



ALFRED HERBERT (INDIA) LTD.

13/3, Strand Road, Kolkata - 700 001
Telephone : 2226 8619, 2264 0106
Fax : (033) 2229 9124
E-mail : kolkata@alfredherbert.com
Website : www.alfredherbert.co.in
CIN : L74999WB1919PLC003516

Bombay Stock Exchange Limited
Phiroze Jeejeebhoy Towers, 25th Floor
Dalal Street
Mumbai 400 001

19th April, 2023

Dear Sirs,

**COMPLIANCE CERTIFICATE UNDER REGULATION 40(9)
OF SEBI (LODR) REGULATIONS, 2015
OUR SCRIP CODE NO. 505216**

We enclose herewith Compliance Certificate under Regulation 40(9) dated 18th April 2023 issued by M/s Sweety Kapoor, Practising Company Secretary for year ended 31st March 2023 for your information and record.

Kindly acknowledge receipt.

Yours faithfully,

For Alfred Herbert (India) Limited,

Shobhana Sethi
Company Secretary and Chief Financial Officer

Encl: as above



Sweetie Kapoor

Practising Company Secretary

📍 : Business Communication Centre,
21, Parsee Church Street, Room No. 4
Near - 18, Ezra Street, Kolkata - 700001
☎ : 033-40083749
📠 : 9830471414
✉ : sweetiekapoor53@rediffmail.com

CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR) REGULATIONS, 2015

FOR THE YEAR ENDED 31ST MARCH, 2023

The Securities and Exchange Board of India ("SEBI") vide gazette notification dated 8th June, 2018 and vide its press release dated 2nd December, 2018, issued Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. 1st April, 2019.

Further, SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HO/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 has mandated issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to issue of duplicate share certificate, claim from unclaimed suspense account, renewal/ exchange, endorsement, sub-division/ splitting, consolidation, transmission and transposition of share certificates.

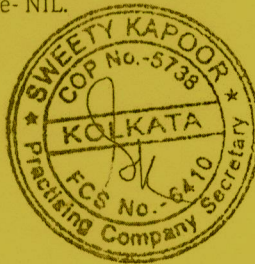
Accordingly, on the basis of examination of the relevant documents relating to M/s. Alfred Herbert (India) Limited ("the Company") having its Registered office at 13/3, Strand Road, Kolkata - 700 001, West Bengal, maintained and provided electronically by their Registrar and Share Transfer Agent M/s. Maneswari Data-matics Pvt. Ltd. ("RTA") for the financial year 1st April, 2022 to 31st March, 2023 ("Period") for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities Exchange Board of India ("SEBI") (Listing Obligations and Disclosure Requirements) Regulations, 2015 to the Company and based on the information provided, I hereby certify that:

- a. There were no request relating to transfers received during the said period.
- b. The Company has delivered/sent letters of confirmation as per SEBI's operational guidelines, for execution of transmission of securities and duplicate issue of share certificates received during the financial year ended 31st March, 2023, within the prescribed time from the respective date of lodgment.

As informed to me there were no requests relating to transposition of securities, sub-division (split), renewal, consolidation received during the said period.

- c. Any other information as applicable- NIL.

Place: Kolkata
Date: 18/04/2023



Sweetie Kapoor
(Sweetie Kapoor)

Practising Company Secretary
Membership No. FCS 6410, CP No.5738
UIN: I2003WB399800
PRCN: 660/2020
UDIN: F006410E000134881