

PRAKASH WOOLLEN & SYNTHETIC MILLS LTD.

Manufacturers, Exporters & Importers of High Class Mink Blankets

Regd. Office & Works :

18th KM. Stone Delhi Moradabad Road, NH-24, Vill. Amhera PO. Chaudherpur, Distt. Amroha - 244 102 U.P.

CIN: L17291UP1979PLC004804 • Tel: 8791001430, 9760091983

g Address

430, Civil Lines, Kanth Road, Moradabad - 244001 U.P. (India) • mob. : 9837007288

E-mail : info@prakashwoollen.com • Website : www.prakashwoollen.com

Date: 16.07.2021

Dy. General Manager (Listing)
Department of Corporate Services
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai-400 001

SCRIP CODE: 531437

SCRIP ID: PWASML

SUB: REGULATION 30 OF THE SEBI (LODR), REGULATIONS, 2015 – REPORTING OF VIOLATIONS RELATED TO CODE OF CONDUCT UNDER SEBI (PIT) REGULATION 2015.

Dear Sir/Madam,

Pursuant to SEBI Circular **SEBIMO/ISD/ISD/CIR/P/2019/82** dated July 19, 2019, please, find enclosed herewith report for the violations related to the code of conduct under SEBI (PIT) Regulations, 2015 for your record.

You are requested to please take it on record.

Kindly acknowledge the receipt.

**Thanking You,
Yours faithfully,**

For PRAKASH WOOLLEN & SYNTHETIC MILLS LIMITED

**Ruchi Gupta
Company Secretary
M.No.- A48300**

Encl: As above

**Report by Prakash Woollen & Synthetic Mills Limited (CIN: L17291UP1979PLC004804)
for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading)
Regulations, 2015.**

*[For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of
Insider Trading) Regulations, 2015*

*For Intermediaries/ Fiduciaries: Schedule C read with Regulation 9(1) and 9(2) of SEBI
(Prohibition of Insider Trading) Regulations, 2015]*

Sr. No.	Particulars	Details
1	Name of the listed company/	Prakash Woollen & Synthetic Mills Limited
2	Please tick appropriate checkbox Reporting in capacity of : <input type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3	A. Details of Designated Person (DP)	
	i. Name of the DP	Kapil Gupta
	ii. PAN of the DP	
	iii. Designation of DP	Vice President- Sales & Marketing
	iv. Functional Role of DP	Sales & Marketing
	v. Whether DP is Promoter or belongs to Promoter Group	Yes
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	
	ii. PAN of the immediate relative of DP	
	C. Details of transaction(s)	
	i. Name of the scrip	Prakash Woollen & Synthetic Mills Limited
	ii. No of shares traded and value (Rs.) (Date- wise)	Sold 1 lakh shares for Rs 16.60/- per shares aggregating Rs. 16,60,000 as on 13.04.2020 (Off-market)
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar Quarter	
Sr. No.	Particulars	Details
	i. Date of intimation of trade(s) by concerned DP/director/ promoter/ promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	19.04.2021
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	20.04.2021

4	Details of violations observed under Code of Conduct	Prior approval was not taken and Delay in reporting of trade
5	Action taken by Listed company/ Intermediary/Fiduciary	<p>Warning letter has been issued to the Designated Person, Mr. Kapil Gupta that he would not engage in any kind of dealings in the Equity Shares of the Company in violation of the Company's Code of Conduct and SEBI (Prohibition of Insider Trading) Regulations, 2015. However it was an Off market Sale, a monetary penalty of Rs. 50,000/- (Rupees Fifty Thousand Only) has been imposed. The aforesaid penalty has been duly submitted to the Investor Protection and Education Fund of SEBI.</p> <p>The designated person has also been provided again with a copy of Code of Conduct along with presentation on compliances under SEBI (Prohibition of Insider Trading) Regulations, 2015. He has been further advised that in case of any subsequent violation stricter action(s) would be taken by the Company.</p>
6	Reasons recorded in writing for taking action stated above	<p>The Company acknowledges that this was the first instance of violation done without any malafide intention.</p> <p>The Company also believed that such action will serve to discourage any future instances of violation by any Designated Person or their relatives as well.</p>
7	Details of the previous instances of violations, if any, since last financial year	NIL
8	If any amount collected for Code of Conduct violation(s)	
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Online Payment
	ii. Details of transfer/payment: NEFT	
	In case of Online:	
	Particulars	Details
	Name of Transferor	Kapil Gupta
	Bank Name, branch and Account number	State Bank of India, Commercial Branch, Moradabad Account no.- 00000010652079350

	UTR/Transaction reference Number	SBIN421195548124
	Transaction date	14.07.2021 (05:24 pm)
	Transaction Amount (in Rs.)	50,000
	In case of Demand Draft (DD):	
	Particulars	Details
	Bank Name and branch	
	DD Number	
	DD date	
	DD amount (in Rs.)	
9	Any other relevant information	Initial reporting in this regard has been given on 20.04.2021.

**Thanking You,
Yours faithfully,**

For PRAKASH WOOLLEN & SYNTHETIC MILLS LIMITED

**Ruchi Gupta
Company Secretary
M.No. - A48300
Email id: compliance@prakashwoollen.com**

**Place: Amroha
Date: 16.07.2021**