

DHUNSERI INVESTMENTS LIMITED

REGISTERED OFFICE : DHUNSERI HOUSE 4A, WOODBURN PARK, KOLKATA - 700 020

CIN: L15491WB1997PLC082808

Ref. No. DIL/108/2019/

18.10.2019

To,
BSE Limited
(Scrip Code: 533336)
Floor 25, P. J. Towers
Dalal Street
Mumbai - 400001

National Stock Exchange of India Limited
(Symbol: DHUNINV)
Exchange Plaza
Plot No: C/1, G Block
Bandra – Kurla Complex, Bandra (E)
Mumbai – 400 051

Dear Sir / Ma'am,

Sub: Certificate under Regulation 40 (9) of SEBI (LODR) Regulations, 2015 for the half year ended 30th September, 2019

Please find enclosed a Certificate under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the period from 1st April, 2019 to 30th September, 2019.

This is for your information and record..

Thanking You.

Yours faithfully,
For **DHUNSERI INVESTMENTS LIMITED**

Priya Agarwal

Priya Agarwal
Company Secretary & Compliance Officer
ACS 38800



Encl: as above.



M Shahnawaz & Associates

Company Secretaries

Address : 16A, Abdul Hamid Street, 5th Floor
Room No. 501, Kolkata - 700 069
Ph. : 033 4600 5705, Mob. : 98313 38937 / 8334988800
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Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended September 30, 2019

The Securities and Exchange Board of India vide Notification dated June 8, 2018 read with Notification dated November 30, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. April 1, 2019. Further SEBI vide its press release dated March 27, 2019 clarified that the transfer deeds lodged prior of April 1, 2019 and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 1, 2019.

Accordingly, I have examined the relevant records of **Dhunseri Investments Limited** (the Company), having Registered Office at Dhunseri House, 4A Woodburn Park, Kolkata- 700020, maintained by Registrar and Share Transfer Agent (RTA), Maheshwari Datamatics Private Limited, 23, R N Mukherjee Road, 5th Floor, Kolkata - 700001, for the period from April 1, 2019 to September 30, 2019, for the purpose of issuing this Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, and based on the information provided by the Company/(RTA), I hereby certify that:

- A) 34 (Thirty Four) requests for registration of transfer of physical shares, which were lodged before April 1, 2019, have been re-lodged during the period from April 1, 2019 to September 30, 2019. In all 34 (Thirty Four) cases, transfers have been registered and the respective share certificates have been issued within 30 days as prescribed under Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- B) 3 (Three) request for transmission of Shares has been received during the period from April 1, 2019 to September 30, 2019 and the same has been registered and the respective share certificates has been issued within 30 days as prescribed under Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015; and
- C) No request for sub-division, consolidation, renewal, replacement, exchange or endorsement of calls/allotment monies has been received during the period from April 1, 2019 to September 30, 2019.

M Shahnawaz & Associates
Company Secretaries
Firm Regn. No.: S2015WB331500

M. Shahnawaz

CS Md. Shahnawaz
(Proprietor)
ACS No. 21427
C.P. No. 15076

Date: October 17, 2019
Place: Kolkata



UDIN: A021027A00010572