



**AMFORGE INDUSTRIES LIMITED** (CIN-L28910MH1971PLC015119)

Registered Office: 1104-A, Raheja Chambers, 11<sup>th</sup> Floor, Free Press Journal Marg, Nariman Point, Mumbai - 400021.

Tele: 022-22828933 / 22821486 ° Fax: 022-66365964 ° Web: [www.amforgeindia.in](http://www.amforgeindia.in)

Email: [amfcosec@mtnl.net.in](mailto:amfcosec@mtnl.net.in) and [secretarial@amforgeindustries.com](mailto:secretarial@amforgeindustries.com)

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AMF:RO: 2020-2021

16<sup>th</sup> September, 2020

Corporate Relationship Department  
BSE Limited  
P.J. Towers,  
Dalal Street  
Mumbai-400 001

(Code No. 513117)

Dear Sirs,

Sub: Annual Secretarial Compliance Report

Pursuant to Regulation 24A of SEBI (LODR) Regulations, 2015 read with SEBI Circular: CIR/CFD/CMDI/27 /2019 dated 08-02-2019, we are pleased to submit herewith the Annual Secretarial Compliance Report, issued by M/s. Sandeep Dubey and Associates, Practicing Company Secretaries, Mumbai for the Financial Year ended 31<sup>st</sup> March, 2020 and request you to take the same on record and oblige.

Thanking you,

Yours truly,  
For AMFORGE INDUSTRIES LIMITED

HUDSON Digitally signed  
by HUDSON  
JOSEPH DCOSTA  
Date: 2020.09.17  
11:41:01 +05'30'

Hudson D'Costa  
Managing Director

Encl: As above



# SANDEEP DUBEY & ASSOCIATES

(PRACTICING COMPANY SECRETARIES)

Secretarial Annual Compliance report of M/s. Amforge Industry Limited for the year ended 31<sup>st</sup> March, 2020.

I Sandeep Dubey, Practicing Company Secretary have examined:

- a) all the documents and records made available to us and explanation provided by Board of Amforge Industry Limited ("the listed entity");
- b) the filings/submissions made by the listed entity to the stock exchanges;
- c) website of the listed entity; and
- d) such other documents and filings made by the Company which has been relied upon to make this certification.

**For the year ended 31<sup>st</sup> March, 2020 ("Review Period") in respect of compliance with the provisions of:**

- a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

**The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include: -**

- (a) Securities and Exchange Board of India (Listing Obligation Disclosure Requirements) Regulation 2015. **Complied**
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **Not Applicable to the company under the Audit period.**
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; **Not Applicable to the company under the Audit period.**
- (d) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018; **Not Applicable to the company under the Audit period.**
- (e) Securities and Exchange Board of India (Prohibition of fraudulent and unfair trade practices relating to securities market) (Amendment), Regulations, 2018; **Complied**
- (f) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 And circulars/ guidelines issued thereunder; **Complied**

Note: The aforesaid list of SEBI Regulations is only the list of Regulations which were applicable to the Company during the year under review.

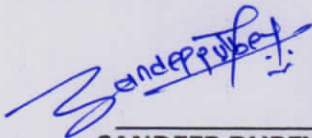


*Sandeep Dubey*

**And Based on the above examination, I hereby report that, during the Review Period:**

- a) The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued there under;
- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/guidelines issued there under insofar as it appears from my/our examination of those records.
- c) There is no action taken against the listed entity/its promoters/directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/Regulations and circulars/guidelines issued there under:
- (e) The listed entity has complied with provisions of SEBI Circular CIR/CFD/CDM1/114/2019 dated 18<sup>th</sup> October, 2019 in respect of appointment of Auditor, to the extent applicable.

**For SANDEEP DUBEY & ASSOCIATES  
PRACTICEING COMPANY SECRETARIES**



**SANDEEP DUBEY  
PROPRIETOR  
Membership No:47940  
COP No.: 17902**



Date: 09<sup>th</sup> August, 2020  
Place: Mumbai