Hasti Finance Limited

No. 14 Imperial Hotel Complex, Near Albert Cinema Hall, Whannels Road, Egmore, Chennai 600008, Tamil Nadu CIN: L65191TN1994PLC028333 Email Id: hastifinanceltd@gmail.com

Date: 6th June 2025

To,

The Manager,
Department of Corporate Services,
Bombay Stock Exchange Limited,
PhirozeeJeejeeboy Towers,
Dalal Street, Fort,
Mumbai – 400 001

Scrip Code : 531387 – HASTI FINANCE LIMITED

Sub : Compliance Certificate under Regulation 24(A) of SEBI (LODR) Regulations, 2015.

Dear Sir,

In terms of Regulation 24(A) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the Annual Secretarial Compliance Report is enclosed herewith issued by Somani & Associates, Practicing Company Secretary, for the financial year ended on 31st March, 2025

This is for your information and Records.

Kindly Acknowledge the Receipt.

Yours faithfully,

ForHasti Finance Limited

HASTI FINANCE LTD. MUMBAI

Nitin Prabhudas Somani
Managing Director
(DIN: 00841378)

Somani & Associates
(Practicing Company Secretaries)
Email id:somaniandassociates5@gmail.com

Secretarial Compliance Report of Hasti Finance Limited for the financial year ended 31st March, 2025

To,
The Board of Directors,
Hasti Finance Limited

I, Poonam Somani, Proprietor of Somani & Associates, Company Secretary in practice have examined:

(a) all the documents and records made available to me and explanation provided by Hasti Finance Limited(“the listed entity” or “Company”),

(b) the filings/ submissions made by the listed entity to the stock exchanges,

(c) website of the listed entity,

(d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31st March, 2025 (“Review Period”) in respect of compliance with the provisions of:

(a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and

(b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

(a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;

(b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **(Not applicable during the review period)**

(c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;

(d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **(Not applicable during the review period)**

(e) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021;**(Not applicable to the company during the review period)**

(f) The Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021; **(Not applicable to the company during the review period)**

(g) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

(h) The Securities and Exchange Board of India (Depositories and Participant) Regulations, 2018;

(i) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act and dealing with client.

(j) Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2021**; (Not applicable to the company during the review period)**and circulars/ guidelines issued thereunder and based on the above examination, I hereby report that, during the Review Period:

(a) The listed entity has mostly complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations/ circulars/ Guidelines including specific clause)	Regulation /Circular No.	Deviations	Action Taken by	Type of actions	Details of violation	Fine Amount	Observations/ Remarks of the Practicing Company Secretary	Management Response	Remarks
1.	Regulation 46,47,30 and of SEBI (LODR), Regulations 2015	46, 47 and 30 of SEBI (LODR), Regulations 2015	The Company not maintained the functional website as per Regulation. Company does not publish its financial results under regulation 46.	-	-	The Company not maintained the functional website as per Regulation.Company does not publish its financial results under regulation 46.	NA	The Company not maintained the functional website as per Regulation.Company does not publish its financial results under regulation 46.	They will ensure timely compliance in future	No action from the company on these matters in previous years also.
2.	As per the provisions of Para (D) of Section III-A of Chapter III of SEBI Master Circular No. SEBI/HO/CFD/PoD2/CIR/P/2023/120 dated July 11, 2023.	33 of SEBI (LODR), Regulations 2015	Declaration of unmodified opinion on the auditreport as well as in XBRL with respect to that for the year ended March 31, 2024were not submitted.	BSE Limited	Clarification was sought	Declaration of unmodified opinion on the auditreport as well as in XBRL with respect to that for the year ended March 31, 2024 were not submitted.		Declaration of unmodified auditreport as well as in XBRL with respect that for the year ended March 31, 2024March 31, 2024 were not submitted.	Company submittedDeclaration of unmodified opinion on the auditreport as well as in XBRL with respect to that for the year ended March 31, 2024immediately after clarification sought by BSE.	-

3.	SDD Non-Compliance Reg. 3(5) and/or Reg. 3(6) of SEBI (Prohibition of Insider Trading) Regulations, 2015	Reg. 3(5) and/or Reg. 3(6) of SEBI (Prohibition of Insider Trading) Regulations, 2015	The structured digital databaseha s not been updated completely in the year 2024-25.	BSE	Advisory	The structured digital database has not been updated completely in the year 2024-25.	NA	The structured digital database has not been updated completely in the year 2024-25.	The company do have software already. The company will take immediate action on updating the data in the software.	-
4.	Regulation 13(1) of SEBI (LODR), 2015 read with SEBI circulars no. SEBI/HO/CFD/CMD/CIR/P/2020/12 dated January 22, 2020, and SEBI/HO/OIAE/IGRD/CIR/P/2020/152 dated August 13, 2020 and SEBI/HO/OIAE/IGRD/P/CIR/2022/0150 November 07, 2022.	Regulation 13(1)	The company received investor Complaint on Scores portal and it had delayed to redress the Complaint within 30 days of the complaint.	BSE	Advisory	The company received investor Complaint on Scores portal and it had delayed to redress the Complaint within 30 days of the complaint.	NA	The Company submitted the action taken report after the receipt of Advisory from BSE	Company will ensure timely compliance in future	-
5.	Regulation 14 of SEBI (LODR), Regulations 2015	Regulation 14	The Company delayed in payment of the annual listing fees for the financial year 2024-25	BSE	Reminders for Payments	The Company delayed in payment of the annual listing fees for the financial year 2024-25		The Company delayed in payment of the annual listing fees for the financial year 2024-25	The Company will ensure the timely payment of the fees.	-

(b) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations / Remarks Of the Practicing Company Secretary in the previous reports) (PCS)	Observations made in the secretarial compliance report for the year ended	Compliance Requirement (Regulations/ circulars/ guidelines including specific clause)	Details of violation / deviations and actions taken / penalty imposed, if any, on the listed entity.	Remedial actions, if any, taken by the listed entity	Comments of the PCS on the actions taken by the listed entity
1	Delay in submission of prior intimation of the board of directors meeting for the Quarter ended June 30 2023. Delayed submission of Financial Results for the Quarter ended June & September 30 2023. Late submission of Report under Regulation 24A.	For the financial year ended 31.03.2024	Regulation 33 & Regulation 24A, 29(2)/29(3) of SEBI (Listing Obligation and Disclosure Requirements) Regulations,2015	Delay in Submission of prior intimation of the board of directors meeting for the Quarter ended June 30 2023. Delayed submission of Financial Results for the Quarter ended June & September 30 2023. Late submission of Report under Regulation 24A.	They will ensure timely compliance in future	The company must implement stricter checks and control to comply with provisions.
2	The Company not maintained the Website as per Regulation. Regulation 47 was not complied.	For the financial year ended 31.03.2024, 31.03.2023, 31.03.2022	Regulation 46,47,30 and of SEBI (LODR), Regulations 2015	The Company not maintained the Website as per Regulation. Regulation 47 was not complied.	No remedial actions were taken.	There is non-compliance from years under these regulations.
3.	Delay in submission of Investor Grievances under Regulation 13(3) for Quarter & financial year ended March 2023. Delay in submission of certificate under regulation 74(5) of SEBI(Depositories and Participants) Regulation for the quarter ended march 2023.	For the financial year ended 31.03.2024	Regulation 13(3) of SEBI (LODR), Regulations 2015. Regulation 74(5) of SEBI (Depositories and Participants) Regulation, 2018.	Delay in submission of Investor Grievances under Regulation 13(3) for Quarter & financial year ended March 2023. Delay in submission of certificate under regulation 74(5) of SEBI (Depositories and Participants) Regulation for the quarter ended march 2023.	While submitting the XRBL for the investor grievance report for the quarter March 2023, the company submitted it on 21/04/2023. However some clerical error was there. The corrected report was submitted again on 04/05/2023	Thecompany mustimplement stricter checks and control to comply with provisions.

Note: action taken against the non-compliances prior to FY 2023-24 has been briefed in previous reports.

I. I hereby report that, during the review period the compliance status of the listed entity is appended as below:

Sr. No.	Particulars	Compliance Status (Yes/No/NA)	Observations / Remarks by PCS
1.	**Secretarial Standards:** The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India (ICSI).	Yes	-
2.	**Adoption and timely updation of the Policies:** • All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entities. • All the policies are in conformity with SEBI Regulations and have been reviewed & updated on time, as per the regulations /circulars /guidelines issued by SEBI.	Yes	-
3.	**Maintenance and disclosures on Website:** • The Listed entity is maintaining a functional website. • Timely dissemination of the documents/ information under a separate section on the website. • Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re- directs to the relevant document(s)/section of the website.	No No No	The Company has not maintained its functional website as per the Regulation. Direct weblinks are provided but it's not working.
4.	**Disqualification of Director:** None of the Director(s) of the Company is/are disqualified under Section 164 of Companies Act, 2013 as confirmed by the listed entity.	Yes	-
5.	**Details related to Subsidiaries of listed entities have been examined w.r.t.:** (a) Identification of material subsidiary		

	companies (b) Disclosure requirement of material as well as other subsidiaries	NA NA	The listed entity does not have any subsidiary. The listed entity does not have any subsidiary.
6.	**Preservation of Documents:** The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI LODR Regulations, 2015.	Yes	-
7.	**Performance Evaluation:** The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year/during the financial year as prescribed in SEBI Regulations.	Yes	-
8.	**Related Party Transactions:** (a) The listed entity has obtained prior approval of Audit Committee for all related party transactions; or (b) In case no prior approval obtained, the listed entity shall provide detailed reasons along with confirmation whether the transactions were subsequently approved/ratified/rejected by the Audit committee.	Yes Yes	- -
9.	**Disclosure of events or information:** The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.	Yes	
10.	**Prohibition of Insider Trading:** The listed entity is in compliance with Regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015.	As per Remark	The listed entity has software for the structured digital database, however it has not been updated during FY 2024-25.
11.	**Actions taken by SEBI or Stock Exchange(s), if any:** No action(s) has been taken against the listed entity/its promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating	Yes	-

	Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars / guidelines issued thereunder.		
12.	**Resignation of statutory auditors from the listed entity or its material subsidiaries:** In case of resignation of statutory auditor from the listed entity or any of its material subsidiaries during the financial year, the listed entity and / or its material subsidiary(ies) has / have complied with paragraph 6.1 and 6.2 of section V-D of chapter V of the Master Circular on compliance with the provisions of the LODR Regulations by listed entities**.**	Yes	-
13.	**Additional Non-compliances, if any:** No additional non-compliance observed for any SEBI regulation/circular/guidance note etc.	NA	Not applicable

Assumptions & Limitation of scope and Review:

1. Compliance of the applicable laws and ensuring the authenticity of documents and information furnished, are the responsibilities of the management of the listed entity.

2. My responsibility is to report based upon our examination of relevant documents and information. This is neither an audit nor an expression of opinion.

3. I have not verified the correctness and appropriateness of financial Records and Books of Accounts of the listed entity.

4. This Report is solely for the intended purpose of compliance in terms of Regulation 24A (2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and is neither an assurance as to the future viability of the listed entity nor of the efficacy or effectiveness with which the management has conducted the affairs of the listed entity.

Place: Mumbai
Date: 06.06.2025
UDIN: F009364E000855726

For Somani & Associates
Practicing Company Secretaries

POONAM
SOMANI

Digitally signed by POONAM SOMANI
DN: c=IN, o=Personal, postalCode=332001, l=Sikar, st=Rajasthan, street=FLAT NO-104, SANGEET MAHAL, RAMLEELA MAIDAN SIKAR, NATHAWATPURA SIKAR, RAJASTHAN, title=0454, 2.5.4.20=0a24c9996acc283401e6424025da7a17d6667ff94ef5fd77105690cff704d9fc, serialNumber=47251924f747259fbf5e7293644772fecd42e38942dd9153165733ab7e18ef3c, email=starbiz1986@gmail.com, cn=POONAM SOMANI
Date: 2025.06.06 16:35:34 +05'30'

(Poonam Somani)
Proprietor
FCS No: 9364
C.P.NO: 8642
PR No.1573/2021