

Ref. No.: AUSFB/SEC/2025-26/22

Date: April 11, 2025

To,

National Stock Exchange of India Ltd. Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. NSE Symbol: AUBANK	BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrip Code: 540611, 958400, 959025, 974093, 974094, 974095, 974914, 974963, 975017, 975038 & 976580
--	---

Dear Sir/Madam,

Sub: Voting Results & Scrutinizer's Report of the Postal Ballot of AU Small Finance Bank Limited

Ref: Regulation 30, 44(3) and 51 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations")

In continuation to our letter dated March 11, 2025, regarding notice of Postal Ballot and pursuant to Regulation 44(3) of the Listing Regulations and Section 110 of the Companies Act, 2013 read with Rule 22 of the Companies (Management and Administration) Rules, 2014, we hereby inform that the below resolution has been duly passed by the members of the Bank with requisite majority:

Item No.	Agenda Item	Type of Resolution
1.	Re-appointment of Mr. Kamlesh Shivji Vikamsey (DIN: 00059620) for second term of 5 years as an Independent Director	Special Resolution

We would like to inform that the shareholders of the Bank have approved the re-appointment of Mr. Kamlesh Shivji Vikamsey (DIN: 00059620) as an Independent Director with effect from April 25, 2025 for a second term of 5 years.

The voting results in the format prescribed and Scrutinizer's Report for Postal Ballot via remote e-voting, are enclosed as **Annexure-I**.

This is for your information and records.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED

Manmohan Parnami
Company Secretary and Compliance Officer
Membership No.: F9999
investorrelations@aubank.in
Enclosed: As above

Registered Office

AU SMALL FINANCE BANK LIMITED
19-A Dhuleshwar Garden, Ajmer Road,
Jaipur - 302001, Rajasthan, India
Phone: +91 141 4110060/61, Fax: +91 141 4110090
CIN: L36911RJ1996PLC011381

Annexure – I

AU Small Finance Bank Limited

Date of the Postal Ballot:	
a) Date of Postal Ballot Notice	March 07, 2025
b) Date of Declaration of Result of Postal Ballot	April 11, 2025
Last Date of receipt of Postal Ballot Form/ e-voting	April 10, 2025
Total number of shareholders on record date (cut-off date for ascertaining the shareholders to whom the notice of Postal Ballot was sent and also reckoning voting rights i.e. cut-off date for Beneficiary Position)	1,87,541
No. of shareholders present in the meeting either in person or through proxy: Promoters and Promoter Group: Public:	Not Applicable
No. of Shareholders attended the meeting through Video Conferencing: Promoters and Promoter Group: Public:	Not Applicable

Registered Office

AU SMALL FINANCE BANK LIMITED
19-A Dhuleshwar Garden, Ajmer Road,
Jaipur- 302001, Rajasthan, India
Phone: +91 141 4110060/61, Fax: +91 141 4110090
CIN: L36911RJ1996PLC011381

Resolution Required: Special			ITEM NO. 1 - RE-APPOINTMENT OF MR. KAMLESH SHIVJI VIKAMSEY (DIN: 00059620) FOR SECOND TERM OF 5 YEARS AS AN INDEPENDENT DIRECTOR					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes – Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	[3]={[2]/[1]}*100	[4]	[5]	[6]={[4]/[2]}*100	[7]={[5]/[2]}*100
Promoter and Promoter Group	E-Voting	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
Public Institutions	E-Voting	45,81,20,301	37,80,36,674	82.5191	37,32,43,369	47,93,305	98.7321	1.2679
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		37,80,36,674	82.5191	37,32,43,369	47,93,305	98.7321	1.2679
Public Non Institutions	E-Voting	11,60,04,249	2,00,03,387	17.2437	1,99,97,547	5840	99.9708	0.0292
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		2,00,03,387	17.2437	1,99,97,547	5,840	99.9708	0.0292
Total		74,44,22,962	56,83,38,473	76.3462	56,35,39,328	47,99,145	99.1556	0.8444

Registered Office

AU SMALL FINANCE BANK LIMITED
19-A Dhuleshwar Garden, Ajmer Road,
Jaipur- 302001, Rajasthan, India
Phone: +91 141 4110060/61, Fax: +91 141 4110090
CIN: L36911RJ1996PLC011381



Scrutinizer's Report

To,
The Chairman
AU Small Finance Bank Limited
19-A Dhuleshwar Garden
Jaipur –302001 (Rajasthan)

Dear Sir,

Sub: Scrutinizer's Report on Postal Ballot by way of Electronic Voting ("e-voting").

The Board of Directors of **AU Small Finance Bank Limited** (hereinafter referred to as "**the Bank**") at its Meeting held on Friday, March 07, 2025 has appointed me as the scrutinizer for scrutinizing the Postal Ballot by way of remote e-voting pursuant to Sections 108 and 110 of the Companies Act, 2013 read with Rule 20 and 22 of the Companies (Management and Administration) Rules, 2014 (as amended) and in accordance with Regulation 44 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

The management of the Bank is responsible to ensure the compliance with the requirements of the relevant provisions of Sections 108 and 110 of the Companies Act, 2013 read with Rule 20 and 22 of the Companies (Management and Administration) Rules, 2014 and Regulation 44 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, (including any statutory modification(s), clarification(s), substitution(s) or re-enactment(s) thereof for the time being in force) and the guidelines prescribed by the Ministry of Corporate Affairs ("MCA") for holding general meetings/conducting postal ballot process through e-voting vide General Circular No. 09/2024 dated September 19, 2024 read along with other connected circulars issued from time to time in this regard ("MCA Circulars"), relating to postal ballot including voting by electronic means for the resolution contained in the Notice of Postal Ballot dated March 07, 2025.

My responsibility as a Scrutinizer is restricted to making a Scrutinizer's Report of the votes cast "in favor" and/or "against" the resolution stated in the notice of Postal Ballot, based on the reports generated/data downloaded from the e-voting system provided by National Securities Depository Limited, the Agency Authorized under the Rules and engaged by the Bank to provide e-voting facility.

Report on Scrutiny:

- The Bank had appointed National Securities Depository Limited (hereinafter referred to as "**NSDL / Service Provider**") as the Service Provider, for the purpose of extending the facility of remote e-voting to the Shareholders of the Bank. MUFG Intime India Private Limited (Formally known as Link Intime India Private Limited) is the Registrar and Share Transfer Agent (hereinafter referred to as "**RTA**") of the Bank.
- The Service Provider had provided a system for recording the votes of the Shareholders electronically on the items of business stated in the Notice of Postal Ballot dated March 07, 2025.



- The Service Provider had set up an electronic voting facility on their website, <https://www.evoting.nsdl.com> The Bank had uploaded the item of business to be transacted through Postal Ballot on the website of the Service Provider to facilitate their Shareholders to cast their vote through Remote E-voting.
- The internal cut-off date for the dispatch of the Notice of Postal Ballot was Friday, March 07, 2025 and as on that date, there were 1,87,541 (One Lakh Eighty Seven Thousand Five Hundred and Forty One) Shareholders of the Bank.
- The Bank informed that in compliance with the MCA Circulars and on the basis of the Register of Members and the list of Beneficial Owners made available by the RTA of the Bank and the depositories viz., National Securities Depository Limited ("NSDL") and Central Depository Services (India) Limited ("CDSL") respectively, the Service Provider completed dispatch of Notice of Postal Ballot along-with e-voting details on Tuesday, March 11, 2025 by E-mail to 1,81,790 (One Lakh Eighty One Thousand Seven Hundred and Ninety) shareholders who had already registered their Email IDs with the Bank / Depositories.
- The notices sent contained the detailed procedure to be followed by the Shareholders who were desirous of casting their votes electronically as provided in Rule 20.
- As stated in Rule 22 of The Companies (Management and Administration) Rules, 2014 (as amended), an advertisement was published by the Bank on Wednesday, March 12, 2025, in "Financial Express", English newspaper in English language and in "Nafa Nuksan" Vernacular language newspaper in vernacular language, informing about the completion of the dispatch of the Notice of Postal Ballot, by means of E-mail to the shareholders along with other related matters mentioned therein.
- The Cut-off date for the purpose of identifying Shareholders who will be entitled to vote on the resolution contained in the Notice of Postal Ballot was Friday, March 07, 2025.
- The remote e-voting period commenced from Wednesday, March 12, 2025 at 09:00 A.M. and concluded on Thursday, April 10, 2025 at 05:00 P.M.
- At the end of the voting period on Thursday, April 10, 2025 at 05:00 P.M., the voting portal of the Service Provider was blocked forthwith.
- After completion of e-voting, the votes cast by the Shareholders were unblocked and downloaded from the e-voting website of NSDL / Service Provider (<https://www.evoting.nsdl.com>) in the presence of two witnesses, who are not in the employment of the Bank as prescribed in Sub Rule 4(xii) of the said Rule 20. The e-voting data/results downloaded from the e-voting system of NSDL were scrutinized and reviewed, the votes were counted, and the results were prepared.
- Based on the data downloaded from NSDL e-voting system, the total votes cast in favour or against on the resolution proposed in the Notice of Postal Ballot are as under:

**Item No. 1: Special Resolution:****RE-APPOINTMENT OF MR. KAMLESH SHIVJI VIKAMSEY (DIN: 00059620) FOR SECOND TERM OF 5 YEARS AS AN INDEPENDENT DIRECTOR.**

Total No. of Shareholders/folios	1,87,541		
Total No. of Shares	74,44,22,962		
e-voting Period	From 09:00 A.M. on Wednesday, March 12, 2025 till 05:00 P.M. on Thursday, April 10, 2025		
		Number of Votes/Folio	Number of shares
Total votes cast through remote e-voting	A	1054	56,83,38,473
Total Votes cast through Postal Ballot forms received	B	-	-
Grand Total of remote e-voting/Postal Ballot Forms (A+B)	C	1054	56,83,38,473
Less: Invalid remote e-voting/ Postal Ballot Forms* (On account of signature mismatch, for/against option not indicated/ abstained/ less voted)	D	-	-
Net remote e-voting/Postal Ballot Forms (C-D)	E	1054	56,83,38,473

SUMMARY OF VOTING

Promoter/Public	No. of shares held (1)	No. of votes polled (2)	% of votes polled on outstanding shares (3)=[(2)/(1)]*100	No. of votes in Favour (4)	No. of Votes against (5)	% of votes in favour on votes polled (6)=[(4)/(2)]*100	% of votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter group	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
Public- Institutional Holders	45,81,20,301	37,80,36,674	82.5191	37,32,43,369	47,93,305	98.7321	1.2679
Public- others	11,60,04,249	2,00,03,387	17.2437	1,99,97,547	5,840	99.9708	0.0292
Total	74,44,22,962	56,83,38,473	76.3462	56,35,39,328	47,99,145	99.1556	0.8444

Percentage of votes cast in favour: 99.1556% | Percentage of votes cast against: 0.8444%**RESULT:-**

Since, the number of votes cast in favour of the resolution is **99.1556%**, based on the aforesaid result, I report that the **Special Resolution** as set out at **item no. 1** in the notice of postal ballot dated March 07, 2025 has been passed by the shareholders with requisite majority. The resolution is deemed to be passed as on the last date specified for receipt of remote e-voting i.e. April 10, 2025.



A soft copy, containing a list of Equity Shareholders who voted "FOR" or "AGAINST" and those whose votes were declared invalid/ abstained/ voted for lesser shares, for the resolution is being handed over to the Company Secretary.

The electronic data and all other relevant records relating to the e-voting are in my safe custody and will be handed over to the Company Secretary and Compliance Officer of the Company for preserving safely after the Chairman considers, approves and signs the Minutes/ Report on Postal Ballot.

I thank you for the opportunity given to act as a Scrutinizer for the above Postal Ballot.

Yours Faithfully

CS Manoj Maheshwari
Scrutinizer
M. No.: FCS 3355 | C.P. No. 1971
Partner
V. M. & Associates
Company Secretaries
(ICSI Unique Code P1984RJ039200)

Place: Jaipur
Date: April 11, 2025
UDIN: F003355G000088286

Countersigned By:
For AU Small Finance Bank Limited

Manmohan Parnami
Company Secretary & Compliance Officer
M. No.: FCS 9999
(As authorized by Chairman of the Bank)