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# Upsurge Investment & Finance Ltd.

07<sup>th</sup> January, 2026

To,  
**BSE Ltd.,**  
**Listing Department,**  
P.J. Towers, 1st Floor,  
Dalal Street, Fort,  
Mumbai - 400 001

**Ref: Upsurge Investment & Finance Limited (BSE Scrip Code 531390; ISIN INE890B01014)**

Dear Sir/ Madam

**Sub: Certificate under Regulation 74 (5) of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018 for the quarter ended 31<sup>st</sup> December, 2025**

We submit herewith the confirmation certificate dated 02 January 2026 issued by Adroit Corporate Services Private Limited, the Registrar and Share Transfer Agent of our Company as per Regulation 74 (5) of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018, for the quarter ended 31<sup>st</sup> December 2025.

You are requested to kindly take the aforesaid information on record and acknowledge.

Thanking you

Yours faithfully,  
**For Upsurge Investment & Finance Limited**

**Dayakrishna Goyal**  
**Managing Director**  
**DIN-00398539**

**Date: 07<sup>th</sup> January, 2026**  
**Place: Mumbai**

Encl. as above

02 January 2026

To,  
UPSURGE INVESTMENT & FINANCE LIMITED  
303 MORYA LANDMARK-I  
BEHIND CRYSTAL PLAZA  
OFF NEW LINK ROAD ANDHERI WEST  
MUMBAI 400053

**Subject: Confirmation Certificate in the matter of Regulation 74(5)  
of Securities and Exchange Board of India (Depositories and  
participants) Regulations, 2018.**

Dear Sir/Madam,

In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 31st December, 2025, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

We hereby also confirm that the security certificates received for dematerialisation have been mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in register of members as registered owner within 15 days.

We request you to kindly take note of the above in your records.

Thanking you,

Yours faithfully,  
For **Adroit Corporate Services Pvt. Ltd.**



**Authorized Signatory.**

19-20 Jaferbhoy Industrial Estate, Makwana Road, Marol Naka, Andheri (E), Mumbai, Maharashtra, 400 059.

Tel: 022 - 4227 0400, 2859 4060. Fax: 2850 3748. Email: [info@adroitcorporate.com](mailto:info@adroitcorporate.com)

CIN: U67190MH1994PTC079160