



Ref/No/HDFCAMC/SE/2021-22/05

Date – April 19, 2021

National Stock Exchange of India Limited Exchange Plaza, Plot C/1, Block G, Bandra Kurla Complex, Bandra (East) Mumbai – 400051	BSE Limited Sir PJ Towers, Dalal Street, Mumbai – 400001
<b>L oe Buo I fbe Mtuoh Efqbsun fou</b>	<b>L oe Buo s HfofsbnN bobhfs ED Mtuoh Efqbsun fou</b>

Dear Sir/Madam,

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oe b)Mtuoh cnhbupot boe E tdptvsf S frv sfn fou S fhvrbupot 12

Please find enclosed herewith a certificate issued under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 by S. S. Rauthan & Associates, Company Secretaries, for the period October 01, 2020 to March 31, 2021.

Thanking You,

**pvst Gb ui gym**  
**Gps I EGD BttfuN bobhfn fouDpn qbo Mn ufe**

**nwb Gvsube p**  
**Dpn qbo fdsfubs**

Encl: a/a

**I EGD BttfuN bobhfn fouDpn qbo Mn ufe**

A Joint Venture with Standard Life Investments

**D O** L65991MH1999PLC123027

**S fh tu'sfe** ggdf : "HDFC House", 2ndFloor, H.T. Parekh Marg, 165-166, Backbay Reclamation, Churchgate, Mumbai-400 020  
Tel.: 022 - 6631 6333 Fax: 022 - 6658 0203 Website: [www.hdfcfund.com](http://www.hdfcfund.com) email: [shareholders.relations@hdfcfund.com](mailto:shareholders.relations@hdfcfund.com)



# S. S. Rauthan & Associates

Company Secretaries



SS Surjan Singh Rauthan  
B.Com., F.C.S.

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI  
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)  
REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED MARCH 31, 2021**

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **HDFC Asset Management Company Limited** maintained by **KFin Technologies Private Limited** (Formerly known as **Karvy Fintech Private Limited** (the "RTA") pertaining to transfer of equity shares of the Company for the period from October 1, 2020 to March 31, 2021, for the purpose of issuing a Certificate as per Regulation 40 (9) of the SEBI ((Listing Obligations and Disclosure Requirements) Regulations, 2015, entered into by HDFC Asset Management Company Limited and based on the information provided by the

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