



April 20, 2023

**The Deputy Manager**  
Department of Corporate Services  
**BSE Limited**  
P. J. Towers, Dalal Street, Fort  
Mumbai – 400 001

Ref: Scrip Code 530557

Sub: Submission of Annual Secretarial Compliance Report

Respected Sir or Madam,

With reference to the above captioned subject matter and pursuant to Regulation 24A of SEBI (LODR) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1/27/ 2019 dated 8 February 2019; we are forwarding herewith Annual Secretarial Compliance Report for the Financial Year 2022-23.

Kindly take the same on your record & oblige.

Thanking You,

Yours truly,  
For **NCL RESEARCH & FINANCIAL SERVICES LIMITED**

**GOUTAM BOSE**  
**DIN: 02504803**  
**MANAGING DIRECTOR**

Enclosed: Annual Secretarial Compliance Report



# Kriti Daga

Company Secretaries

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4, Ho Chi Minh Sarani, KBR Complex, Flat No. 3C, Kolkata – 700 071

Mobile: +91 98361 62295, Email: kritisdaga@gmail.com

## Annual Secretarial Compliance Report for the Financial Year 2022-23

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KRITI DAGA



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Company Secretaries

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## ANNUAL SECRETARIAL COMPLIANCE REPORT

FOR THE FINANCIAL YEAR ENDED ON 31<sup>ST</sup> MARCH 2023

We have examined:

For the financial year ended on March 31, 2023 in respect of Compliance with the provisions of:

Not Applicable for the period

Not Applicable for the period

Not Applicable for the period

Not Applicable for the period

Not Applicable for the period



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Not Applicable for the period

Applicable for the period

Not

- Refer Annexure "A" annexed to the Report
- Refer Annexure "B" annexed to the Report

I further report that –

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Sr. No.	Particulars	Compliance Status (Yes/No/NA)	Observations/Remarks by PCS*
	Secretarial Standards:		
	Adoption and timely updation of the Policies <ul style="list-style-type: none"><li>•</li></ul>		



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	<ul style="list-style-type: none"><li>•</li></ul>		
	<b>Maintenance and disclosures on Website:</b> <ul style="list-style-type: none"><li>•</li><li>•</li><li>•</li></ul>		
	<b>Disqualification of Director:</b>		
	<b>To examine details related to Subsidiaries of listed entities:</b>		
	<b>Preservation of Documents:</b>		
	<b>Performance Evaluation:</b>		
	<b>Related Party Transactions:</b>		



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	Disclosure of events or information:		
	Prohibition of Insider Trading:		
	Actions taken by SEBI or Stock Exchange(s), if any:		
	Additional Non-compliances, if any:		

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KRITI DAGA



**K**