



Date: January 23, 2026

To,
The Manager
Department of Corporate Services
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai-400001

Sub: Intimation under Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (the "SEBI Listing Regulations")

Dear Sir / Madam,

Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, this is to inform you that Aravali Securities and Finance Limited ("the Company") has received an email from BSE Limited regarding non-compliance with certain provisions of Regulations 17(1), 18(1), 19(1) / 19(2) and 20(2) / 20(2A) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for which a fine of ₹14,26,620/- (Rupees Fourteen Lakh Twenty-Six Thousand Six Hundred Twenty only), inclusive of GST, has been imposed on the Company.

The disclosures as required under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023, along with the reason for delay, are enclosed herewith as Annexure – A.

This intimation is also available on the Company's website at <https://www.aravalisecurities.com/>

You are requested to kindly take the above information on record.

Thanking You,

For Aravali Securities and Finance Limited

Aakanksha Jaiswal
Company Secretary

(Aakanksha Jaiswal)
Company Secretary & Compliance Officer

ARAVALI SECURITIES & FINANCE LIMITED

REGD. OFFICE: RIDER HOUSE, Ground Floor, Plot No. 136, Sector-44, Gurgaon-122003
Phone: +91-124-4284578/4284580/4284582

CIN: L67120HR1980PLC039125 | Website: www.aravalisecurities.com | Email: info@aravalisecurities.com



Annexure - A

Disclosure pursuant to Para A of Part A of Schedule III of Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2/012233 dated 13th July, 2023

1	Name of Authority	BSE Limited
2	Nature and Details of the action(s)	Non-compliance with certain provisions of Regulation 17(1) (March 2020), 18(1) (March 2020), 19(1)/19(2) (March 2020) and 20(2)/(2A) (March 2020), and Regulation 18(1) (June 2025), 19(1)/19(2) (June 2025) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
3	Date of receipt of communication from the authority	January 06, 2026
4	Details of the violation(s)/contravention(s) committed or alleged to be committed	The Company has received email for Non-compliance with certain provisions of Regulation 17(1) (March 2020), 18(1) (March 2020), 19(1)/19(2) (March 2020) and 20(2)/(2A) (March 2020), and Regulation 18(1) (June 2025), 19(1)/19(2) (June 2025) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
5	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	There is no material impact on financial operation except to the extent of Fine amount - Rs. 14,26,620/- (incl. of GST) imposed by BSE.
6	Reason for delay	<p>This is to inform that the Company had received an email dated 06th January, 2026 from BSE regarding the levy of a fine of amount of ₹14,26,620/- on the Company. We respectfully submit that while the said communication mentioned the relevant year (2020) and the aggregate amount of fine, the matter pertained to compliances of a considerably earlier period, which coincided with the COVID-19 pandemic phase. In view of the time elapsed and the operational disruptions during the COVID period, the Company was required to internally trace, verify, and reconcile historical records, filings, and related compliances pertaining to the said period before proceeding further.</p> <p>Accordingly, the Company made multiple follow-ups with the concerned department of BSE through emails</p>

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		<p>and telephone calls to seek further clarity and confirmation. Copies of the relevant correspondence are enclosed for reference.</p> <p>Upon receipt of the detailed clarification, the Company immediately submitted its reply and filed the requisite corporate announcement under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.</p> <p>The delay, if any, in disclosure was unintentional and procedural in nature, and occurred solely due to the time required for verification of records relating to an earlier period impacted by the COVID-19 pandemic. The Company affirms that there was no wilful or deliberate intention to delay compliance and remains fully committed to timely disclosures in accordance with applicable regulatory requirements.</p> <p>We humbly request your kind consideration of the above explanation.</p>
7	Compliance Status of Regulation 17(1), 18(1), 19(1)/19(2) and 20(2)/(2A), and Regulation 18(1), 19(1)/19(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015	<p>After identification of Non-Compliances, the Company promptly initiated corrective measures and the non-compliances were rectified without any undue delay.</p> <p>The Company has since ensured full compliance with the provisions of Regulations 17, 18, 19 and 20 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and continues to remain fully compliant as on date. Any subsequent observations communicated by the Exchange were addressed forthwith, and necessary corrective actions were taken promptly.</p>

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