



# CIL Securities Ltd

REGD. OFF : 214, RAGHAVA RATNA TOWERS, CHIRAG ALI LANE, ABIDS, HYDERABAD - 500 001.

PHONE NO. OFF : 040-23202465, 23203155,

E.mail : advisors@cilsecurities.com

CIN No - L 67120TG1989PLC010188

Ref: CILSEC/LODR/COMP/04/2021

20<sup>th</sup> April 2021

To,  
The Manager (Listing),  
BSE Limited,  
P. J. Towers,  
Dalal Street,  
Mumbai- 400001

Dear Sir/Madam,

**Sub: Submission of Half Yearly Certificate under regulation 40(9) of SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015**

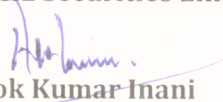
**Ref: Scrip Code: 530829**

In compliance with the Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, please find enclosed herewith a copy of the Compliance Certificate issued by Dwarka Prasad Asawa, Practicing Company Secretary for the Half Year ended 31<sup>st</sup> March 2021.

Kindly take the same on your records.

Thanking you,

Yours faithfully,  
For CIL Securities Limited

  
**Ashok Kumar Inani**  
CFO – Director (Finance)  
DIN: 00223069



Encl: a/a

**DWARAKA PRASAD ASAWA**  
Company secretary in practice

**#511, Raghava Ratna Towers,  
Chirag Ali Lane, Abids,  
Hyderabad-500001  
M: 9490506661/ 040-66635759  
Email: [asawavickey@gmail.com](mailto:asawavickey@gmail.com)**

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH 2021**

The Securities and Exchange Board of India vide gazette notification dated 08<sup>th</sup> June 2018 and vide its press release dated 03<sup>rd</sup> December 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. 01<sup>st</sup> April 2019. Further SEBI vide its press release dated 27<sup>th</sup> March 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01<sup>st</sup> April 2019.

Accordingly, on the basis of the examination of the relevant books, registers, forms, documents and papers of CIL SECURITIES LIMITED ("the Company") maintained "In House" by the Company, being SEBI registered category I Registrar and Share Transfer Agent, pertaining to transfer of equity shares of the Company for the period from 01<sup>st</sup> October 2020 to 31<sup>st</sup> March 2021 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, We hereby certify that:

A. Neither share transfers/transmissions were lodged nor received request for Sale/ Buy of

