



To, Date: 8th August, 2023

BSE Ltd.

Corporate Relationship Department 1st Floor, New Trading Ring, Rotunda Building, P.J. Towers, Dalal Street, Fort, Mumbai- 400001 (Scrip Code No: 531644)

Dear Sir/Madam,

Sub: <u>Intimation under Regulations 30 and 30A of Securities and Exchange Board of India</u>
(<u>Listing Obligations and Disclosure Requirements</u>) Regulations, 2015 of e-mail received from BSE Ltd.

Dear Sir/ Madam,

Pursuant to Regulation 30 and 30A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations") and circular SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023 this to inform you that on 7th August, 2023 the Company has received an email from BSE limited regarding with non-compliance with provisions of Reg. 3(5) and/or Reg. 3(6) of SEBI (Prohibition of Insider Trading) Regulations, 2015 based on submission of SDD certificate made by the Company.

Submission made under provinciensail street. By Band Hobris attached herewith.

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For Tokyo Finance Limited

Swagata Vinayak Indulkar Company Secretary

ADMIN. OFFICE: 601-A, 6th Floor, Dynasty Business Park, Andheri-Kurla Road, Near J.B.Nagar Metro Station, Andheri (East), Mumbai – 400 059, India. Tel: 91-22-6145 3300 * Fax: 91-22-6691 4499 * E-mail: info.tokyofinance@gmail.com * Website: www.tokyofinance.in REGD. OFFICE: Plot No.363/1, (1,2,3), Shree Ganesh Industrial Estate, Kachigaum Road, Daman – 396 210(U.T.), India. Tel: (0260) 2242977 / 2244471 * Fax: (0260) 2243271* CIN – L65923DD1994PLC009783





COMPLIANCE CERTIFICATION OF THE PROPERTY OF TH

I, Swagata Indulkar, Compliance aware of the compliance requirem Regulation 3(5) and 3(6) of Securit Regulations, 2015 (PIT Regulation)

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- 3. all the UPSI disseminated in the second s
- 4. the system has captured nat
- 5. the database has been mainted.
- 6. the database is non-tamperal section in the database is non-tamperal section.

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I would like to report that the follogical remedial action(s) taken along with

The Company has purchased the Standard started updating the data in Seminary employee was in process to learning quarter due to various technical error create the report in the SDD software personnel of the Company. Therefore

For Tokyo Finance Limited

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CS Swagata Indulkar Compliance Officer

Date: 10th July, 2023 Place: Mumbai DAMA ...

ADMIN. OFFICE: 6()1-A, 6th FlGor, Dynasty Busines India. Tel : 91-22-6,145 3300 * Fax: 91-22-6,691 4.4 * Tel : 91-22-6,145 3300 * Fax: 91-22-6,91 4.4 * Tel : (0260) 2242977 / 2244471 * Fax: (0260) 224

Attachment - 2

Gmail - SDD Non-Compliant Company



tokyo finance <info.tokyofinance@gmail.com>

SDD Non-Compliant Company

1 message

Yash Gala <yash.gala@bseindia.com>

To: "info.tokyofinance@gmail.com" <info.tokyofinance@gmail.com>

Cc: Regulation 30 <bse.regulation30@bseindia.com>

7 August 2023 at 18:42

531644

TOKYO FINANCE LTD.

Dear Sir/Madam.

This is with reference to the submission made by the company relating to SDD Compliance Certificate for June 2023 quarter

Based on the submission made by the company, it has been observed that the company is noncompliant with provisions of Reg. 3(5) and/or Reg. 3(6) of SEBI (Prohibition of Insider Trading) Regulations, 2015. Hence, as per Exchange Circular No. 20230125-33 dated January 25, 2023. Exchange has displayed the company is non-compliant with SDD and also mentioned the name of Compliance Officer under the "Get Quote" page of the Exchange Website of the Listed Entity. The said shall remain to be displayed on the Exchange Website till the Exchanges have satisfactorily verified that the company has completely complied.

The Company is advised to place the said non-compliance before the Board within one month from the date of this communication or in ensuing Board meeting whichever is earlier and provide the comments of the Board to the Exchange within 1 working day post placing the same before the Board on bse.regulation30@bseindia.com. Failing which the same shall be reported to SEBI as not responded by the company.

Regards,

Yash Gala

Assistant Manager

Listing Compliance

BSE Limited, MUMBAI

Phone (Direct): 22728148

This mail is classified as 'BSE - PUBLIC' by yash gala on August 07, 2023 at 18:42:02.

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