



SUMITOMO CHEMICAL INDIA LTD.

Corporate Identity Number (CIN) – L24110MH2000PLC124224

Corporate Office: 13/14, Aradhana IDC,
Near Virwani Industrial Estate, Goregaon (East),
Mumbai – 400 063, Maharashtra, INDIA.
Tel. : +91-22-4252 2200 / Fax : +91-22-4252 2380
URL <http://www.sumichem-india.co.in>

Date: 2nd January, 2026

To,
BSE Limited,
Listing Department,
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai – 400 001

The National Stock Exchange of India,
Listing Department,
Exchange Plaza,
Bandra Kurla Complex
Bandra East,
Mumbai – 400 051

Subject: Report on violation related to Code of Conduct under the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015.

Dear Sir/Madam,

Kindly find enclosed herewith the Report on violation related to Code of Conduct under the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015.

We request you to kindly take the same on record.

Thanking You,

Yours faithfully,
For **Sumitomo Chemical India Limited**

Deepika Trivedi
Company Secretary & Compliance Officer

Encl.: As above



Report by Sumitomo Chemical India Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015

[For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015]

[For Intermediaries/ Fiduciaries: Schedule C read with Regulation 9(1) and 9(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015]

Sr. No.	Particulars	Details																					
1	Name of the listed company/ Intermediary/Fiduciary	Sumitomo Chemical India Limited																					
2	Please tick appropriate checkbox Reporting in capacity of : <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company																					
3	A. Details of Designated Person (DP) <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">i. Name of the DP</td><td>Mr. Kaushal Shah</td></tr> <tr> <td>ii. PAN of the DP</td><td>AXBPS8747A</td></tr> <tr> <td>iii. Designation of DP</td><td>General Manager – Bhavnagar Plant</td></tr> <tr> <td>iv. Functional Role of DP</td><td>General Manager</td></tr> <tr> <td>v. Whether DP is Promoter or belongs to Promoter Group</td><td>No</td></tr> </table> B. If Reporting is for immediate relative of DP <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">i. Name of the immediate relative of DP</td><td>Not Applicable</td></tr> <tr> <td>ii. PAN of the immediate relative of DP</td><td></td></tr> </table> C. Details of transaction(s) <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">i. Name of the scrip</td><td>Sumitomo Chemical India Limited</td></tr> <tr> <td>ii. No of shares traded and value (₹.) (Date- wise)</td><td>250 shares were pledged during the week ended 14th November, 2025.</td></tr> </table> D. In case value of trade(s) is more than Rs.10 lacs in a calendar Quarter <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">i. Date of intimation of trade(s) by concerned</td><td rowspan="2">Not Applicable</td></tr> <tr> <td>ii. DP/director/promoter/promoter group to Company</td></tr> </table>	i. Name of the DP	Mr. Kaushal Shah	ii. PAN of the DP	AXBPS8747A	iii. Designation of DP	General Manager – Bhavnagar Plant	iv. Functional Role of DP	General Manager	v. Whether DP is Promoter or belongs to Promoter Group	No	i. Name of the immediate relative of DP	Not Applicable	ii. PAN of the immediate relative of DP		i. Name of the scrip	Sumitomo Chemical India Limited	ii. No of shares traded and value (₹.) (Date- wise)	250 shares were pledged during the week ended 14 th November, 2025.	i. Date of intimation of trade(s) by concerned	Not Applicable	ii. DP/director/promoter/promoter group to Company	
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4	Details of violations observed under Code of Conduct	Mr. Kaushal Shah pledged 250 shares of the Company during the week ended 14 th November, 2025 without obtaining pre- clearance from Compliance Officer to pledge such shares. Hence, the said transaction for pledge of Company's shares was in violation of the Company's Code of Conduct for Prevention of Insider Trading.																					
5	Action taken by Listed company/ Intermediary/ Fiduciary	Penalty of ₹ 5,000/- has been imposed on Mr. Kaushal Shah.																					
6	Reasons recorded in writing for taking action stated above	Reasons stated in para 4 above																					



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7	Details of the previous instances of violations, if any, since	None														
8	<p>If any amount collected for Code of Conduct violation(s)</p> <p>i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)</p> <p>ii. Details of transfer/payment</p> <p>In case of Online:</p> <table border="1"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Name of the transferor</td> <td>Sumitomo Chemical India Limited</td> </tr> <tr> <td>Bank Name, Branch and</td> <td>State Bank of India Jogeshwari (West), Mumbai</td> </tr> <tr> <td>Account number</td> <td>10227272076</td> </tr> <tr> <td>UTR/Transaction reference Number</td> <td>260102256604851</td> </tr> <tr> <td>Transaction date</td> <td>02/01/2026</td> </tr> <tr> <td>Transaction Amount (in ₹)</td> <td>₹ 5,000.00</td> </tr> </tbody> </table> <p>In case of Demand Draft (DD): NA</p>	Particulars	Details	Name of the transferor	Sumitomo Chemical India Limited	Bank Name, Branch and	State Bank of India Jogeshwari (West), Mumbai	Account number	10227272076	UTR/Transaction reference Number	260102256604851	Transaction date	02/01/2026	Transaction Amount (in ₹)	₹ 5,000.00	<p>The Company has recovered ₹ 5,000/- from Mr. Kaushal Shah.</p>
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Name of the transferor	Sumitomo Chemical India Limited															
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9	Any other relevant information	-														

Yours faithfully,

Date: 02/01/2026

Place: Mumbai

Deepika Trivedi
Company Secretary & Compliance Officer

Email ID: deepika.trivedi@sumichem.co.in