

SUBWAY FINANCE & INVESTMENT COMPANY LIMITED

B-101, EASTERN COURT, JN. OF TEJPAL & PARLESHWAR ROAD, VILE PARLE (E), MUMBAI - 400057

TEL: 022-26165960 (8 Lines) FAX: 022-26163969 EMAIL: subwayfinance.co@gmail.com

CIN: L65990MH1983PLC029350 WEBSITE: www.subwayfinance.in

SFIL/ANS/BSE/031/17-18

Date: 23rd October, 2017

To,
Bombay Stock Exchange Limited,
1st Floor, P.J. Towers,
Dalal Street, Mumbai - 400001

Scrip Code: 511024

Subject: Statement of Investor Complaints Under Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Quarter Ended 30th September, 2017.

Pursuant to Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Statement of Investor Complaints of Subway Finance And Investment Co Ltd (**Scrip code: 511024**) for quarter ended 30th September, 2017.

Please take the same on your records.

Thanking you,

For Subway Finance & Investment Co Ltd

Ishan Rahul Shah
Director

DIN: 06966381

Address:- Block No 8, 468A
Hanuman Prasad, 1st Floor,
King Circle, Mumbai 40001



SUBWAY FINANCE & INVESTMENT COMPANY LIMITED

B-101, EASTERN COURT, JN. OF TEJPAL & PARLESHWAR ROAD, VILE PARLE (E), MUMBAI - 400057

TEL: 022-26165960 (8 Lines) FAX: 022-26165969 EMAIL: subwayfinance.co@gmail.com

CIN: L65990MH1983PLC029350 WEBSITE: www.subwayfinance.in

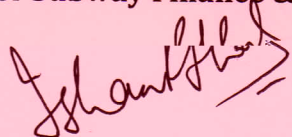
Statement of Investor Complaints under Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for quarter ended 30th September, 2017.

Particulars	Number
No. of Investor Complaints pending at the Beginning of quarter i.e. on 1 st July, 2017	NIL
No. of Investor Complaints received during the quarter	NIL
No. of Investor Complaints disposed off during the quarter	NIL
No. of Investor Complaints those remaining unresolved at the end of the quarter i.e. on 30 th September, 2017	NIL

Kindly take the Note of the same.

Thanking you,

For Subway Finance & Investment Co Ltd



Ishan Rahul Shah

Director

DIN: 06966381

Address:- Block No 8, 468 A

Hanuman Prasad, 1st Floor,

King Circle, Mumbai 400019





CERTIFICATE



AN ISO 9001-2008 COMPANY

SUBWAY FINANCE INVESTMENT COMPANY LTD

DETAILS OF INVESTOR'S REQUESTS / COMPLAINTS

REPORT FOR THE PERIOD : 01-07-2017 TO 30-09-2017

SRL.NO.	NATURE OF REQUESTS/COMPLAINTS	OPENING BALANCE	STOCK EXCHANGE	SEBI	OTHERS	TOTAL RECEIVED	REDRESSED	PENDING
1	DELAY IN TRANSFER OF SHARES.	-	-	-	-	-	-	-
2	NON-RECEIPT OF SHARES/ DIVIDENDS/ RIGHTS/ BONUS SHARES.	-	-	-	-	-	-	-
3	DELAY/ NON-RECEIPTS IN ISSUE OF DUPLICATE SHARES.	-	-	-	-	-	-	-
4	DELAY/ NON RECEIPT OF ANNUAL REPORTS.	-	-	-	-	-	-	-
5	DELAY/ NON-RECEIPT OF REDEMPTION AMOUNT OF DEBENTURES.	-	-	-	-	-	-	-
6	DELAY/ NON-RECEIPT OF INTEREST ON DEBENTURES.	-	-	-	-	-	-	-
7	DELAY/ NON-CREDIT OF SHARES IN THE ACCOUNT BY THE BROKER.	-	-	-	-	-	-	-
8	DELAY/ NON-PAYMENT OF SALE PROCEEDS BY THE BROKER ETC.	-	-	-	-	-	-	-
9	MANIPULATION IN THE ACCOUTS STATEMENTS.	-	-	-	-	-	-	-
10	UNAUTHORIZED TRADES AND UNAUTHORIZED MOVEMENTS OF SHARES AND FUNDS FROM THE CLIENT' ACCOUNTS.	-	-	-	-	-	-	-
11	DABBA TRADING/ CHURNING ETC. IN CLIENTS' ACCOUNTS.	-	-	-	-	-	-	-
12	DELAY/ NON-UPDATING OF CLIENTS' INFORMATION IN RECORDS.	-	-	-	-	-	-	-
	NIL COMPLAINT RECEIVED	0	0	0	0	0	0	0

For SHAREX DYNAMIC (INDIA) PVT.LTD.

(AUTHORISED SIGNATORY)