

**UJJIVAN/SE/2024-25/04**

**April 08, 2024**

**National Stock Exchange of India Limited**  
Exchange Plaza, Bandra Kurla Complex,  
Bandra (East),  
Mumbai 400 051  
Kind Attention: Manager, Listing Department

**BSE Limited**  
P.J. Tower,  
Dalal Street  
Mumbai 400 001  
Kind Attention: Manager, Listing Compliance

**Trading Symbol: UJJIVAN**

**SCRIP CODE: 539874**

**Dear Sir/Madam,**

**Subject: Certificate under Regulation 40(9) of SEBI (LODR) Regulations, 2015 for the period from 1<sup>st</sup> April 2023 to 31<sup>st</sup> March 2024.**

Pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed the Certificate for the period from 1<sup>st</sup> April 2023 to 31<sup>st</sup> March 2024, duly signed by Mr. K. Jayachandran, Practicing Company Secretary.

Please take the above on record.

Thanking You,

Yours faithfully,

**For Ujjivan Financial Services Limited**

**Shashidhara S**  
**Company Secretary**

**CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING  
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015  
(LISTING REGULATIONS)**

**FOR THE FINANCIAL YEAR ENDED MARCH 31, 2024**

I have examined Memorandum of Transfers, Registers, files and other documents relating to **UJJIVAN FINANCIAL SERVICES LIMITED (the "Company")** bearing CIN: **L65999KA2004PLC035329** having its Registered Office at Grape Garden, No. 27, 3rd "A" Cross, 18<sup>th</sup> Main, 6th Block, Koramangala, Bengaluru - 560 095 maintained by **KFin Technologies Limited**, Registrars and Transfer Agents ("**RTA**") of the Company pertaining to transfer of equity shares of the Company for the period from **April 01, 2023 to March 31, 2024 ("Audit Period")** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 and based on the information provided by the Company and RTA, I hereby certify for the Audit Period that:

1. There were no transfer and transmission of shares, RTA has not processed any Share Transfer and transmission requests and consequently there was no issue of Share Certificate(s) related to Share Transfer during the Audit Period.
2. There was no request received for deletion, correction of names and duplicate shares during the Audit Period.
3. There were no instances of sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies during the period covered in this certificate.
4. Any other information, if applicable: Nil

**Place : Bengaluru**  
**Dated: 08.04.2024**

KUNJITHAPADH  
AM  
JAYACHANDRAN

Digitally signed by  
KUNJITHAPADHAM  
JAYACHANDRAN  
Date: 2024.04.08  
12:11:49 +05'30'

**K JAYACHANDRAN**  
**CP NO. 4031**  
**UDIN: A011309F000052671**