. You will have to enter your User ID, your Password and a Verification Code as shown on the screen.

- Your User ID details are given below :

| <b>Manner of holding shares i.e. Demat (NSDL or CDSL) or Physical</b> | <b>Your User ID is:</b>   |
|---|---|
| a. For Members who hold shares in demat account with NSDL.            | 8 Character DP ID followed by 8 Digit Client ID<br>For example if your DP ID is IN300*** and Client ID is 12***** then your user ID is IN300***12*****    |
| b. For Members who hold shares in demat account with CDSL             | 16 Digit Beneficiary ID<br>For example if your Beneficiary ID is 12***** then your user ID is 12*****   |
| c. For Members holding shares in Physical Form                        | EVEN Number followed by Folio Number registered with the company<br>For example if folio number is 001*** and EVEN is 117353 then user ID is 117353001*** |

- Your password details are given below:
  - If you are already registered for e-Voting, then you can use your existing password to login and cast your vote
  - If you are using NSDL e-Voting system for the first time, you will need to retrieve the ‘initial password’ which was communicated to you. Once you retrieve your ‘initial password’, you need to enter the ‘initial password’ and the system will force you to change your password.
  - How to retrieve your ‘initial password’
    - If your email ID is registered in your demat account or with the company, your ‘initial password’ is communicated to you on your email ID. Trace the email sent to you from NSDL from your mailbox. Open the email and open the attachment i.e. a .pdf file. Open the .pdf file. The password to open the .pdf file is your 8 digit client ID for NSDL account, last 8 digits of client ID for CDSL account or folio number for shares held in physical form. The .pdf file contains your ‘User ID’ and your ‘initial password’.
    - If your email ID is not registered, please follow steps mentioned below in **process for those shareholders whose email ids are not registered.**
- If you are unable to retrieve or have not received the “ Initial password” or have forgotten your password:
  - Click on “**Forgot User Details/Password?**”(If you are holding shares in your demat account with NSDL or

- CDSL) option available on [www.evoting.nsdl.com](http://www.evoting.nsdl.com).
  - **Physical User Reset Password?”** (If you are holding shares in physical mode) option available on [www.evoting.nsdl.com](http://www.evoting.nsdl.com).
  - If you are still unable to get the password by aforesaid two options, you can send a request at [evoting@nsdl.co.in](mailto:evoting@nsdl.co.in) mentioning your demat account number/folio number, your PAN, your name and your registered address.
- vii. After entering your password, tick on Agree to “Terms and Conditions” by selecting on the check box
- viii. Now, you will have to click on “Login” button
- ix. After you click on the “Login” button, Home page of e-Voting will open

### **Step 2 - Cast your vote electronically on NSDL e-Voting system**

- x. After successful login at Step 1, you will be able to see all the companies “EVEN” in which you are holding shares and whose voting cycle and General Meeting is in active status.
- xi. Select “EVEN” of “Yash Trading and Finance Limited” to cast your vote during the remote e-Voting period. **EVEN (Remote e-voting Event Number) is 117353.**
- xii. Now you are ready for e-Voting as the Voting page opens.
- xiii. Cast your vote by selecting appropriate options i.e. assent or dissent, verify/modify the number of shares for which you wish to cast your vote and click on “Submit” and also “Confirm” when prompted.
- xiv. Upon confirmation, the message “Vote cast successfully” will be displayed.
- xv. You can also take the printout of the votes cast by you by clicking on the print option on the confirmation page.
- xvi. Once you confirm your vote on the resolution, you will not be allowed to modify your vote.

### **28. General Guidelines for shareholders**

- i. Institutional shareholders (i.e. other than individuals, HUF, NRI etc.) are required to send scanned copy (PDF/JPG Format) of the relevant Board Resolution/ Authority letter etc. together with attested specimen signature of the duly authorized signatory(ies) who are authorized to vote, to the Scrutinizer through e-mail to [cssonamjain3@gmail.com](mailto:cssonamjain3@gmail.com) with a copy marked to [evoting@nsdl.co.in](mailto:evoting@nsdl.co.in)
- ii. It is strongly recommended not to share your password with any other person and take utmost care to keep your password confidential. Login to the e-voting website will be disabled upon five unsuccessful attempts to key in the correct password. In such an event, you will need to go through the “**Forgot User Details/Password**” or “**Physical User Reset Password**” option available on [www.evoting.nsdl.com](http://www.evoting.nsdl.com) to reset the password
- iii. In case of any queries, you may refer the Frequently Asked Questions (FAQs) for Shareholders and e-voting user manual for Shareholders available at the download section of [www.evoting.nsdl.com](http://www.evoting.nsdl.com) or call on toll free no.: 1800-222-990 or send a request at [evoting@nsdl.co.in](mailto:evoting@nsdl.co.in)

### **Process for those shareholders whose email ids are not registered with the depositories for procuring user id and password and registration of e mail ids for e-voting for the resolutions set out in this notice:**

- i. In case shares are held in physical mode please provide Folio No., Name of shareholder, scanned copy of the share certificate (front and back), PAN (self-attested scanned copy of PAN card), Aadhar (self-attested scanned copy of Aadhar Card) by email to (Company email id).
  - ii. In case shares are held in demat mode, please provide DPID-CLID (16 digit DPID + CLID or 16 digit beneficiary ID), Name, client master or copy of Consolidated Account statement, PAN (self-attested scanned copy of PAN card), AADHAR (self-attested scanned copy of Aadhar Card) to [yashtradingandfinancelimited@gmail.com](mailto:yashtradingandfinancelimited@gmail.com). If you are an Individual shareholders holding securities in demat mode, you are requested to refer to the login method explained at **Step 1 (A) i.e. Login method for e-Voting and joining virtual meeting for Individual shareholders holding securities in demat mode.**
  - iii. Alternatively shareholder/members may send a request to [evoting@nsdl.co.in](mailto:evoting@nsdl.co.in) for procuring user id and password for e-voting by providing above mentioned documents.
  - iv. In terms of SEBI circular dated December 9, 2020 on e-Voting facility provided by Listed Companies, Individual shareholders holding securities in demat mode are allowed to vote through their demat account maintained with Depositories and Depository Participants. Shareholders are required to update their mobile number and email ID correctly in their demat account in order to access e-Voting facility.
27. You can also update your mobile number and e-mail id in the user profile details of the folio which may be used for sending future communication(s).
28. The voting rights of members shall be in proportion to their shares of the paid up equity share capital of the Company as on the cut-off date of **Thursday, 23<sup>rd</sup> September, 2021.**
29. Any person, who acquires shares of the Company and become member of the Company after dispatch of the notice and holding shares as of the cut-off date i.e. **Thursday, 23<sup>rd</sup> September, 2021,** may obtain the login ID and password by sending a request at [evoting@nsdl.co.in](mailto:evoting@nsdl.co.in) or [support@purvashare.com](mailto:support@purvashare.com)

30. A person, whose name is recorded in the register of members or in the register of beneficial owners maintained by the depositories as on the cut-off date only shall be entitled to avail the facility of remote e-voting as well as voting at the AGM through ballot paper.
31. The Chairman shall, at the AGM, at the end of discussion on the resolutions on which voting is to be held, allow voting with the assistance of scrutinizer, by use of “remote e-voting” or “Ballot Paper” for all those members who are present at the AGM but have not cast their votes by availing the remote e-voting facility.
32. The results shall be declared on or after the AGM of the Company. The results declared along with the Scrutinizers’ Report shall be placed on the Company’s website [www.yashtradingfinance.com](http://www.yashtradingfinance.com) and on the website of NSDL within two days the passing of the resolutions at the 36<sup>th</sup> AGM of the Company on 30<sup>th</sup> September, 2021 and communicated to the BSE Ltd. within the prescribed period.
33. The route map showing directions to reach the venue of the 36<sup>th</sup> AGM is annexed.



**Annexure to AGM Notice dated 6<sup>th</sup> August, 2021****Details of Director seeking appointment / re-appointment at the 36<sup>th</sup> Annual General Meeting of the Company:**

|  |  |  |
|--|--|--|
| <b>Name</b>  | <b>Bharat C. Bagri<br/>(DIN: 01379855)</b>   | <b>Sadiq Patel<br/>(DIN: 06911684)</b>   |
| <b>Date of Birth</b>   | 20-Nov-1949  | 11-Nov-1977  |
| <b>Qualification</b>   | M.Com  | H.S.C.   |
| <b>Brief Profile</b>   | Bharat C. Bagri is M.Com and has over four decades of experience in the Capital Markets. He has been on the board of BSE Limited (formerly Stock Exchange, Mumbai) as a Director in 1988-89 and served for many years in the BSE Arbitration Committee and BSE Broker Consultative Committee. He is the trustee of Bikaner Seva Sangh, Mumbai and Maheshwari Pragati Mandal, Mumbai.   | Mr. Sadiq Patel is currently employed in the Financial Service industry with experience of over a decade. He is associated with the Company since 2014 and is involved in day to day working of the Company. |
| <b>Expertise in specific functional areas</b>  | Financial Services   | Financial Services   |
| <b>Relationships between directors inter-se</b>  | Nil  | Nil  |
| <b>Shares held in the Company</b>  | Nil  | Nil  |
| <b>Directorship in the other Company</b>   | BCB Brokerage Private Limited (U67120MH2000PTC129742)<br>BCB Cap-Mkt Private Limited (U65990MH2014PTC260168)<br>BCB Commodities Private Limited (U65999MH2015PTC261823)<br>BCB Stock Brokers Private Limited (U67100DN2014PTC000466)<br>PT Land Developers Private Limited (U70100MH2017PTC292829)<br>UB Shelter Land Holdings Private Limited (U70109GJ2016PTC092782)<br>BCB Tech Solutions Private Limited (U72900MH2020PTC339792) | Nil  |
| <b>Chairman / Member of the Committee of other Companies on which he/she is a Director</b> | Nil  | Nil  |

By Order of the Board of Directors  
For Yash Trading and Finance Limited

Krishna Mehta  
Company Secretary  
Registered Office: Bagri Niwas, 53/55, N.M. Path, Mumbai – 400 002.

Place: Mumbai  
Date: 6<sup>th</sup> August, 2021

### Explanatory statement

As required by Section 102 of the Companies Act 2013 and SS-2 the following Explanatory Statement set out all material facts relating to the business mentioned under item no. 4 of the accompanying Notice dated 6<sup>th</sup> August, 2021

**Item No.4:**

At the 32<sup>nd</sup> AGM of the Company held on 30<sup>th</sup> September, 2017, the Members of the Company had approved the appointment and terms of remuneration of Mr. Sadiq Patel (DIN: 06911684), Whole Time Director of the Company for a period of 5 years w.e.f. 30<sup>th</sup> September, 2017.

Based on the recommendation of the NRC and keeping in view his past experience, the Board of Directors of the Company at its meeting held on 6<sup>th</sup> August, 2021, has re-appointed Mr. Sadiq Patel as a Whole Time Director for a period of 5 years w.e.f. 30<sup>th</sup> September, 2021, subject to approval of the members of the Company.

The terms and condition of his re-appointment are as under:

**Term:** 5 years w.e.f. 30<sup>th</sup> September, 2021

**Remuneration:**

1. Basic Salary: Rs. 2,40,000/- (Rupees Two Lac Forty Thousand Only) per annum, with such increment(s) as may be decided by the Nomination and Remuneration Committee from time to time.
2. Overall Remuneration: The aggregate of salary, together with perquisites, allowance, benefits and amenities payable to Mr. Sadiq Patel in any financial year shall not exceed the limits prescribed from time to time under section 196, 197 of the Act read with Schedule V to the Act and the Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014 (including any statutory modification(s) and enactment(s) thereof for the time being in force);
3. Mr. Sadiq Patel shall not be entitled to any sitting fees for attending meetings of the Board or Committees thereof;
4. The above may be treated as a written memorandum setting out the terms of re-appointment of Mr. Sadiq Patel under Section 190 of the Act. Details of Mr. Sadiq Patel are provided in the “Annexure” to the Notice.

The Company have received declaration from Mr. Sadiq Patel confirming that he satisfies the conditions laid down under Schedule V Part I of Companies Act, 2013. He has also confirmed that he is not debarred from holding the office of Director by virtue of any SEBI Order or any such authority pursuant to circulars dated 20<sup>th</sup> June, 2018 issued by BSE Limited and the National Stock Exchange of India Limited pertaining to enforcement of SEBI Orders regarding appointment of Directors by the listed companies.

Further, he is not disqualified from being appointed as Director in terms of Section 164 of the Act and has given his consent to act as Director.

The Company has received a notice in writing pursuant to Section 160 of the Companies Act, 2013 from a Member proposing the candidature of Mr. Sadiq Patel for his re-appointment to the office of Whole time Director. Since the re appointment is recommended by NRC, requirement of deposit of amount by a member proposing the candidature as provided u/s 160 of the Companies Act, 2013 shall not apply.

Mr. Sadiq Patel is interested in the resolution set out at Item No. 4 of the Notice.

Save and except the above, none of the other Directors / Key Managerial Personnel of the Company / their relatives are, in any way, concerned or interested, financially or otherwise, in the resolution set out at Item No. 4 of the Notice, except as a member of the Company, if any.

The Board recommends the special resolution set out at Item No. 4 of the Notice for approval by the members.

By Order of the Board of Directors  
For Yash Trading and Finance Limited  
Sd/-  
Krisha Mehta  
(Company Secretary)

Registered Office: Bagri Niwas, 53/55, N.M. Path, Mumbai – 400 002.

Place: Mumbai  
Date: 6<sup>th</sup> August, 2021

**YASH TRADING AND FINANCE LIMITED**

CIN: L51900MH1985PLC036794

**Corp. Office:** 1207A, P.J. Towers, Dalal Street, Fort, Mumbai-400 001.**Regd. Office:** Bagri Niwas, 53/55 Nath Madhav Path, Kalbadevi, Mumbai – 400 002.**Tel:** +91 22 2272 0000**Email:** [yashtradingandfinancelimited@gmail.com](mailto:yashtradingandfinancelimited@gmail.com) **Website:** [www.yashtradingfinance.com](http://www.yashtradingfinance.com)**ATTENDANCE SLIP  
36<sup>TH</sup> ANNUAL GENERAL MEETING**

|   |  |                    |  |
|---|--|--------------------|--|
| Regd. Folio No.   |  | No. of Shares held |  |
| DP ID*  |  | Client ID*         |  |
| Full Name of the Member (in Block Letters):   |  |                    |  |
| Name of the Proxy:_(To be filled-in if the Proxy Form has been duly deposited with the Company) |  |                    |  |

I hereby record my presence at the **THIRTY SIXTH ANNUAL GENERAL MEETING** of the Company on Thursday, 30<sup>th</sup> September, 2021 at 10:00 A.M. at 1207/A, P. J. Towers, Dalal Street, Fort, Mumbai – 400 001.

**Members / Proxy's Signature**

(To be signed at the time of handing over this slip)

\* Applicable for members holding shares in electronic form.

Note: Members are requested to bring their copies of the Annual Report to the meeting

**YASH TRADING AND FINANCE LIMITED**

CIN: L51900MH1985PLC036794

Corp. Office: 1207A, P.J. Towers, Dalal Street, Fort, Mumbai-400 001.

Regd. Office: Bagri Niwas, 53/55 Nath Madhav Path, Kalbadevi, Mumbai – 400 002.

Tel: +91 22 2272 0000

Email: [yashtradingandfinancelimited@gmail.com](mailto:yashtradingandfinancelimited@gmail.com) Website: [www.yashtradingfinance.com](http://www.yashtradingfinance.com)**MGT-11  
PROXY FORM**

|                       |  |           |  |
|-----------------------|--|-----------|--|
| Name of the Member(s) |  |           |  |
| Registered Address    |  |           |  |
| E-mail Id             |  |           |  |
| Folio No / DP Id      |  | Client ID |  |

I / We, being the member(s) of shares of the above mentioned Company, hereby appoint

1. Name: \_\_\_\_\_ E-mail Id: \_\_\_\_\_  
Address: \_\_\_\_\_

Signature: \_\_\_\_\_ or failing him/her

2. Name: \_\_\_\_\_ E-mail Id: \_\_\_\_\_  
Address: \_\_\_\_\_

Signature: \_\_\_\_\_ or failing him/her

3. Name: \_\_\_\_\_ E-mail Id: \_\_\_\_\_  
Address: \_\_\_\_\_

Signature: \_\_\_\_\_ or failing him/her

As my / our proxy to attend and vote (on a poll) for me / us and on my / our behalf at the **Thirty Sixth** Annual General Meeting ('AGM') of the Company to be held on the **Thursday, 30<sup>th</sup> September, 2021** at 1207/A, P. J. Towers, Dalal Street, Fort, Mumbai – 400 001 and at any adjournment thereof, in respect of such resolutions as are indicated below:

\*\*I wish my above Proxy to vote in the manner as indicated in the box below:

| Sr. No.                  | Resolution:  | For | Against |
|--------------------------|--|-----|---------|
| <b>Ordinary Business</b> |  |     |         |
| 1.                       | To receive, consider and adopt the Audited Financial Statements of the Company for the year ended 31 <sup>st</sup> March, 2021, together with the Reports of the Directors and the Auditors thereon. |     |         |
| 2.                       | To appoint a Director in place of Mr. Bharat C. Bagri (holding DIN 01379855), who retire by rotation and being eligible offers himself for re-appointment.   |     |         |
| <b>Special Business</b>  |  |     |         |
| 3.                       | Re-appointment of Mr. Sadiq Patel (DIN: 06911684) as Whole Time Director of the Company for term of 5 years w.e.f. 30 <sup>th</sup> September, 2021  |     |         |

Signed this \_\_\_\_\_ day of \_\_\_\_\_, 2021 \_\_\_\_\_

Signature of shareholder(s)

Affix  
Revenue  
Stamp of Re. 1\_\_\_\_\_  
Signature of first proxy holder\_\_\_\_\_  
Signature of Second proxy holder\_\_\_\_\_  
Signature of Third proxy holder**Notes:**

- This form of proxy in order to be effective should be duly completed and deposited at the registered office of the Company not less than 48hrs before the commencement of the meeting.
- A proxy need not be a member of the Company
- A person can act as a proxy on behalf of members not exceeding fifty and holding in the aggregate not more than 10% of the total share capital of the Company carrying voting rights may appoint a single person as proxy and such person shall not act as proxy for any other person or shareholders.
- \*\*This is only optional. Please put a 'X' in the appropriate column against the resolutions indicated in the Box. If you leave 'For' or 'Against' column blank against any or all the resolutions, your proxy will be entitled to vote in the manner as he/she thinks appropriate.
- Appoint a proxy does not prevent member from attending the meeting in person if he so wishes.
- In the case of joint holders, the signature of any one holder will be sufficient, but name of all the joint holders should be stated.

**YASH TRADING AND FINANCE LIMITED**

CIN: L51900MH1985PLC036794

Corp. Office: 1207A, P.J. Towers, Dalal Street, Fort, Mumbai-400 001.

Regd. Office: Bagri Niwas, 53/55 Nath Madhav Path, Kalbadevi, Mumbai – 400 002.

Tel: +91 22 2272 0000

Email: [yashtradingandfinancelimited@gmail.com](mailto:yashtradingandfinancelimited@gmail.com) Website: [www.yashtradingfinance.com](http://www.yashtradingfinance.com)**PAPER – MGT -12  
BALLOT PAPER / POLLING PAPER**

|   |  |
|---|--|
| <b>Name(s) of Member(s) :</b><br>(In BLOCK/CAPITAL LETTERS) |  |
| <b>Registered Address :</b>                                 |  |
| <b>DP ID / Client ID* or Registered Folio No.:</b>          |  |
| <b>No. of equity shares held :</b>                          |  |

I/We hereby exercise my/our vote in respect of the following resolution(s) as set out in the Notice of 36<sup>th</sup> Annual General Meeting ('AGM') of Company scheduled to be held on **Thursday, 30<sup>th</sup> September, 2021** at 10:00 A.M. at 1207/A, P.J. Towers, Dalal Street, Fort, Mumbai-400 001, which is proposed to be placed for consideration of members at the aforesaid Annual General Meeting of the Company, by conveying my/our assent and/or dissent to the said Resolution(s) in the relevant box as stated herein below:

| <b>Resolution No.</b>    | <b>Resolution</b>  | <b>No. of Equity Share(s) held</b> | <b>I/We assent to the resolution (For)*</b> | <b>I/We dissent to the resolution (Against)*</b> |
|--------------------------|--|------------------------------------|---|--|
| <b>Ordinary Business</b> |  |                                    |   |  |
| 1.                       | To receive, consider and adopt the Audited Financial Statements of the Company for the year ended 31 <sup>st</sup> March, 2021, together with the Reports of the Directors and the Auditors thereon. |                                    |   |  |
| 2.                       | To appoint a Director in place of Mr. Bharat C. Bagri (holding DIN 01379855), who retire by rotation and being eligible offers himself for re-appointment.   |                                    |   |  |
| <b>Special Business</b>  |  |                                    |   |  |
| 3.                       | Re-appointment of Mr. Sadiq Patel (DIN: 06911684) as Whole Time Director of the Company for term of 5 years w.e.f. 30 <sup>th</sup> September, 2021  |                                    |   |  |

\*Please put a tick mark (✓) in appropriate column against the resolution(s) indicated above. In case of member/proxy wishes his/her vote to be used differently, he/she should indicate the number of shares under the columns 'For' and/or 'Against'.

Place:

Date:

-----  
Signature of Member

### INSTRUCTIONS

1. This Ballot Paper is provided, pursuant to Regulation 4(2) (a) (iii) read with rule 44 of SEBI (Listing Obligation and Disclosure Requirements) Regulation, 2015 to enable the shareholder(s) or their proxy(ies) for voting by way of Ballot Paper(s), who does not have access to e-voting facility and /or who have not voted through e-voting, so that they can also participate in voting through this physical Ballot Paper.
2. A Member can opt for only one mode of voting i.e. either through e-voting or by Ballot paper if a Member casts votes by both modes, then voting done through remote e-voting shall prevail and voting by Ballot paper shall be treated as invalid.
3. The Scrutinizer will collate the votes downloaded from the e-voting system and votes received through physical ballot paper from member(s) at the venue of AGM for declaring the final result for each of the resolutions forming part of 36<sup>th</sup> AGM Notice of Company.

#### **Process and manner for Members opting to vote by using the Ballot Paper:**

1. Please complete and sign this Ballot Paper and drop in the locked ballot box placed in the meeting hall for voting purpose with respect to 36<sup>th</sup> AGM of the Company as scheduled on 30<sup>th</sup> September, 2021.
2. This ballot Paper should be signed by the Member (s) as per the specimen signature(s) registered with Registrar and Transfer Agent of the Company viz. M/s. Purva Sharegistry (India) Private Limited or by their proxy(ies) duly authorized by the member. In case of joint holding, the ballot Paper should be completed and signed by the first name Member and in his/her absence, by the next name joint holder or by their proxy(ies) duly authorized by any one of the joint holders. A Power of Attorney (POA) holder may vote on behalf of a Member, mentioning the registration number of the POA registered with the Company or enclosing and duly attested copy of the POA registered with the Company or enclosing therewith duly attested/notarized copy of the POA.
3. In case the shares are held by companies, trusts, societies, etc. the duly completed Ballot Paper should be accompanied by a certified true copy of the relevant Board Resolution/Authorization document(s) consisting therein the attested signature(s) of authorized person(s).
4. Votes should be cast in case of each resolution either in favour or against by putting the tick (✓) mark in the respective column(s) provided in the Ballot Paper.
5. The voting rights of shareholders shall be in proportion of the shares held by them in the Paid-up Equity Share Capital of the Company as on Thursday, 23<sup>rd</sup> September, 2021 and each fully paid up equity shares carries one voting right.
6. A Member may request Ballot Paper from the Company or they can download the Paper from the website of the Company viz. [www.yashtradingfinance.com](http://www.yashtradingfinance.com), if so required.
7. Unsigned, incomplete, improperly or incorrectly tick marked Ballot Papers will be rejected. The Ballot Papers will also be rejected if it is received torned, defaced or mutilated to the extent which makes it difficult for the Scrutinizer to identify either the Member or when it is not ascertainable that vote(s) have been cast by member (s) in favour or against the resolution or when the signature(s) of member(s) cannot be verified with the available records of registrar & share transfer agent of Company M/s. Purva Sharegistry (India) Private Limited.
8. The decision of the Scrutinizer on the validity of the Ballot Paper(s) and any other allied matter(s) thereto shall be final and binding the on member(s) of Company.
9. The consolidated result for voting done by the members of Company through e-voting & ballot voting for all the resolution(s) placed in the 36<sup>th</sup> AGM of Company and as declared by Chairman/duly authorized person along with respective scrutinizer's report shall be uploaded on the Company's website i.e. [www.yashtradingfinance.com](http://www.yashtradingfinance.com) within 48 hours of conclusion of AGM and on the website of NSDL at [www.evoting.nsdl.com](http://www.evoting.nsdl.com) whenever they upload, and will simultaneously be also forwarded to the stock exchange(s) (viz. BSE Ltd) where the Company's equity shares are listed, as per respective rules/regulations applicable thereto.

**Route Map of the venue of the 36<sup>th</sup> AGM of the Company**

