

April 11, 2023
Ref.: SSFB/CS/02/2023-24

To,
National Stock Exchange of India Limited
Listing Department
Exchange Plaza, C-1, Block G,
Bandra Kurla Complex,
Bandra (East), Mumbai - 400 051

BSE Limited
The Corporate Relationship Department
Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai - 400 001

Symbol: **SURYODAY**

Scrip Code: **543279**

Dear Sir/Madam,

Subject: Compliance Certificate from the Registrar and Share Transfer Agent under Regulation 7(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended March 31, 2023

Pursuant to Regulation 7(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“SEBI Listing Regulations”) as amended, we submit herewith the Compliance Certificate received from the Bank’s Registrar and Share Transfer Agent (“RTA”), KFin Technologies Limited for the year ended March 31, 2023.

Further, we hereby certify that pursuant to Regulation 7(3) of SEBI Listing Regulations, as amended, the Bank has complied with the requirements pursuant to Regulation 7(2) of SEBI Listing Regulations for the year ended March 31, 2023, by ensuring that all the activities in relation to share transfer facility were maintained by Bank’s RTA, which is registered and holding requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INR000000221.

The above is submitted for your kind information and appropriate dissemination.

Thanking You,

Yours truly,
For **Suryoday Small Finance Bank Limited**

Krishna Kant Chaturvedi
Company Secretary and Compliance Officer
Encl: As above

SURYODAY SMALL FINANCE BANK LIMITED

Reg. & Corp. off: 1101, Sharda Terraces, Plot. 65, Sector 11, CBD Belapur, Navi Mumbai: 400614 Tel: 022-40435800

E Mail: info@suryodaybank.com / **Web:** www.suryodaybank.com **CIN:** L65923MH2008PLC261472 / **GSTIN NO:** 27AAMCS5499J1ZG

COMPLIANCE CERTIFICATE

THIS IS TO CERTIFY that, KFIN TECHNOLOGIES LIMITED (KFin) is providing Registry and Share Transfer services to SURYODAY SMALL FINANCE BANK LIMITED (the bank) and having the valid agreement in the form of Memorandum of Understanding (MOU).

FURTHER CERTIFIED that KFin:

- a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INR000000221 issued by Securities and Exchange Board of India (SEBI).
- b. Handling all activities in relation to share transfer facility and maintaining related documents/correspondence for all the transactions in physical in respect of the Bank as per the regulations.
- c. Maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year.

THIS CERTIFICATE is issued in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Thanking you,

Regards,
For KFin Technologies Limited



Umesh Pandey
Senior Manager

Place: Hyderabad

Date: 11.04.2023



KFin Technologies Limited

(Formerly known as KFin Technologies Private Limited)

Registered & Corporate Office:

Selenium, Tower B, Plot No- 31 & 32, Financial District, Nanakramguda,
Serilingampally Hyderabad Rangareddi, Telangana – 500032, India

CIN : U72400TG2017PLC117649