

# THE UGAR SUGAR WORKS LIMITED.

Works \* Ugar Khurd – 591 316, Dist.Belgaum, Karnataka  
E-mail \* helpdesk@ugarsugar.com  
Regd. Office \* Mahaveernagar, Sangli – 416 416, Maharashtra.  
E-mail \* Usw.sangli@ugarsugar.com

Phone \* -91 8339 272230 (5 Lines) Fax \* -918339 272232  
Website \* www.ugarsugar.com  
Phone \* -91 233 2623717, 2623716 Fax \* -91 233 2623617  
TIN No. \* 29520007001, PAN-AAACT7580R  
SERV.TAX \* AAACT7580 RST 004. ECC No.AAACT7580 RXM001  
(CIN – L15421PN1939PLC006738)

Sec/

Date: 11/04/2022

To,  
The Executive Director,  
Bombay Stock Exchange Ltd.,  
P J Towers, Dalal Street,  
Mumbai.  
Tel No: (022) 22721234  
Fax No: (022) 22721278/22722039  
Stock Code: 530363

To,  
Corporate Communications  
National Stock Exchange of India Ltd.  
Exchange Plaza, Plot no. C/1, G Block  
Bandra-Kurla Complex, Bandra (E)  
Mumbai - 400 051 Tel No: (022)  
26598148 Fax No: (022) 26598120  
Stock Code: UGARSUGAR

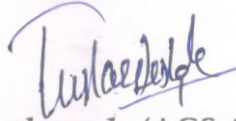
Dear Sir / Madam,

Sub: Certificates Half Yearly Compliance under Regulations 7(3) & 40(9).

We are enclosing along with this letter Half Yearly Certificate under Regulations 7(3) & 40(10) of the Listing Regulations for the period from 1<sup>st</sup> January, 2022 to 31<sup>st</sup> March, 2022 duly certified and issued by Practicing Company Secretary Mr. Abhay Gulavani and the Compliance Officer of M/s. Bigshare & Services Pvt. Ltd., along with Compliance Officer of Company.

Kindly acknowledge the receipt.

Thanking You,  
Yours faithfully,  
For The Ugar Sugar Works Ltd.,



T. V. Deshpande (ACS-45586)  
Company Secretary & Compliance Officer



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Mumbai - 400 051 Tel No: (022)  
26598148 Fax No: (022) 26598120  
**Stock Code: UGARSUGAR**

Dear Sir / Madam,

**Sub: Compliance Certificate under Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to the requirement of Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that all activities in relation to both physical and electronic share transfer facility are maintained by our Share Transfer Agent viz. Bigshare Services Pvt. Ltd. having permanent SEBI Registration No: INR000001385

Kindly take note of the above.

Thanking you,  
Yours faithfully,  
**For The Ugar Sugar Works Ltd.**

**For Bigshare Services Pvt. Ltd.**

VENKATA  
KRISHNA MOHAN  
NANDAM

Digitally signed by VERKATA KRISHNA MECHAN KANDAM  
DN: cn=D, o=Maharashtra  
2.5.4.20=ee99e307d644434fc6c52be7069271b4b6542ce3fb  
f17124329715752536, postalCode=055018, street=B3130  
LODHA IMPERIA TANK ROAD OPP DREAM MALL BEHIND  
WEST  
serialNumber=863607c99d233057c05c327a6746669436c83  
serial=C99B6727270774d2c; e=Personal; cn=VERKATA  
KRISHNA MECHAN KANDAM,  
personId=30a5a55f6136865906c9b381a8c1a9c4  
Date: 2022.04.11 15:51:04 +05'30'

## Compliance Officer



**T.V. Deshpande (ACS: 45586)**  
**Company Secretary & Compliance Officer**





**ABHAY R. GULAVANI**

B.Com., LL.B.(Spl.), PGDIB, CS

**PRACTICING COMPANY SECRETARY**

Office Address : 'GANESH VANDAN' Apts, Flat No. 202, Gandhi Colony, Opp. Ganapati Mandir, Vishrambag, Sangli - 416415.

Residence : "Yadneshwar Bunglow", Near Murtikar Joshi, Behind Court, Killa Bhag, Miraj - 416 410, Dist-Sangli.

Office Phone : (0233) 2302482, M : +91 9423871452, Email : abhaygulavani@rediffmail.com

**CERTIFICATE UNDER CLAUSE 40(9) OF THE SEBI LISTING REGULATIONS**  
**(LODR)**

**FOR THE HALF YEAR ENDED (half year ended March, 2022).**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to *(The Ugar Sugar Works Limited)* maintained by *(M/s. Bigshare Services Pvt. Ltd.)* pertaining to transfer of equity shares of the company for the period from *(1<sup>st</sup> October, 2021)* to *(31<sup>st</sup> March, 2022)* for the purpose of issuing a Certificate as per Clause 7(3) of the Uniform Listing Regulations entered into by, *(The Ugar Sugar Works Limited, CIN - L15421PN1939PLC006738; Scrip Code 530363)* with BSE and *(The Ugar Sugar Works Limited., CIN - L15421PN1939PLC006738; Scrip Code UGARSUGAR)* with NSE based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on *(31<sup>st</sup> March, 2022)* :

A) Share/~~Debt~~ure Certificate(s) relating to the transfer of Shares/~~Debt~~ures received during the period from *(1<sup>st</sup> October, 2021)* to *(31<sup>st</sup> March, 2022)* as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or ~~endorsement of calls/allotment monies~~ from respective date of lodgment of each deed excepting those rejected on technical grounds.

B) Any other information, if applicable. - N.A.

Date: 06-04-2022

Place: Sangli

UDIN:

Peer Review Certificate No:

*Abhay R. Gulavani*  
Abhay R. Gulavani  
Practicing Company Secretary  
FCS: 10668 ~ C.P. No 10741  
F010668D000028983  
1841/2022

