



Thirdwave Financial Intermediaries Limited

13th January, 2020

The Manager Department of Corporate Services BSE Limited Phirozee Jeejeeboy Towers, Dalal Street Fort, Mumbai - 400 001 Scrip Code : 531652	The Calcutta Stock Exchange Ltd. 7, Lyons Range Kolkata 700 001 Scrip Code : 030120
--	--

Dear Sir,

Sub : Submission of Certificate u/r 74(5) of SEBI (Depositories & Participants) Regulation 2018 for the quarter ended on 31/12/2019- Revised

Please find attached herewith confirmation certificate issued by M/s Niche Technologies (P) Ltd, Registrar & Share Transfer Agents (RTA) of the company in compliance u/r 74(5) of SEBI (Depositories & Participants) Regulation 2018 for the quarter ended on 31/12/2019.

Kindly take the above on record.

Kindly acknowledge receipt.

Thanking you,

Yours faithfully

for THIRDWAVE FINANCIAL INTERMEDIARIES LTD.

NIDHI

JASRASARIA

Digitally signed by NIDHI
JASRASARIA
Date: 2020.01.13 12:40:57
+05'30'

Nidhi Jasrasaria (Mem No – 40168)
Company Secretary & Compliance Officer

Encl :a/a



NICHE TECHNOLOGIES PRIVATE LIMITED

(An ISO 9001:2015 Certified Company)

(Registrars & Share Transfer Agent) CIN: U74140WB1994PTC062636

3A, Auckland Place, 7th Floor, Room No. 7A & 7B, Kolkata – 700 017

Phones: (033) 2280 6616 / 6617 / 6618 Fax: (033) 2280 6619

E-Mail: nichetechpl@nichetechpl.com Website: <https://www.nichetechpl.com>

Date: 03.01.2020

To
Thirdwave Financial Intermediaries Ltd.
601 Ambuja Neotia Ecocentre
EM – 4, EM Block, Sector V
Kolkata- 700091

Dear Sir / Madam

Sub.: Confirmation Certificate in the matter of Regulation 74(5) of SEBI (Depositories and Participants) Regulations, 2018 for the Quarter Ended 31st December, 2019

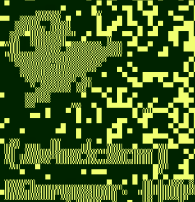
Ref.: SEBI vide its letter Ref No. MRD/DOP2/DSA2/OW/2019/2498/1 dt. 24-Jan-2019
NSDL/CIR/11/5/2019 dt. 25-Jan-2019
CDSL/OPS/RTA/POLCY/2019/14 dt. 25-Jan-2019

In reference to the above captioned subject, we as RTA of your company hereby confirm that

the company has been registered as a Depository Participant (DP) with NSDL/CDSL and is eligible to provide depository services to its clients in accordance with the provisions of the Depositories Act, 2006 and the SEBI (Depositories and Participants) Regulations, 2018.

The company has been allotted a unique DP ID number by NSDL/CDSL and is eligible to provide depository services to its clients in accordance with the provisions of the Depositories Act, 2006 and the SEBI (Depositories and Participants) Regulations, 2018.

For Niche Technologies Private Limited
(Authorized Signatory)


(Authorized Signatory)
Managing Director