

Share India Securities Limited

(CIN: L67120GJ1994PLC115132)

Member: NSE, BSE, MCX, NCDEX & MSEI
Depository Participant with 'CDSL'
SEBI Registered Research Analyst
AMFI Registered Mutual Fund Distributor



April 02, 2025

To, BSE Limited, Scrip code: 540725 To, National Stock Exchange of India Limited, Symbol: SHAREINDIA

Sub: <u>Disclosure under Regulation 30 of the SEBI (Listing Obligations & Disclosure Requirements)</u>

Regulations, 2015

Dear Sir.

Pursuant to Regulation 30 of the Securities and Exchange Board of India ("SEBI") (Listing Obligations & Disclosure Requirements) Regulations, 2015 ("Listing Regulations") read with Para A of Part A to Schedule III of the Listing Regulations, it is hereby informed that SEBI, vide its letter dated March 27, 2025, has issued an administrative warning to the Company following an inspection of its books of account and other records.

The details required as per Schedule III of the Listing Regulations are provided in the Annexure.

In this regard, please be informed that the Company is committed to upholding the highest compliance standards and shall take necessary steps to address the concern/directive mentioned in the letter.

There is no impact on financials, operations or other activities of the Company pursuant to the abovementioned letter.

The Company received the aforesaid letter via post on April 01, 2025.

You are requested to take the above information on your records.

Thanking you,

Yours faithfully, For Share India Securities Limited

Vikas Aggarwal Company Secretary & Compliance Officer M. No. F5512



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Annexure

Details as required under Schedule III of the SEBI Listing Regulations

Particulars	Details of Administrative Warning
Name of the Authority.	Securities and Exchange Board of India ("SEBI").
Nature and details of the action(s) taken, initiated or order(s) passed.	Administrative Warning Letter.
Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority.	The aforementioned Administrative Warning Letter was received by the Company via post on April 01, 2025.
Details of the violation(s)/contravention(s) committed or alleged to be committed.	Under SEBI (Stock Brokers) Regulations, 1992, SEBI conducted an inspection for a theme - verification of client shares pledged and invoked under CUSPA. In this regard, SEBI observed that in case of 10 Clients, the Company had pledged their securities despite having clear credit balance of these clients.
Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible.	There is no impact on the financials, operations, or other activities of the Company.
Other information	The Company had submitted that in all 10 cases, we had released the pledged shares within T+5 trading days as per applicable regulatory guidelines. Based on SEBI's advise, the Company has enhanced
	its compliance standards and taken additional measures in this regard.