



AuSom Enterprise Limited

Ref. No. : - AEL/SEC/STOCK/2020-21

Date: - 07/10/2020

To,
The Manager, DCS-CRD
Corporate Relationship Department,
BSE Limited,
Phiroze Jeejeebhoy Tower,
Dalal Street, Mumbai- 400 001

To,
The Listing Department, 5th Floor,
National Stock Exchange of India Limited,
"Exchange Plaza",
Bandra-Kurla Complex,
Bandra (East), Mumbai-400 051.

SECURITY CODE: 509009 || SECURITY ID: AUSOMENT || ISIN: INE218C01016 || SERIES: EQ

Dear Sir/Madam,

Sub: - Submission of Compliance Certificate in respect of Regulation 40(9) of SEBI (LODR), 2015

This has reference to captioned subject, and as per the requirement of the Regulation 40 (9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith a Compliance Certificate issued by Mr. Niraj Trivedi, Practicing Company Secretary as per the Regulation 40(9) for the period commencing from 1st April, 2020 to 30th September, 2020.

You are requested to take note of the same and acknowledge.

Thanking you in anticipation.

Yours Sincerely,
For, AuSom Enterprise Limited

Yogesh Ghatge
Company Secretary

Encl.:- Certificate as per Regulation 40(9)

Correspondence Address : 606 "SWAGAT", Near Lal Bungalow,
C.G.Road, Ahmedabad-380 006. Phone : +91 79 2642 1422-1499
Fax : +91 79 2656 9898 E-mail : ausom.ael@gmail.com www.ausom.in

Registered Office : 11-B, New Ahmedabad Industrial Estate,
Sarkhej-Bavla Road, Village - Moraiya - 382 213.
Dist. Ahmedabad, Gujarat, INDIA • CIN : L67190GJ1984PLC006746

CERTIFICATE

**As per Regulation 40(9) of the
SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
For the half year ended on 30th September, 2020**

We have examined all the Share Transfer Deeds, Memorandum of Transfers, Registers, Files and other relevant documents relating to **AUSOM ENTERPRISE LIMITED, having CIN: L67190GJ1984PLC006746**, (The Company) being maintained by **Link Intime India Private Limited**, Ahmedabad (R&T Agent) pertaining to transfer of Equity Shares for the period from **01st April, 2020 to 30th September, 2020** for the purpose of issuing this Certificate as per Regulation 40(9) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 and on the basis of the information provided by the Company, we hereby certify that the Company has delivered during half year ended on **30th September, 2020**;

- A) Share Certificate(s) relating to the Share Transfer forms received during the period from **01st April, 2020 to 30th September, 2020** as entered in the Memorandum of Transfers have been issued within 30 (Thirty) days from respective date of lodgment of each such form – **Not Applicable**; and
- B) Share Certificates in respect of request for Transmission, Sub-division, Consolidation, Renewal, Exchange or Endorsement of Calls / Allotment Monies have been issued within 30 (Thirty) days from the respective date of lodgment of each such request- **Not applicable**.



**NIRAJ TRIVEDI
COMPANY SECRETARY**

C. P. NO. 3 1 2 3

PR NO.: 4 9 9 / 2 0 1 6

UDIN: F003844B000868609

DATE: 7th October, 2020

PLACE: Vadodara