



PRAKASH WOOLLEN & SYNTHETIC MILLS LTD.

Manufacturers, Exporters & Importers of High Class Mink Blankets

Regd. Office & Works :

18th KM. Stone Delhi Moradabad Road, NH-24, Vill. Amhera PO. Chaudherpur, Distt. Amroha - 244 102 U.P.

CIN: L17291UP1979PLC004804 • Tel: 8791001430, 9760091983

H. O. & Mailing Address :

430, Civil Lines, Kanth Road, Moradabad - 244001 U.P. (India) • Mob. : 9837007288

E-mail : info@prakashwoollen.com • Website : www.prakashwoollen.com

Date: 16.07.2021

Dy. General Manager (Listing)
Department of Corporate Services
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai-400 001

SCRIP CODE: 531437
SCRIP ID: PWASML

SUB: REGULATION 30 OF THE SEBI (LODR), REGULATIONS, 2015 – REPORTING OF VIOLATIONS RELATED TO CODE OF CONDUCT UNDER SEBI (PIT) REGULATION 2015.

Dear Sir/Madam,

Pursuant to SEBI Circular **SEBIMO/ISD/ISD/CIR/P/2019/82** dated July 19, 2019, please, find enclosed herewith report for the violations related to the code of conduct under SEBI (PIT) Regulations, 2015 for your record.

You are requested to please take it on record.

Kindly acknowledge the receipt.

Thanking You,
Yours faithfully,

For **PRAKASH WOOLLEN & SYNTHETIC MILLS LIMITED**

Ruchi Gupta
Company Secretary
M.No.- A48300

Encl: As above

**Report by Prakash Woollen & Synthetic Mills Limited (CIN: L17291UP1979PLC004804)
for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading)
Regulations, 2015.**

*[For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of
Insider Trading) Regulations, 2015*

*For Intermediaries/ Fiduciaries: Schedule C read with Regulation 9(1) and 9(2) of SEBI
(Prohibition of Insider Trading) Regulations, 2015]*

Sr. No.	Particulars	Details
1	Name of the listed company/	Prakash Woollen & Synthetic Mills Limited
2	Please tick appropriate checkbox Reporting in capacity of : <input type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3	A. Details of Designated Person (DP)	
	i. Name of the DP	Adeep Gupta
	ii. PAN of the DP	AARPG4506G
	iii. Designation of DP	Whole-Time Director
	iv. Functional Role of DP	Production
	v. Whether DP is Promoter or belongs to Promoter Group	Yes
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	NA
	ii. PAN of the immediate relative of DP	NA
	C. Details of transaction(s)	
	i. Name of the scrip	Prakash Woollen & Synthetic Mills Limited
	ii. No of shares traded and value (Rs.) (Date- wise)	1- Late Jai Kishan Gupta's 417960 shares transmitted into the name of his son Adeep Gupta as on 13.08.2020. 2- Transfer of 330590 shares of Jai Kishan Gupta HUF to Adeep gupta HUF as on 08.10.2020 3- Transfer 330590 shares of Adeep Gupta to his son Aditya Gupta as on 15.10.2020 (Off market /

		Inter se Transfer)
D. In case value of trade(s) is more than Rs.10 lacs in a calendar Quarter		
Sr. No.	Particulars	Details
	i. Date of intimation of trade(s) by concerned DP/director/ promoter/ promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	19.04.2021
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	20.04.2021
4	Details of violations observed under Code of Conduct	Delay in reporting of trades
5	Action taken by Listed company/ Intermediary Fiduciary	<p>Warning letter has been issued to the Designated Person, Mr. Adeep Gupta that he would not engage in any kind of dealings in the Equity Shares of the Company in violation of the Company's Code of Conduct and SEBI (Prohibition of Insider Trading) Regulations, 2015.</p> <p>The designated person has also been provided again with a copy of Code of Conduct along with presentation on compliances under SEBI (Prohibition of Insider Trading) Regulations, 2015. He has been further advised that in case of any subsequent violation stricter action(s) would be taken by the Company.</p>

6	Reasons recorded in writing for taking action stated above	The Company acknowledges that this was the first instance of violation done without any malafide intention. The Company also believed that such action will serve to discourage any future instances of violation by any Designated Person or their relatives as well.
7	Details of the previous instances of violations, if any, since last financial year	NIL
8	If any amount collected for Code of Conduct violation(s)-NIL	
9	Any other relevant information	Initial reporting in this regard has been given on 20.04.2021.

**Thanking You,
Yours faithfully,**

For PRAKASH WOOLLEN & SYNTHETIC MILLS LIMITED

**Ruchi Gupta
Company Secretary
M.No. - A48300
Email id: compliance@prakashwoollen.com**

**Place: Amroha
Date: 16.07.2021**