

MS SECURITIES

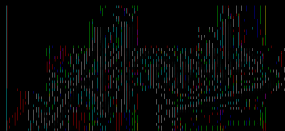
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CIN NO L06032BR1002PLC004701



Scrip code	531039
NSE Symbol	
MSEI Symbol	
ISIN	
Name of the company	MS Securities Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2018
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	Yes	No	Yes	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	8	1759500			1759500	41.4	1759500		1759500	42.98
(B)	Public	1538	2335000	155700		2490700	58.6	2334100		2334100	57.02
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	1546	4094500	155700		4250200	100	4093600		4093600	100

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				41.4					0
(B)	Public				58.6					0
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					0

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	4	323400			323400	7.61	323400		323400	7.9
(d)	Any Other (specify)	4	1436100			1436100	33.79	1436100		1436100	35.08
Sub-Total (A)(1)		8	1759500			1759500	41.4	1759500		1759500	42.98
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		8	1759500			1759500	41.4	1759500		1759500	42.98
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	2	110600	0		110600	2.6	110600		110600	2.7
Sub-Total (B)(1)		2	110600	0		110600	2.6	110600		110600	2.7
(3)	Non-institutions										
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	1475	832900	131200		964100	22.68	1172400		1172400	28.64
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	27	1140900	24100		1165000	27.41	800500		800500	19.55
(e)	Any Other (specify)	34	250600	400		251000	5.91	250600		250600	6.12
Sub-Total (B)(3)		1536	2224400	155700		2380100	56	2223500		2223500	54.32
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		1538	2335000	155700		2490700	58.6	2334100		2334100	57.02
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		1546	4094500	155700		4250200	100	4093600		4093600	100
Total (A+B+C)		1546	4094500	155700		4250200	100	4093600		4093600	100

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				7.61					0
(d)				33.79					0
Sub-Total (A) (1)				41.4					0
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2)				41.4					0
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				2.6					0
Sub-Total (B) (1)				2.6					0
(3)	Non-institutions								
(a(i))				22.68					0
(a(ii))				27.41					0
(e)				5.91					0
Sub-Total (B) (3)				56					0
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				58.6					0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					0
Total (A+B+C)				100					0
Disclosure of notes in case of promoter holding in dematerialised form is less than 100 percentage								Textual Information (1)	
Disclosure of notes in case of public share holding is less than 25 percentage								Textual Information (1)	

Textual Information()	Dematerlization is under process has documents submitted to NSDL for agreement and account open.
Textual Information()	Public share holding is over 25%

Serial No.	1	2	3	4	
Name of the Shareholders (I)	MANOJ KUMAR SARAF	SANJEEV KUMAR SARAF	SANTOSH SARAF	SANGITA DEVI SARAF	Click here to go back
PAN (II)	AGFPS4763H	AJHPS4595E	AKQPS2509A	AJKPS5626D	Total
No. of fully paid up equity shares held (IV)	202300	64100	30100	26900	323400
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	202300	64100	30100	26900	323400
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.76	1.51	0.71	0.63	7.61
Number of Voting Rights held in each class of securities (IX)					
Class eg:X	202300	64100	30100	26900	323400
Class eg:y					
Total	202300	64100	30100	26900	323400
Total as a % of Total Voting rights	4.94	1.57	0.74	0.66	7.9
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	4.76	1.51	0.71	0.63	7.61
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of Shares pledged or otherwise encumbered (XIII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	0	0	0	0	0
Reason for not providing PAN					
Reason for not providing PAN					

Shareholder type	Promoter	Promoter	Promoter	Promoter	
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Serial No.	1	2	3	4	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	SARAF ERGONOMICS FINANCIAL SERVICES PRIVATE LIMITED	THIRD EYE COMMUNICATIONS PRIVATE LIMITED	LEPTON SOFTWARE EXPORT & RESEARCH PRIVATE LIMITED	NATURE GREEN AGRI PRIVATE LIMITED	
PAN (II)	AAHCS3463R	AABCT8616P	AAACL6778R	AABCN7611E	Total
No. of the Shareholders (I)	1	1	1	1	4
No. of fully paid up equity shares held (IV)	1088000	170100	132000	46000	1436100
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1088000	170100	132000	46000	1436100
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	25.6	4	3.11	1.08	33.79
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	1088000	170100	132000	46000	1436100
Class eg:y					
Total	1088000	170100	132000	46000	1436100
Total as a % of Total Voting rights	26.58	4.16	3.22	1.12	35.08
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	25.6	4	3.11	1.08	33.79
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of Shares pledged or otherwise encumbered (XIII)					
No. (a)					
As a % of total Shares held (b)					
	0	0	0	0	0

Number of equity shares held in dematerialized form (XIV)					
Reason for not providing PAN					
Reason for not providing PAN					
Shareholder type	Promoter Group	Promoter Group	Promoter Group	Promoter Group	

Serial No.	1	
Name of the Shareholders (I)	CREDIT CAPITAL INVESTMENT TRUST CO. LTD.	Click here to go back
PAN (II)	ZZZZZ9999Z	Total
No. of fully paid up equity shares held (IV)	100000	100000
No. Of Partly paid-up equity shares held (V)	0	0
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	100000	100000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.35	2.35
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	100000	100000
Class eg:y		
Total	100000	100000
Total as a % of Total Voting rights	2.44	2.44
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) + (X) As a % of (A+B+C2)	2.35	2.35
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	0	0
Reason for not providing PAN		
Reason for not providing PAN	Textual Information(1)	

Textual Information(1)	PAN not available in physical form.

Searial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	VED PRAKASH SINGH	MALTI DEVI SINGH	RAJNI DIPCHAND DESAI	VIVEK KUMAR	OM PRAKASH SINGH	DHARMENDRA KUMAR ASTHANA	SANJAY SINGH
PAN (II)	ZZZZZ9999Z	ZZZZZ9999Z	ZZZZZ9999Z	ZZZZZ9999Z	ZZZZZ9999Z	ZZZZZ9999Z	ZZZZZ9999Z
No. of fully paid up equity shares held (IV)	80000	63000	60500	49500	49300	49300	48100
No. Of Partly paid-up equity shares held (V)	0	0	0	0	0	0	0
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	80000	63000	60500	49500	49300	49300	48100
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.88	1.48	1.42	1.16	1.16	1.16	1.13
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	80000	63000	60500	49500	49300	49300	48100
Class eg:y							
Total	80000	63000	60500	49500	49300	49300	48100
Total as a % of Total Voting rights	1.95	1.54	1.48	1.21	1.2	1.2	1.18
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.88	1.48	1.42	1.16	1.16	1.16	1.13
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	0	0	0	0	0	0	0
Reason for not providing PAN							
Reason for not providing PAN	Textual Information(1)	Textual Information (2)	Textual Information(3)	Textual Information (4)	Textual Information (5)	Textual Information(6)	Textual Information (7)

Serial No.	8	9	10	
Name of the Shareholders (I)	RITURAJ ASTHANA	SUSHIL KUMAR SUREKA	SHALINI SINGH	Click here to go back
PAN (II)	ZZZZZ9999Z	ZZZZZ9999Z	ZZZZZ9999Z	Total
No. of fully paid up equity shares held (IV)	47200	44900	42800	534600
No. Of Partly paid-up equity shares held (V)	0	0	0	0
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	47200	44900	42800	534600
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.11	1.06	1.01	12.58
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	47200	44900	42800	534600
Class eg:y				
Total	47200	44900	42800	534600
Total as a % of Total Voting rights	1.15	1.1	1.05	13.06
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.11	1.06	1.01	12.58
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	0	0	0	0
Reason for not providing PAN				
Reason for not providing PAN	Textual Information(8)	Textual Information(9)	Textual Information(10)	

Textual Information(1)	PAN not available in physical form.
Textual Information(2)	PAN not available in physical form.
Textual Information(3)	PAN not available in physical form.
Textual Information(4)	PAN not available in physical form.
Textual Information(5)	PAN not available in physical form.
Textual Information(6)	PAN not available in physical form.
Textual Information(7)	PAN not available in physical form.
Textual Information(8)	PAN not available in physical form.
Textual Information(9)	PAN not available in physical form.
Textual Information(10)	PAN not available in physical form.

Serial No.	1	
Category	Non-Resident Indian (NRI)	
Category / More than 1 percentage	More than 1 percentage of shareholding	
Name of the Shareholders (I)	HABIB AHMED SIDDIQUE	Click here to go back
PAN (II)	ZZZZZ9999Z	Total
No. of the Shareholders (I)	1	0
No. of fully paid up equity shares held (IV)	101100	0
No. Of Partly paid-up equity shares held (V)	0	0
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	101100	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.38	0
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	101100	0
Class eg:y		
Total	101100	0
Total as a % of Total Voting rights	2.47	0
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	2.38	0
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	0	0
Reason for not providing PAN		
	Textual Information(1)	

Reason for not providing PAN		
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Textual Information(1)	PAN not available in physical form.

