



SUMITOMO CHEMICAL INDIA LTD.

Corporate Identity Number (CIN) – L24110MH2000PLC124224

Corporate Office: 13/14, Aradhana IDC,
Near Virwani Industrial Estate, Goregaon (East),
Mumbai – 400 063, Maharashtra, INDIA.
Tel. : +91-22-4252 2200 / Fax : +91-22-4252 2380
URL <http://www.sumichem-india.co.in>

Date: 2nd January, 2026

To,
BSE Limited,
Listing Department,
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai – 400 001

The National Stock Exchange of India,
Listing Department,
Exchange Plaza,
Bandra Kurla Complex
Bandra East,
Mumbai – 400 051

Subject: Report on violation related to Code of Conduct under the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015.

Dear Sir/Madam,

Kindly find enclosed herewith the Report on violation related to Code of Conduct under the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015.

We request you to kindly take the same on record.

Thanking You,

Yours faithfully,
For **Sumitomo Chemical India Limited**

Deepika Trivedi
Company Secretary & Compliance Officer

Encl.: As above



**Report by Sumitomo Chemical India Limited for violations
related to Code of Conduct under SEBI (Prohibition of Insider Trading)
Regulations, 2015**

*[For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of
Insider Trading) Regulations, 2015]*

*For Intermediaries/ Fiduciaries: Schedule C read with Regulation 9(1) and 9(2) of
SEBI (Prohibition of Insider Trading) Regulations, 2015]*

Sr. No.	Particulars	Details
1	Name of the listed company/ Intermediary/Fiduciary	Sumitomo Chemical India Limited
2	Please tick appropriate checkbox Reporting in capacity of : <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3	A. Details of Designated Person (DP)	
	i. Name of the DP	Mr. Kaushal Shah
	ii. PAN of the DP	AXBPS8747A
	iii. Designation of DP	General Manager – Bhavnagar Plant
	iv. Functional Role of DP	General Manager
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	Not Applicable
	ii. PAN of the immediate relative of DP	
	C. Details of transaction(s)	
	i. Name of the scrip	Sumitomo Chemical India Limited
	ii. No of shares traded and value (₹.) (Date- wise)	250 shares were pledged during the week ended 14 th November, 2025.
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar Quarter	
	i. Date of intimation of trade(s) by concerned	Not Applicable
	ii. DP/director/promoter/promoter group to Company	
4	Details of violations observed under Code of Conduct	Mr. Kaushal Shah pledged 250 shares of the Company during the week ended 14 th November, 2025 without obtaining pre-clearance from Compliance Officer to pledge such shares. Hence, the said transaction for pledge of Company’s shares was in violation of the Company’s Code of Conduct for Prevention of Insider Trading.
5	Action taken by Listed company/ Intermediary/ Fiduciary	Penalty of ₹ 5,000/- has been imposed on Mr. Kaushal Shah.
6	Reasons recorded in writing for taking action stated above	Reasons stated in para 4 above



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7	Details of the previous instances of violations, if any, since	None														
8	If any amount collected for Code of Conduct violation(s)	The Company has recovered ₹ 5,000/- from Mr. Kaushal Shah.														
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)															
	ii. Details of transfer/payment															
	In case of Online:															
	<table><tr><th>Particulars Details</th><th>Details</th></tr><tr><td>Name of the transferor</td><td>Sumitomo Chemical India Limited</td></tr><tr><td>Bank Name, Branch and</td><td>State Bank of India Jogeshwari (West), Mumbai</td></tr><tr><td>Account number</td><td>10227272076</td></tr><tr><td>UTR/Transaction reference Number</td><td>260102256604851</td></tr><tr><td>Transaction date</td><td>02/01/2026</td></tr><tr><td>Transaction Amount (in ₹)</td><td>₹ 5,000.00</td></tr></table>		Particulars Details	Details	Name of the transferor	Sumitomo Chemical India Limited	Bank Name, Branch and	State Bank of India Jogeshwari (West), Mumbai	Account number	10227272076	UTR/Transaction reference Number	260102256604851	Transaction date	02/01/2026	Transaction Amount (in ₹)	₹ 5,000.00
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	UTR/Transaction reference Number		260102256604851													
Transaction date	02/01/2026															
Transaction Amount (in ₹)	₹ 5,000.00															
In case of Demand Draft (DD): NA																
9	Any other relevant information	—														

Yours faithfully,

Date: 02/01/2026
Place: Mumbai

Deepika Trivedi
Company Secretary & Compliance Officer

Email ID: deepika.trivedi@sumichem.co.in