

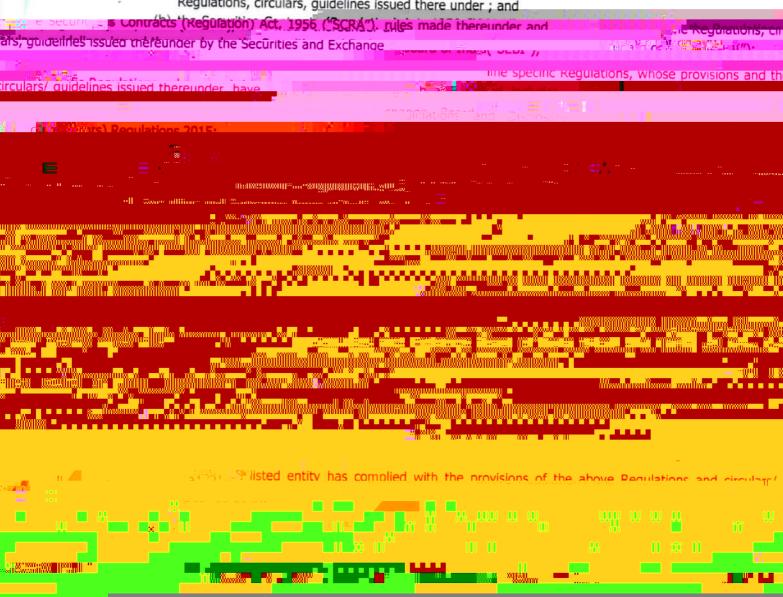
VIDHYA BAID & CO

Company Secretaries

Secretarial compliance report of WILLIAMSON FINANCIAL SERVICES LIMITED for the year ended 31st March, 2022

We, Vidhya Baid & Co., Company Secretaries, Kolkata have examined:

- (a) all the documents and records made available to us and explanation provided by Williamson Financial Services Limited ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification for the year ended 31st March, 2022 ("Review Period") in respect of compliance with the provisions of:
- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued there under; and



Sr. Compliance No Requirement (Regulations/, circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
	Not Applicable	

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

1	Sr.	Action	Details	of	Details of	action taken	Observations/	remarks
-1			F 77					

2.	Regulation 6 of SEBI (LODR) Regulation 2015 -	31 st March 2020	No Company Secretary was appointed till end of the financial year.	-Do-
	Company Secretary of the said Company resigned w.e.f.			

	(Amendment) Regulations, 2018 within due date.		
7.	Shareholding under Regulation 29 of SEBI (Substantial Acguisition of Shares and Takeovers) Regulations, 2011-Pledge invoked 20,44,670 Equity Share of Mcleod Ruseel India Limited -intimation after 2 working days	Henceforth the same shall be take care of.	
8.	BSE Regulations 29(2)/ 29(3) of SEBI (LODR)Regulation 2015 for Non compliance of five days prior intimation ofBoard Meeting for quarterly result of December, 2019.	BSE Letter for Notice dated March 11, 2020 imposed fine of Rs. 11,800/The Company requested for waiver of penalty to BSE Limited which was not accepted and was advised to pay the penalty on 04.11.2020	05.11.2020

For VIDHYA BAID & CO. Company Secretaries

Date: 20th May , 2022 Place : Kolkata

UDIN: F008882D000352984

VIDHYA BAID (Proprietor) FCS No: 8882

C. P. No.: 8686