

Date: 12th April, 2021

To,
Department of Corporate Service (DCS-CRD),
BSE Limited.
Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai — 400 001.

Ref:- NGL Fine-Chem Limited
Scrip Code: 524774

Sub: Submission of Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on 31st March, 2021.

Dear Sir/Madam,

With reference to the captioned subject, please find enclosed herewith the Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on 31st March, 2021.

Kindly take the same on your record.

Thanking you,

Yours faithfully,
For NGL Fine-Chem Limited





Pallavi Pednekar
Company Secretary & Compliance Officer
Membership No: A33498

Encl: As Above.

PRIVATE & CONFIDENTIAL

**COMPLIANCE CERTIFICATE IN TERMS OF REGULATION 40 (9) OF THE (LISTING
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE HALF YEAR ENDED ON 31st MARCH, 2021 OF NGL FINE-CHEM LIMITED

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **NGL Fine-Chem Limited** (the Company) maintained by **Purva Shareregistry (India) Private Limited** (the RTA) pertaining to transfer of equity shares of the company for the period from **01st October, 2020 to 31st March, 2021** for the purpose of issuing a Certificate as per Reg. 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 and hereby certify that:

- A) The Company had no requests during the period from **01st October, 2020 to 31st March, 2021** for transfer of shares and hence was not required to issue any Share Certificates.
- B) Further as per the records provided to us there were no requests relating to renewal/consolidation/Sub-division (split) and exchange or endorsement of calls/allotment monies during the period from **01st October, 2020 to 31st March, 2021**.



Place: Mumbai.
Date: 12th April 2021
ICSI UDIN: F002827C000059708

**FOR HS ASSOCIATES
COMPANY SECRETARIES**

**HEMANT SHETYE
(PARTNER)
C.P. 1483
FCS: 2827**

This report is based on the data received from the RTA through electronic mode. Physical verification of the data and corresponding documents could not be accessed due to the ongoing lockdown on account of COVID-19 pandemic.