





**Umesh Parameshwar Maskeri**  
**Practicing Company Secretary**

No 304, Geetanjali Heights, Plot No.77, Sector 27  
Near Presentation Convent School, Nerul East, Navi Mumbai-400 706  
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**CERTIFICATE UNDER REGULATION 40(9) and 40(11) OF  
THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)  
REGULATIONS, 2015  
FOR THE FINANCIAL YEAR ENDED MARCH 31, 2023**

To Utique Enterprises Limited 912, Embassy Centre Jamnalal Bajaj Marg Nariman Point Mumbai-400021	To Bigshare Services Private Limited Registrar and Transfer Agent Office No.S6-2, 6 <sup>th</sup> Floor Pinnacle Business Park, Next to Ahura Centre, Mahakali Caves Road, Andheri (East) Mumbai 400 093
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Dear Sirs,

**Sub : Certificate pursuant to Regulation 40(9) & 40(11) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Financial Year ended March 31, 2023**

We have examined all the documents and requests received for transfer, transmission, transposition, sub-division, consolidation, renewal and endorsement of calls/allotment of monies relating to Utique Enterprises Limited ("the Company") maintained by Bigshare Services Private Limited, the Registrar and Transfer Agents ("RTA") during the financial year ended March 31, 2023 for the purpose of compliance with the provisions of Regulation 40(9) and 40(11) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and the Listing Agreement entered into by the Company with the BSE Limited.

Based on the information provided by the RTA and the Company, we hereby certify as under:

- a) Company did not receive any case of transfer deed for transfer, which was lodged and rejected owing to deficiencies prior to April 1, 2019 and relogged and the Company registered the transfer;
- b) Company received 23 (twenty-three) requests for deletion of name of the deceased holder of securities, where the securities are held in the name of two or more holders of securities and the Company has processed the same within 30 days of receipt of request;
- c) Company received 6 (six) requests for transmission of securities and the Company has processed the same within 30 days of receipt of request;



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- d) Company did not receive any requests for sub-division, consolidation, renewal and endorsement of calls/allotment of monies of securities held either in dematerialized form or physical form.
- e) Company has not issued any certificates in physical form after January 25, 2022 and has thus complied with the provisions of Circular No. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated January 25, 2022 issued by the Securities & Exchange Board of India.

UMESH  
PARAMESHWAR  
MASKERI

Digitally signed  
by UMESH  
PARAMESHWAR  
MASKERI  
Date: 2023.04.18  
18:44:28 +05'30'

**UMESH PRAMESHWAR MASKERI**  
**PRACTICING COMPANY SECRETARY**  
**FCS No.4831 COP No.12704**  
**ICSI Peer Review Certificate No.653/2020**  
**ICSI UDIN F004831E000132607**



Place: Mumbai  
Date: April 18, 2023