



Smruthi Organics Limited

Date: 21-04-2023

To
Corporate Relation Department
BSE Limited
P. J. Tower, Dalal Street,
Mumbai – 400 001.

To
Listing Department
Metropolitan Stock Exchange of India Ltd
4th floor, Vibgyor tower,
Opposite Trident Hotel

Bandra-Kurla Complex, Mumbai - 400098

Sub: Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015

Ref: No. Stock Code: 540686 / SMRUTHI

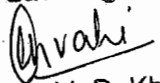
We are submitting Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 received from Practising Company Secretary for year ended 31st March 2023.

Please take the same on record.

Thanking you.

Yours faithfully

For Smruthi Organics Limited


Urvashi D. Khanna
Company Secretary & Compliance Officer



KDA & ASSOCIATES

(Formerly Known as KDT & Associates)

COMPANY SECRETARIES

201, Modi Niwas CHS LTD, S V Road, Santacruz (W), Mumbai - 400 054

Email ID: team@cskda.com

Phone: 2600 0308

COMPLIANCE CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2023

dated 24th
P/ CIR/
orth issue

Securities and Exchange Board of India ("SEBI") vide gazette notification January, 2022 read with circular no. SEBI/ HO/ MIRSD/ MIRSD/RTAMB/ 2022/8 dated 25th January, 2022 has mandated that companies shall henceforth issue securities in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/ folios, Transmission and Transposition. This circular further mandates that RTA/ Issuer companies shall after verifying and processing the requests, issue a 'Letter of confirmation' in lieu of physical securities certificate(s) to the securities holder/ claimant within 30 days of the receipt of such requests after removing objections, if any. SEBI has also specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 02nd December, 2020.

Accordingly, on the basis of examination of the relevant documents relating to Smruthi Organics Limited ("the Company") maintained and provided electronically by Adroit Corporate Services Private Limited, the Registrar and Share Transfer Agents ("RTA") of the Company, pertaining to the financial year ended 31st March, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

- a. The Company has delivered a Letter of Confirmation as per SEBI's operational guidelines for execution of transmission of securities, change of name and issue of duplicate securities certificate during the financial year ended 31st March, 2023, within prescribed time, except those rejected on technical grounds, if any;
- b. There were no requests relating to transposition of securities, sub-division (split), consolidation, exchange or endorsement of calls/allotment monies received during the said period.

Psm

KDA & ASSOCIATES
(Formerly Known as KDT & Associates)
COMPANY SECRETARIES

For KDA & Associates
(Formerly known as KDT & Associates)
Practicing Company Secretaries

Psmehtra 

Prashant S Mehta
Partner
ACS No. 5814
C.P. No. 17341

Date: 20th April, 2023
Place: Mumbai

UDIN: A005814E000149760
PR NO.: 2154/2022