



# SHIVOM INVESTMENT & CONSULTANCY LIMITED

8, Shanlya Enclave, 4<sup>th</sup> Floor, V.P. Road, Vile Parle, West Mumbai  
Mumbai City Maharashtra-400056

Phone No. : 8232038374, Email ID: [shivomicl@gmail.com](mailto:shivomicl@gmail.com)

Website: [www.shivominvestmentconsultancytld.com](http://www.shivominvestmentconsultancytld.com)

CIN: L74140MH1990PLC300881

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Date: 14<sup>th</sup> April, 2018

To,  
The Bombay Stock Exchange  
Department of Corporate Services  
Floor - 25, Phirozee Jeejeebhoy Tower,  
Dalal Street,  
Mumbai - 400001

To  
The Executive Listing & Compliance Department  
Metropolitan Stock Exchange of India Limited  
Vibgyor Towers, 4<sup>th</sup> Floor, Plot No. C 62, Opp. Trident Hotel  
Bandra Kurla Complex, Bandra (E), Mumbai-400098

To  
The Calcutta Stock Exchange Ltd  
7, Lyons Range  
Kolkata -700 001

**Sub.: Certificate Under Regulation 40(9) of the SEBI (LODR) Regulations for the Half Year ended 31<sup>st</sup> March, 2018.**

Dear Sir/Madam,

Pursuant to Regulation 40(9), of the Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations 2015, enclosed herewith a Certificate under Regulation 40(9) of the SEBI (LODR) Regulation, 2015 for the half year ended 31<sup>st</sup> March, 2018.

This is for your information and record.

Thanking you,

Yours faithfully,

Managing Director

## **S. A. & ASSOCIATES**

*Company Secretaries*

'SWASTIK', 334 / 157 Jessore Road,  
SN-8, (Ground Floor), Kolkata-700089  
Ph: 2534 2445/ 9830591639 /25343481  
Mobile: 98300 16001  
Email Id: cs.sa.associates@gmail.com

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### **CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LODR) REGULATIONS FOR THE HALF YEAR ENDED MARCH 2018.**

I have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to SHIVOM INVESTMENT & CONSULTANCY LIMITED (CIN: L74140MH1990PLC300881) of 8, Shaniya Enclave, 4<sup>th</sup> Floor, V P. Road, Vile Parle, West Mumbai Mumbai City, Maharashtra-400056, maintained by Niche Technologies Private Limited. (Registrar & Share Transfer Agents), pertaining to transfer of equity shares of the company for the period from 1<sup>st</sup> October, 2017 to 31<sup>st</sup> March, 2018 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015 by, SHIVOM INVESTMENT & CONSULTANCY LIMITED and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on 31<sup>st</sup> March, 2018:

- A) Share Certificate(s) relating to the transfer of Shares received during the period from 1<sup>st</sup> October, 2017 to 31<sup>st</sup> March, 2018 as entered in the Memorandum of Transfers have been issued within thirty days from the respective date of lodgment, of each form excepting those rejected on technical grounds. And
- B) has not received any request for sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies.

Date: 13/04/2018

Place: Kolkata

Proprietor  
C.P. No. 3173