



RATHI BARS LIMITED

Regd. Office : A-24/7, Mohan Co-operative Industrial Estate,
Mathura Road, New Delhi-110044
Ph.: +91-11-43165400, Fax :+91-11- 40597117
Web: www.rathisteels.com, E-mail : rathibars@hotmail.com
CIN No: L74899DL1993PLC054781

August 09,2021

To,
The Listing Manager
Bombay Stock Exchange Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai- 400001

Subject: Disclosure under regulation 24A SEBI (Listing Obligations & Disclosures Requirements) Regulations, 2015

Dear Sir/Ma'am,

We would like to submit Secretarial Audit Report for the Financial Year ended on 31st March 2021 Pursuant to Regulation 24A of SEBI (Listing Obligations & Disclosures Requirements) Regulations, 2015, Copy of Secretarial Audit is attached herewith .

You are requested to take the information on your records.

Thanking You

For Rathi Bars Limited


Satinder Singh

Company Secretary and Compliance officer

PINKY & ASSOCIATES

B2/11 Mohan Cooperative Industrial Estate, New Delhi-110044

Email ID : pinkybansal92@gmail.com, M. No. 9718484342

SECRETARIAL COMPLIANCE REPORT OF RATHI BARS LIMITED

(For the year ended 31st March, 2021)

To,

M/s Rathi Bars Limited

A-24/7, Mohan Cooperative Industrial Estate,
Mathura Road, New Delhi-110044

I have examined:

- a) all the documents and records made available to us and explanation provided by **M/s Rathi Bars Limited** ("the listed entity"),
- b) the filings/ submissions made by the listed entity to the stock exchange,
- c) website of the listed entity,
- d) other documents/ filings, which were relevant and relied upon to issue this certificate, for the year ended 31st March, 2021 in respect of compliance with the provisions of:
 - a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
 - b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include:-

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **Not applicable to the Company under review period.**
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and takeovers) Regulations, 2011; **Not applicable to the Company under review period.**
- d) Securities and Exchange Board of India (Buy-back of Securities) Regulations, 1998; **Not applicable to the Company under review period.**
- e) Securities and Exchange Board of India (Share Based Employee Benefit Scheme)

- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **Not applicable to the Company under review period.**
- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **Not Applicable to the Company under review period.**
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;
- j) Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2018; **Not applicable to the Company under review period and**

Based on the above examination, I hereby report that, during the review period:

- a) The listed entity has complied with the provisions of the above regulations and circulars/ guidelines issued, except in respect of matters specified below:

Sr. No.	Compliance Requirement under regulation	Remarks of the Practicing Company Secretary
NOT APPLICABLE		

- b) The listed entity has maintained proper records under the provisions of the above regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.
- c) The following are the details of actions taken against the listed entity/ its directors/material subsidiaries either by SEBI or by Stock Exchanges (*including under the Standard Operating Procedures issued by SEBI through various circulars*) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder.

S. No	Action take by	Details of violation	Details of action take ex: fines, warning, letter etc.	Observations/remarks of the Practising Company Secretary
<i>Not applicable</i>				



(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

S. No	Observations of the Practising Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended...	Actions taken by the listed entity, if any	Comments of the Practising Company Secretary on the actions taken by the listed entity.
<i>Not applicable</i>				

Place: New Delhi
Date: 07 August, 2021

For M/s Pinky & Associates



(Company Secretary)

ACS No. : 32150

C.P No. : 17367

UDIN : A032150C000752254