SRESTHA FINVEST LIMITED

Date: 20th April 2021

To
1. The Bombay Stock Exchange Limited, Mumbai.
2. The Metropolitan Stock Exchange of India Limited, Mumbai.

Dear Sir,

Sub: Annual Secretarial Compliance Report for the Year ended March 31, 2021
Ref: SEBI Circular No. CIR/CFD/CMD/27/2019 dated February 8, 2019.

Please find enclosed herewith the Annual Secretarial Compliance Report for the year ended March 31, 2021, issued by M/s AXN Prabhu & Associates, Practicing Company Secretaries and Secretarial Auditors of our Company.

We request you to kindly take the same on record.

Thanking you,
Yours faithfully,
For Srestha Finvest Limited

20-04-2021

X A. JITHENDRA KUMAR BAF...

Company Secretary & Compliance Officer
Signed by: A. Jithendra Kumar Bafna

Encl. As above

Registered Office :
No.35/1, Muthu Krishnan Street,
Kondithope, Chennai - 600079

Phone No. 044-25206006
E-mail : srestha.info@gmail.com
CIN : L65993TN1985PLC012047

AXN PRABHU & ASSOCIATES
COMPANY SECRETARIES

Secretarial Compliance Report of Srestha Finvest Limited for the year ended March 31, 2021

I, **AXN Prabhu**, the proprietor of **M/s. AXN Prabhu & Associates**, have examined:

(a) all the documents and records made available to us and explanation provided by **M/s. Srestha Finvest Limited** ("the Listed entity"),

(b) the filings/ submissions made by the listed entity to the stock exchanges,

(c) website of the listed entity,

(d) any other document/filing, as may be relevant, which has been relied upon to make this certification,

for the year ended March 31, 2021 ("Review Period") in respect of compliance with the provisions of:

(a) the Securities and Exchange Board of India Act, 1992 (SEBI ACT") and the Regulations, circulars, guidelines issued thereunder; and

(b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

(a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;

(b) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;

(c) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

(d) Securities and Exchange Board of India (Depositories & Participants) Regulations, 2018;

and circulars/guidelines issued thereunder;

and based on the above examination, I hereby report that, during the review period;

(a) The Listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued thereunder,

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Landline : +91 44 4204 9554 / Fax : +91 44 2668 0005 / Mobile : 99944 67767
E-mail : info@axncs.com / axnassociates@gmail.com - Website : www.axncs.com

(b) The listed entity has maintained proper record under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.

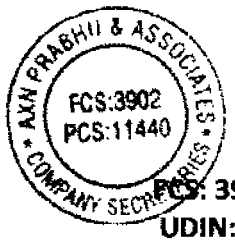
(c) The following are the details of actions taken against the listed entity/directors/ material subsidiaries either by SEBI or by stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

S. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations / remarks of the Practicing Company Secretary, if any.
1	Metropolitan Stock Exchange of India (MSEI) Limited	Non Compliance with Regulation 17 (1) (c) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015	Show cause for levy of fine	The company's request for waiver of the fine was accepted by MSEI

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

S. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the Secretarial Compliance Report for the year ended	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
NOT APPLICABLE				

For M/s AXN Prabhu& Associates
Company Secretaries



AXN Prabhu
Proprietor
FCS: 3902 |COP. NO. 11440
UDIN: F003902C000137409

Place: Chennai
Date: 20/04/2021