

VSL/2022-23/SEC/02

25th April, 2022

The Manager,
Corporate Relations Dept.
BSE Ltd.,
19th Floor, P.J. Towers,
Dalal Street,
Mumbai - 400 001

Dear Sir,

Sub: _____

Pursuant to Regulation 40(10) of the Listing Regulations, please find enclosed herewith a Certificate issued by the Practicing Company Secretary for the year ended on 31.03.2022.

Kindly take the same on records.

Thanking you,

Yours faithfully,

For



Encl: a/a.

Vertex Securities Limited (*A Subsidiary of Transwarranty Finance Limited*)

Registered Office : Thottathil Towers, II Floor Market Road, Ernakulam, Kochi 682018 **Tel :** 0484 - 2384848, **Fax :** 0484 2394209

Corporate Office : 403, Regent Chambers, Nariman Point, Mumbai - 400 012. **Tel :** 022-40010800/900, **Fax:** 022-40010888/999

Website : www.vertexbroking.com, www.transwarranty.com **Email :** vsl@vertexbroking.com, customercare@transwarranty.com

YOGESH SHARMA & CO.

COMPANY SECRETARIES

Yogesh M. Sharma

M.Com, M. Phil, M.M.M, M.F.M, LLB, FCS.

Office No: 9082951462

Mobile No: 9833124864

Email ID: csymsharma@gmail.com

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI LISTING REGULATIONS 2015 FOR THE YEAR ENDED 31st MARCH, 2022

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **VERTEX SECURITIES LIMITED** having its registered office 2nd Floor, Thottathil Towers, Market Road, Ernakulam, Kochi - 682018 maintained by **LINK INTIME INDIA PVT. LTD** pertaining to transfer of equity shares of the company for the period from 01st April, 2021 to 31st March, 2022 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI Listing Regulations 2015 and based on the information provided by the Company, we hereby certify that:

- A) There were no transfer, transmission, name deletion request received during the period 01st April, 2021 to 31st March, 2022.
- B) Any other information, if applicable - Nil

Place: Mumbai.

Date: 25th April, 2022

UDIN: F011305D000201627

Peer Review Certificate no: 1583/2021



FOR YOGESH SHARMA & CO.

(Signature)

YOGESH M. SHARMA

Proprietor

M. No. FCS 11305

CP No. 12366