

October 14, 2020

BSE Limited,
1st Floor, Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai – 400001
Script Code: 540065

The National Stock Exchange Limited,
Exchange Plaza, C-1, Block G,
Bandra Kurla Complex, Bandra (E)
Mumbai -400051
Script Name: RBLBANK

Sub: Submission of Compliance Certificate under regulation 40(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015

Dear Sir/Ma'am,

Pursuant to the Regulation 40(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, we hereby submit the compliance certificate issued by Gupta Baul & Associates, Practicing Company Secretaries for the half year ended September 30, 2020.

Kindly take the same on record.

Thanking you.

Yours faithfully,

For **RBL Bank Limited**



Niti Arya
Company Secretary

Encl.: As above

www.rblbank.com

RBL Bank Limited

Controlling Office: One World Center, Tower 2B, 6th Floor, 841 Senapati Bapat Marg, Lower Parel, Mumbai - 400 013, Maharashtra, India I Tel: +91 22 43020600 I Fax: 91 22 43020520

Registered Office: 1st Lane, Shahupuri, Kolhapur - 416001, India I Tel.: +91 231 6650214 I Fax: +91 231 2657386

CIN: L65191PN1943PLC007308 . E-mail: customercare@rblbank.com

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**
FOR THE HALF YEAR ENDED 30th SEPTEMBER, 2020

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents in relation to RBL Bank Limited (**"the Bank"**), maintained by **Link Intime India Private Limited ("Registrar and Share Transfer Agents")** pertaining to transfer of Equity Shares of the Bank for the period starting from **1st day of April, 2020 to 30th day of September, 2020 ("reporting period")** for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015 ("Regulations") and based on the information provided by the Bank and Registrar and Transfer agents, we hereby certify that the Bank has delivered during the reporting period:

- A) Share Certificate(s) relating to transfer of shares received during the Reporting period, as entered in Memorandum of Transfers have been issued within the time specified in the Regulations# from the date of lodgment for transfer, transmission, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed expecting those rejected on the technical ground.

FOR GUPTA BAUL & ASSOCIATES
COMPANY SECRETARIES



Hitesh J. Gupta

Date:- 13/10/2020

HITESH J GUPTA

Place:- Mumbai

PARTNER

COP NO:- 12722

UDIN: A033684B000936003

#There has been a delay in few of the cases for transfer of shares within 30 days, however due to COVID 19 pandemic and as per SEBI circular dated April 13, 2020 relaxation has been granted to RTA's and other intermediaries for processing of various investor request.