



Upsurge Investment & Finance Ltd.

07th January, 2026

To,
BSE Ltd.,
Listing Department,
P.J. Towers, 1st Floor,
Dalal Street, Fort,
Mumbai - 400 001

Ref: Upsurge Investment & Finance Limited (BSE Scrip Code 531390; ISIN INE890B01014)

Dear Sir/ Madam

Sub: Certificate under Regulation 74 (5) of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018 for the quarter ended 31st December, 2025

We submit herewith the confirmation certificate dated 02 January 2026 issued by Adroit Corporate Services Private Limited, the Registrar and Share Transfer Agent of our Company as per Regulation 74 (5) of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018, for the quarter ended 31st December 2025.

You are requested to kindly take the aforesaid information on record and acknowledge.

Thanking you

Yours faithfully,
For Upsurge Investment & Finance Limited

Dayakrishna Goyal
Managing Director
DIN-00398539

Date: 07th January, 2026
Place: Mumbai

Encl. as above

02 January 2026

To ,

UPSURGE INVESTMENT & FINANCE LIMITED
303 MORYA LANDMARK-I
BEHIND CRYSTAL PLAZA
OFF NEW LINK ROADANDHERI WEST
MUMBAI 400053

Subject: Confirmation Certificate in the matter of Regulation 74(5) of Securities and Exchange Board of India (Depositories and participants) Regulations, 2018.

Dear Sir/Madam,

In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 31st December, 2025, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

We hereby also confirm that the security certificates received for dematerialisation have been Mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in register of members as registered owner within 15 days.

We request you to kindly take note of the above in your records.

Thanking you,

Yours faithfully,
For Adroit Corporate Services Pvt. Ltd.



Authorized Signatory.