



**Secretarial compliance report of
WILLIAMSON FINANCIAL SERVICES LIMITED
for the year ended 31st March, 2022**

We, Vidhya Baid & Co., Company Secretaries, Kolkata have examined:

- (a) all the documents and records made available to us and explanation provided by **Williamson Financial Services Limited** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification for the year ended 31st March, 2022 ("Review Period") in respect of compliance with the provisions of :

(a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued there under ; and

(b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange

Board of India, including the specific Regulations, whose provisions and the

Regulations, 2015:

The listed entity has complied with the provisions of the above Regulations and circulars/

Sr. No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
Not Applicable			

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr.	Action	Details of	Details of action taken	Observations/ remarks
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2.	Regulation 6 of SEBI (LODR) Regulation 2015 - Company Secretary of the said Company resigned w.e.f. 31.10.2019	31 st March 2020	No Company Secretary was appointed till end of the financial year.	-Do-
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	(Amendment) Regulations, 2018 within due date.			
7.	Disclosure of Shareholding under Regulation 29 of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011- Pledge invoked 20,44,670 Equity Share of Mcleod Ruseel India Limited -intimation after 2 working days	31 st March 2020	Henceforth the same shall be take care of.	-
8.	BSE Regulations 29(2)/ 29(3) of SEBI (LODR) Regulation 2015 for Non compliance of five days prior intimation of Board Meeting for quarterly result of December, 2019.	31 st March 2020	BSE Letter for Notice dated March 11, 2020 imposed fine of Rs. 11,800/-.The Company requested for waiver of penalty to BSE Limited which was not accepted and was advised to pay the penalty on 04.11.2020	Penalty was paid on 05.11.2020

For VIDHYA BAID & CO.
Company Secretaries



Vidhya Baid

VIDHYA BAID
(Proprietor)
FCS No : 8882
C. P. No. : 8686

Date: 20th May , 2022

Place : Kolkata

UDIN : F008882D000352984