

RPL/CORP/SE
January 7, 2026

The Listing Department,
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai – 400001
Scrip Code: 517500

The Listing Department
National Stock Exchange of India Limited
Exchange Plaza,
Bandra Kurla Complex,
Bandra (E), Mumbai – 400051
Symbol: ROTO

Dear Sir / Madam,

Sub: **Intimation under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby inform you that the Company has received warning letters dated January 6, 2026 from BSE Limited and National Stock Exchange of India Limited, the details of which are as per the enclosed Annexure. In compliance with the directions contained in the said letters, we are enclosing copies of the same.

The Company remains committed to strict adherence to all applicable regulatory requirements and to maintaining the highest standards of corporate governance.

This is for your information and records please.

Thanking You

Yours Faithfully,
For **ROTO PUMPS LTD.**

ASHWANI K. VERMA
COMPANY SECRETARY
M. NO. F9296

Encl.: A/a

ROTO PUMPS LTD.

Regd. Off. & Global Headquarters: 13, Roto House, Noida Special Economic Zone, Noida-201305, Uttar Pradesh, India
T: +91 120 2567902-5 **F:** +91 120 2567911 **✉:** contact@rotopumps.com
CIN - L28991UP1975PLC004152 **🌐:** www.rotopumps.com



| S. No. | Particulars | Details |
|--------|---|--|
| 1. | Name of the authority | BSE Limited (BSE) and National Stock Exchange of India Limited (NSE) |
| 2. | Nature and details of the action(s) taken or order(s) passed | Warning Letters |
| 3. | Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority; | BSE and NSE letters dated January 6, 2026 |
| 4. | Details of the violation(s) / contravention(s) committed or alleged to be committed; | Non-compliance relating to the gap between two consecutive meetings of the Risk Management Committee (RMC), which exceeded the prescribed period of 210 days. The gap between two consecutive meetings of RMC was 212 days, resulting in a marginal delay of 2 days. |
| 5. | Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible. | There is no material impact on the financial, operation or other activities of the Company |

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LIST/COMP/SHB/652/2025-26

Date: 06-01-2026

To,
The Company Secretary,
Company Name: Roto Pumps Ltd
Scrip Code: 517500
Address: Roto House, Noida Special Economic Zone,
Noida, Uttar Pradesh, 201305.

Subject: Warning letter

Dear Sir/Madam,

This is in reference to the Integrated Governance Report submitted by Roto Pumps Ltd ('the Company') for quarter ended March 2025. Further, referring to Regulation 21(3C) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, ('SEBI LODR') which states that:

21(3C) The meetings of the risk management committee shall be conducted in such a manner that on a continuous basis not more than two hundred and ten days shall elapse between any two consecutive meetings.


It was observed that the gap between two consecutive meetings of the Risk Management Committee had exceeded the prescribed gap of 210 days.

The aforesaid non-compliance on your part has been viewed seriously. You are hereby advised to exercise greater diligence and take immediate corrective measures to prevent the recurrence of such lapses. It is imperative to ensure strict adherence to all the applicable Exchange circulars, guidance notes and communication.

Please note that any future deviation will be viewed with utmost seriousness and may attract appropriate action as deemed necessary.

Further, the Company is required to disseminate a copy of this warning letter on the Stock Exchange(s) where it is listed. Additionally, the Company is advised to place before their Board of Directors this warning letter and the corrective measures taken by the Company to avoid such lapses.

Yours Faithfully,



Shilpa Saboo
Deputy Vice President
Listing Compliance & Operations



Shraddha Bagwe
Deputy Manager
Listing Compliance

National Stock Exchange Of India Limited**Ref: NSE/LIST/COMP/ROTO/01/2025-2026****Date: January 06, 2026**

To,
The Company Secretary,
Roto Pumps Limited,
Roto House noida Special Economic Zone,
Noida - 201305

Subject: Warning letter

Dear Sir/Madam,

This is in reference to the Corporate Governance Report submitted by **Roto Pumps Limited** ('the Company') for year ended March 2025. Further, referring to Regulation 21 (3C) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, ('SEBI LODR') which states that:

Risk Management Committee – Regulation 21

21(3C) The risk management committee shall be conducted in such a manner that on a continuous basis not more than two hundred and ten days shall elapse between any two consecutive meetings.

It was observed that the Company is in non-compliance with the aforesaid provisions of SEBI LODR, wherein the gap between the meeting of risk management committee exceeds 210 days.

The aforesaid non-compliance on your part is viewed seriously. You are hereby warned and advised to be careful in future, exercise due caution and initiate corrective steps to avoid recurrence of such lapses so as to ensure due compliance with the SEBI LODR and/or Exchange circulars/guidance/communications. Any aberration in future will be viewed seriously and appropriate action would be initiated.

The Company is required to disseminate a copy of this warning letter on the Stock Exchange(s) where it is listed. Additionally, the Company is advised to place before their Board of Directors this warning letter and the corrective measures taken by the Company to avoid such lapses.

Yours faithfully,
for National Stock Exchange of India Limited

Rakhi Makhloga
Manager – Listing Compliance

This Document is Digitally Signed



Signed by: Rakhi Makhloga
Date: Tue, Jan 6, 2026 17:10:40 IST
Location: NSE