



Thirdwave Financial Intermediaries Limited

14th January, 2021

The Manager
Department of Corporate Services
BSE Limited
Phirozee Jeejeeboy Towers, Dalal Street
Fort, Mumbai - 400 001

Scrip Code : 531652

Dear Sir,

Sub : Submission of Certificate u/r 74(5) of SEBI (Depositories & Participants) Regulation 2018 for the quarter ended on 31/12/2020

Please find attached herewith confirmation certificate issued by M/s Niche Technologies (P) Ltd, Registrar & Share Transfer Agents (RTA) of the company in compliance u/r 74(5) of SEBI (Depositories & Participants) Regulation 2018 for the quarter ended on 31/12/2020.

Kindly take the above on record.

Kindly acknowledge receipt.

Thanking you,

Yours faithfully
for THIRD WAVE FINANCIAL INTERMEDIARIES LTD.



Nidhi Jasrasaria (Mem No – 40168)
Company Secretary & Compliance Officer

Encl :a/a



NICHE TECHNOLOGIES PRIVATE LIMITED

(An ISO 9001:2015 Certified Company)

(Registrars & Share Transfer Agent) CIN: U74140WB1994PTC062636

3A, Auckland Place, 7th Floor, Room No. 7A & 7B, Kolkata – 700 017

Phones: (033) 2280 6616 / 6617 / 6618 Fax: (033) 2280 6619

E-Mail: nichetechpl@nichetechpl.com Website: <https://www.nichetechpl.com>

Date: 05.01.2021

To
Thirdwave Financial Intermediaries Ltd.
601 Ambuja Neotia Ecocentre
EM – 4, EM Block, Sector V
Kolkata- 700091

Dear Sir / Madam

Sub.: Confirmation Certificate in the matter of Regulation 74(5) of SEBI (Depositories and Participants) Regulations, 2018 for the Quarter Ended 31st December, 2020

**Ref.: SEBI vide its letter Ref No. MKD/STP/SA/OW/2019/2498/1 dt. 24-Jan-2019
NSDL/CIR/11/5/2019 dt. 25-Jan-2019
CDSL/OPS/RTA/POLCY/2019/14 dt. 25-Jan-2019**

In reference to the above captioned subject, we as RTA of your company hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 31st December, 2020, were confirmed (accepted/rejected) to the depositories and that securities comprised in the said certificate have been listed on the stock exchanges where the earlier issued securities are listed.

We hereby also confirm that the security certificates received for dematerialisation have been mutilated and cancelled after due verification and the name of the depository have been substituted in the register of members of the company as the registered owner.

For Niche Technologies Pvt. Ltd.
(Registrar & Share Transfer Agent)

(Ashok Sen)
Manager – EDP