

# Gautam Gems Ltd

*"We stand's for Quality"*



Date: 09/10/2019

To,  
**BSE Limited**  
Phiroze Jeejeebhoy Towers  
Dalal Street,  
Mumbai- 400001.

**REF: Gautam Gems Limited (Scrip Code-540936)**

Dear Sir/ Madam,

**Subject: Submission of Certificate from Practicing Company Secretary under  
Regulation 40 (9) for the Half year ended on 30<sup>th</sup> September, 2019.  
(Scrip Code- 540936)**

With reference to above, please find attached herewith compliance Certificate for the Quarter and Half year ended September, 2019 in compliance with Regulation 40(9) of SEBI (LODR) Regulations, 2015.

Please take same on your record and oblige

**Thanking You**

**For, GAUTAM GEMS LIMITED**

**GAUTAM PRAVINCHANDRA SHETH  
MANAGING DIRECTOR**



# SHIKHA PATEL & ASSOCIATES

Company Secretaries

Shikha Patel: +91 90161 21381

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**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR) REGULATIONS.**

**CERTIFICATE**

**2015 FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2019**

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **Gautam Gems Limited** maintained by **Karvy Fintech Private Limited** pertaining to transfer of equity shares of the company for the period from **1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019** for the purpose of issuing a Certificate as per regulation 40 (9) of SEBI (LODR) Regulations, 2015 and listing agreement entered into by **Gautam Gems Limited** with **Karvy Fintech Private Limited** on the information provided by the Company, I hereby certify that the Company has duly complied with the provisions of the Regulations during half year ended on 30<sup>th</sup> September, 2019.

A) Share Certificate relating to the Share Transfer forms received during the period from **1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019** as entered in the Memorandum of Transfers have been issued within **30(Thirty) days** from respective date of lodgment of each form excepting those rejected on technical grounds.

B) The Company has not received any request for duplicate share certificate during the six months period ended on **30<sup>th</sup> September, 2019**.

**FOR, SHIKHA PATEL & ASSOCIATES  
(PRACTISING COMPANY SECRETARIES)**

*Shikha Patel*

Proprietor

M. No. 43955



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