

**PHOTON CAPITAL ADVISORS LIMITED**

(CIN: L65910TG1983PLC004368)

Regd. Office: Plot No.90-A, Road No.9, Jubilee Hills, Hyderabad – 500 033, Telangana

Tel No: 09951339995, Website: <http://www.pcalindia.com/>

Email Id - [info@pcalindia.com](mailto:info@pcalindia.com)

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Date: 11.10.2021

To,  
The Manager  
DCS- CRD  
The BSE Limited  
25<sup>th</sup> Floor, Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001

Dear Sir,

**Sub.: Certificate under regulation 40(9) of SEBI (Listing obligations and disclosure requirements), Regulations, 2015, for the half year ended 30.09.2021.**

Please find enclosed a copy of Certificate given by the Practicing Company Secretary under regulation 40(9) of SEBI (Listing obligations and disclosure requirements), Regulations, 2015, for the half year ended 30.09.2021.

Thanking you,

Yours truly,  
For **PHOTON CAPITAL ADVISORS LIMITED**

Sobharani  
Nandury

Digitally signed by Sobharani Nandury  
DN: c=IN, o=Personal,  
2.5.4.20=01142926e6a026671180abc47eed5537715720  
163b16bb13d5959304a33dc9, postalCode=500033,  
st=Andhra Pradesh,  
serialNumber=4470558b3446919462d73d7bcb9814e1a1e98  
042730d3ab5532d09c2841f6853fc, cn=Sobharani  
Nandury  
Date: 2021.10.11 10:44:55 +05'30'

**SOBHARANI NANDURY  
WHOLE-TIME DIRECTOR  
DIN- 00567002**

**Encl:** Certificate under regulation 40 (9) of SEBI (LODR), Regulations, 2015 from PCS.

**CERTIFICATE**

**FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2021**

We have examined all share transfer deeds, memorandum of transfers, registers, files and other documents relating to **PHOTON CAPITAL ADVISORS LIMITED**, Hyderabad, maintained by **KFIN TECHNOLOGIES PRIVATE LIMITED (STA)**, Hyderabad pertaining to transfer of equity shares of the company for the period from **1<sup>st</sup> April, 2021 to 30<sup>th</sup> September, 2021** for the purpose of issuing a certificate as per regulation 40(9) of the SEBI (Listing obligations and disclosure requirements), Regulations, 2015 by **PHOTON CAPITAL ADVISORS LIMITED** with the BSE Limited and based on the information provided by the Company and its STA, we hereby certify that the company has delivered during half year ended on 30<sup>th</sup> September, 2021:

- A) Company has not received any request for share transfers during the period from 1<sup>st</sup> April, 2021 to 30<sup>th</sup> September, 2021.
- B) Company has not received any request for subdivision, consolidation, renewal, exchange or endorsement of calls/allotment monies during the period from 1<sup>st</sup> April, 2021 to 30<sup>th</sup> September, 2021.
- C) ~~No requests in respect of issue of duplicate share certificates~~ have been received during the period from 1<sup>st</sup> April, 2021 to 30<sup>th</sup> September, 2021.
- D) No requests in respect of transposition, transmission of securities have been received during the period from 1<sup>st</sup> April, 2021 to 30<sup>th</sup> September, 2021.

For SGP & Associates,  
Company Secretaries



  
**DARGA MABU BASHA**  
PARTNER

CP NO.19091  
UDIN: A035046C001135002

Place: Hyderabad  
Date: 09.10.2021

**SGP & Associates**

Plot No.188, Road No. 21-A, Prashasan Nagar,  
Road No. 72, Jubilee Hills, Hyderabad - 500 096. T.S, India