



दि न्यू इन्डिया एश्योरन्स कंपनी लिमिटेड

THE NEW INDIA ASSURANCE COMPANY LTD.

पंजीकृत एवं प्रधान कार्यालय : न्यू इन्डिया एश्योरन्स बिल्डिंग, 87, महात्मा गांधी मार्ग, फोर्ट, मुंबई - 400 001.

Regd. & Head Office : New India Assurance Bldg., 87, M.G. Road, Fort, Mumbai - 400 001.

CIN No. L66000MH1919GOI000526

Phone : 022-22708100
22708400

Website : www.newindia.co.in

Ref. No.: NIACL/CMD_Board Sectt/SE-08/2020-21

November 23, 2020

To,

The Manager
Listing Department
BSE Limited
Phiroze Jeejeebhoy Tower
Dalal Street
Mumbai 400 001

The Manager
Listing Department
The National Stock Exchange of India Ltd.
Exchange Plaza, 5th floor, Plot C/1,
G Block, Bandra-Kurla Complex
Mumbai 400 051

Scrip Code: (BSE – 540769/NSE – NIACL)

Dear Sir/Madam,

Sub: Intimation of amendment to the NIACL Insider Trading Code

Pursuant to the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, as amended ("Regulations") the Code of Internal Procedures and Conduct for Prohibition of Insider Trading in dealing with the securities of The New India Assurance Co Ltd. (NIACL) ("NIACL Insider Trading Code") have been amended.

Further, in line with the said Regulations, a Policy on procedures to be followed while conducting an enquiry in the event of leak of suspected leak of unpublished price sensitive information ("NIACL Policy for inquiry of leak of UPSI") forming part of Insider Trading code has also been adopted by the Company.

The said policies are effective from November 11, 2020.

The NIACL Insider Trading Code including the Policy for inquiry of leak of UPSI is attached herewith. The above policies are made available on the Company's website www.newindia.co.in.

This is for your information and records.

Thanking You

For The New India Assurance Company Limited


Jayashree Nair



Company Secretary & Chief Compliance Officer

**CODE OF PRACTICES AND PROCEDURES AND
CODE OF CONDUCT TO REGULATE, MONITOR AND REPORT TRADING IN
SECURITIES AND FAIR DISCLOSURE OF UNPUBLISHED PRICE SENSITIVE
INFORMATION PURSUANT TO THE REQUIREMENTS OF THE
SECURITIES AND EXCHANGE BOARD OF INDIA
(PROHIBITION OF INSIDER TRADING) REGULATIONS, 2015**

PART A

A.1 PREFACE

Company

Code

A.2 The Objective of the Code

PART B

B.1 DEFINITION

Act

Board

“Chief Investigating Officer”

“Chief Investor Relations Officer”

“Calendar Quarter”

Code

Code of Fair Disclosure and Conduct

“Company”

Compliance Officer

Connected Person

NOTE:

Immediate Relative

NOTE:

“Informant” means an individual(s), who voluntarily submits to Securities and Exchange Board of India

Insider

- (a)
- (b)

NOTE:

Insider Trading Regulations

Key Managerial Personnel

Securities

Stock Exchanges

Takeover Regulations

Trading

Trading Day

Trading Plan

Trading Window

Unpublished Price Sensitive Information UPSI

NOTE:

Working Day

NEED TO KNOW

NOTE:

PART C

C.1 APPLICABILITY:

PART D

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a.

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ii.

“LEGITIMATE PURPOSE”

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Trading Plan

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d.

Provided that

e.

PART E

DISCLOSURE OF TRADING BY INSIDERS/DESIGNATED PERSONS

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**E.2 Disclosure by certain persons:
Initial Disclosure**

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Continual Disclosure

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Form B

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G.2 Duties of Chief Investor Relation Officer

G.3 Preservation of "Unpublished Price Sensitive Information"

3.1 Need to know

3.2 Limited access to confidential information

3.3 Chinese Wall

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b.

c.

d.

e.

G.4 Prohibition on dealing, communicating or counselling on matters relating to Insider Trading

G.5. Trading Restrictions and Trading Window

5.1 Trading window

Trading Window

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-
-
-

5.2

5.3

5.4

G.6 Pre-clearance of Trades:

Applicability

Procedure:

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Form F

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Form I

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G.11 Information to Stock Exchanges in case of violation of the Code of Conduct

G.12. Responsibility of Compliance Officer

G.13. Miscellaneous:

6 months

period of

THIS POLICY IS ONLY AN INTERNAL CODE OF CONDUCT AND ONE OF THE MEASURES TO AVOID INSIDER TRADING. IT WILL BE THE RESPONSIBILITY OF EACH EMPLOYEE/DESIGNATED PERSON TO ENSURE COMPLIANCE OF THE INSIDER TRADING REGULATIONS, GUIDELINES AND OTHER RELATED STATUTES FULLY.

**CODE OF CONDUCT FOR BOARD MEMBERS AND SENIOR MANAGEMENT PERSONNEL
CODE OF CORPORATE DISCLOSURE PRACTICES**

Overseeing and co-ordinating disclosure:

UPSI

The Chief Investor Relations Officer shall also ensure that when interacting with media and external public, guidelines for disclosure of UPSI are complied with.

Responding to market rumours:

Medium of disclosure/dissemination:

FORM A**Form for initial disclosure of holdings of equity shares / other securities of the Company (in terms of Clause E.2 (i) of Code)****To**

1.

Name, PAN, CIN / DIN & address with contact nos.	Category of Person (Promoters/ Promoter Group KMP/ Directors / immediate relative to/ others, etc.)	Securities held as on date		% of Shareholding	
		Type of security (For e.g. Shares, Warrants, Convertible Debentures, etc.)	Amount		
Open interest of the future contracts held as on date			Open interest of the option contracts held as on date		
Contract Specifications	Number of Units (Contracts* lot size) units	Notional Value in Rupee terms	Contract Specifications	Number of Units (contracts* lot size)	Notional value in Rupee terms

1.

Name, PAN, CIN / DIN & address with contact nos.	Category of Person (Promoters / Promoter Group / KMP / Directors / immediate relative to / others, etc.)	Securities held as on date		%of Shareholding
		Type of security (For e.g. Shares, Warrants, Convertible Debentures, etc.)	No.	

Open Interest of the future contracts held as on date			Open interest of the option contracts held as on date		
Contract Specifications	Number of units (contracts * lot size)	Notional value in Rupee terms	Contract Specifications	Number of units (contracts * lot size)	Notional value in Rupee terms

FORM B**Form for continual disclosure of holdings of equity shares / other securities of the Company (in terms of Clause E.2 b. of the Code)**

Name, PAN, CIN / DIN & addresses with contact nos.	Category of Person (Promoters / Promoter Group / KMP / Director / immediate relative to / others, etc.)	Securities held prior to acquisition / disposal		Securities acquired / disposed			
		Type of security (For e.g. Shares, Warrants, Convertible Debentures, etc.)	No. and % of shareholding	Type of security (for e.g. Shares Warrants, Convertible Debentures, etc.)	No.	Value	Transaction Type (Buy/Sale/ Pledge / Revoke / Invoke)

Securities held post acquisition / disposal	Date of allotment / advice acquisition of shares/ sales of shares specify	Date of intimation to Company	Mode of acquisition/ disposal on market / public/ rights / preferential offer / off market / inter-se transfer, ESOPs, etc.
Type of security (for e.g. Shares, Warrants, Convertible Debentures, etc.)	No. and % of share-holding	From	To

(Name: _____)

FORM C**Form for continual disclosure of holdings of equity shares / other securities of the Company by Connected Persons (in terms of Clause E.2 c. of the Code)**

Name, PAN, CIN / DIN & address with contact nos. of Connected Person	Connection with the Company	Securities held prior to acquisition / disposal		Securities acquired / Disposed			
		Type of security (For e.g. Shares Warrants, Convertible Debentures etc.)	No. and % of shareholding	Type of security (for e.g. Shares Warrants, Convertible Debentures etc.)	No	Value	Transaction Type (Buy / Sale / Pledge / Revoke / Invoke, etc)

Securities held post acquisition / disposal	Date of allotment / advice acquisition of shares/ sales of shares specify			Date of intimation to Company	Mode of acquisition/ disposal on market/ public/ rights / preferential offer / off market / inter-se transfer, ESOPs, etc.
Type of security (for e.g. Shares Warrants, Convertible Debentures etc.)	No and % of Shareholding	From	To		

(Name: _____)

FORM D

Application for Pre-Clearance of pledging the securities of the Company (in terms of Clause 4.5(a) of the Code)

From

To

(Name: _____)

FORM D-1

Application for Pre- clearance of trading in securities of the Company (in terms of Clause 5.1 of G.5 of the Code)

From

To

(Name : _____)

Undertaking

(in terms of Clause 5.1 of G.5 of the Code)

From

To

(a)

(b)

(c)

(d)

(Name : _____)

FORM E

Application for waiver of holding period (in terms of Clause 5.2 of G.5 of the Code)

From

To

(Name : _____)

FORM F

Form for initial disclosure of holdings of equity shares / other securities of the Company (in terms of Clause G.6(a) of Code)

From

To

|

Name	Securities held as on date			Date on which Securities were purchased / sold	
	Type of Security (For e.g. Shares, Warrants, Convertible Debentures, etc.)	Folio No. / DP ID / Client ID	No. of Securities held		
Open interest of the future contracts held as on date			Open interest of the option contracts held as on date		
Contract Specifications	Number of units (contracts * lot size)	Notional value in Rupee terms	Contract Specifications	Number of units (contracts * lot size)	Notional value in Rupee terms

Name of relative and PAN	Relationship	Securities held as on date			Date on which Securities were purchased / sold
		Type of Security (For e.g. Shares, Warrants, Convertible Debentures, etc.)	Folio No./ DP ID/ Client ID	No. of Securities held	

Open Interest of the future contracts held as on date			Open interest of the option contracts held as on date		
Contract Specifications	Number of units (contracts * lot size)	Notional value in Rupee terms	Contract Specifications	Number of units (contracts * lot size)	Notional value in Rupee terms

(Name: _____)

FORM G

Form for disclosure of existing holdings of equity shares / other securities of the Company (in terms of Clause G.6(b) of Code)

From

To

Name	Securities held as on date			Date on which Securities were purchased / sold	
	Type of Security (For e.g. Shares, Warrants, Convertible Debentures, etc.)	Folio No./ DP ID/ Client ID	No. of Securities held		
Open interest of the future contracts held as on date			Open interest of the option contracts held as on date		
Contract Specifications	Number of units (contracts * lot size)	Notional value in Rupee terms	Contract Specifications	Number of units (contracts * lot size)	Notional value in Rupee terms

Name of Relative and PAN	Relationship	Securities held as on date			Date on which Securities were purchased / sold
		Type of Security (For e.g. Shares, Warrants, Convertible Debentures, etc.)	Folio No./ DP ID/ Client ID	No. of Securities held	

Open Interest of the future contracts held as on date			Open interest of the option contracts held as on date		
Contract Specifications	Number of Units (contracts * lot size)	Notional value in Rupee terms	Contract Specification	Number of Units (contracts * lot size)	Notional value in Rupee terms

(Name:_____)

FORM H

Form for disclosure of holdings of equity shares / other securities of the Company on half - yearly basis (in terms of Clause G.6(c) of Code)

From

To

l.
