

April 3, 2025

The Manager
Listing Department
National Stock Exchange of India Limited
'Exchange Plaza'
C - 1, Block G
Bandra-Kurla Complex
Bandra (E)
Mumbai 400051
SYMBOL – AVADHSUGAR

The Manager
Listing Department
BSE Ltd.
1st Floor, New Trading Ring
Rotunda Building
P.J. Towers
Dalal Street, Fort
Mumbai-400 001
STOCK CODE – 540649

Dear Sir/Madam,

Sub: Disclosure under Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Regulations")

Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Regulations"), we wish to inform you that the Company has received cautionary e-mails dated April 2, 2025 from National Stock Exchange of India Limited ("NSE") and BSE Limited ("BSE") with respect to intimation of the appointment of Vice President (Legal) of the Company on April 11, 2024 not being submitted to NSE and BSE within the prescribed timelines of 30 minutes of conclusion of the Board Meeting.

The relevant details, as required under the SEBI Regulations are enclosed as **Annexure-1**.

The Company will exercise reasonable caution and due diligence in future to avoid recurrence of such lapses.

Copy of the cautionary emails received from NSE and BSE are also enclosed and collectively marked as **Annexure-2**. This disclosure will also be hosted on the Company's website viz. www.birla-sugar.com

This is for your information and appropriate dissemination.

Thanking you,

Yours faithfully,
For Avadh Sugar & Energy Limited

PRASHANT KAPOOR Digitally signed by PRASHANT
KAPOOR
Date: 2025.04.03 12:28:57 +05'30'

Prashant Kapoor
Company Secretary
ACS – 15576

Encl.: As stated



K.K. BIRLA GROUP OF SUGAR COMPANIES

Corporate Office: 5th Floor, Birla Building, 9/1 R. N. Mukherjee Road, Kolkata 700 001

Phone: +91 33 2243 0497 / 8, 2248 7068, 7185 3000, 4082 3700 • Email: birlasugar@birla-sugar.com

Regd. Office: P.O. Hargaon, Dist. Sitapur, U.P., PIN 261 121 • Website : www.birla-sugar.com • CIN: L15122UP2015PLC069635

Annexure - 1

1	Name of the Authority	(i) National Stock Exchange of India Limited (ii) BSE Limited
2	Nature and details of the action(s) taken, or order(s) Passed	Cautionary e-mails.
3	Date of receipt of direction or order, including any interim or interim orders, or any other communication from the authority	April 2, 2025
4	Details of the violation(s)/ contravention(s) committed or alleged to be committed.	Intimation of the appointment of Vice President (Legal) of the Company on April 11, 2024 not being submitted to NSE within the prescribed timelines of 30 minutes of conclusion of the Board Meeting.
5	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible.	No impact on the financial, operations or other activities of the Company.



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Prashant Kapoor

From: neaps@nse.co.in
Sent: 02 April 2025 13:50
To: Prashant Kapoor
Subject: AVADHSUGAR-Cautionary Email

Dear Sir/Madam,

This is in reference to the announcement submitted by the Company on April 11, 2024 related to appointment of Vice President (Legal) of the Company.

As per Regulation 30 read with sub-para 7 of Para A Part A of Schedule III of SEBI (LODR) Regulations, 2015 read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023, in case of appointment of directors, key managerial personnel (Managing Director, Chief Executive Officer, Chief Financial Officer, Company Secretary etc.), senior management, Auditor and Compliance Officer shall be disclosed to the Stock Exchanges by the listed entities within 30 minutes of conclusion of the Board Meeting.

In the above case, the Exchange has observed that the aforesaid intimation was not submitted within the prescribed timelines of 30 minutes of conclusion of the Board Meeting as per sub-para 7 of Para A of Part A of Schedule III of SEBI LODR.

The aforesaid non-compliance on your part is viewed seriously. You are hereby advised to be cautious in future, exercise due diligence and initiate corrective steps to avoid recurrence of such lapses so as to ensure due compliance with the applicable provisions of SEBI LODR and related SEBI circulars. Any aberration in future will be viewed seriously and appropriate action would be initiated.

The Company is required to disseminate a copy of this Cautionary email on the Stock Exchanges where they are listed.

Additionally, the Company is advised to place before their Board of Directors this Cautionary email and the corrective measures taken by the Company to avoid recurrence of such lapses in future.

This is system generated email, you may reach out to the Announcements Team in case of any assistance at takeover@nse.co.in.

Prashant Kapoor

From: Query lodr <query.lodr@bseindia.com>
Sent: 02 April 2025 16:46
To: [REDACTED]
Subject: Cautionary Letter - Avadh Sugar & Energy Ltd (540649)

LIST/COMP/JP/24/2025-
26
2025

Date: April 2,

To,
Company Secretary/ Compliance Officer
Avadh Sugar & Energy Ltd (540649)

Sub: Cautionary letter

Dear Sir/Madam,

This is in reference to the announcement submitted by the Company on April 11, 2024 regarding the appointment of Vice President (Legal) of the Company w.e.f. April 12, 2024.

As per Regulation 30 read with sub-para 7 of Para A of Part A of Schedule III of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('SEBI LODR') read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023, in case of appointment of directors, key managerial personnel (Managing Director, Chief Executive Officer, Chief Financial Officer, Company Secretary etc.), senior management, Auditor and Compliance Officer, the event shall be disclosed to the Stock Exchanges by the listed entities within 30 minutes of conclusion of the Board Meeting.

In the above case, the Exchange has observed that the aforesaid intimation was not submitted within the prescribed timelines of 30 minutes of conclusion of the Board Meeting as per sub-para 7 of Para A of Part A of Schedule III of SEBI LODR.

The aforesaid non-compliance on your part is viewed seriously. You are hereby advised to be cautious in future, exercise due diligence and initiate corrective steps to avoid recurrence of such lapses so as to ensure due compliance with the applicable provisions of SEBI LODR and related SEBI circulars. Any aberration in future will be viewed seriously and appropriate action would be initiated.

The Company is required to disseminate a copy of this Cautionary Letter on the Stock Exchanges where they are listed. Additionally, the Company is advised to place before their Board of Directors this Cautionary Letter and the corrective measures taken by the Company to avoid recurrence of such lapses in future.

Yours faithfully,

Listing Compliance Monitoring Team

BSE Limited

P J Towers, Dalal Street,

Mumbai - 400001, India

www.bseindia.com

Tel: 022 2272 8561 / 8475