SMIFS CAPITAL MARKETS LIMITED

September 25, 2021

The Secretary
BSE Limited
Phiroze eejeebhoy Towers
Dalal Street
Mumbai 400 001

Dear Sir,

Re: AGM of the Company held on September 25, 2021 at 11.00 a.m.

Sub: Details of the Voting Results as per Regulation 44(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

This is for your kind information that the Annual General Meeting of the Company was held on September 25, 2021 at Saturday, the 25th day of September, 2021 at the Registered Office of the Company at 'Vaibhav', 4, Lee Road, Fourth Floor, Kolkata - 700 020 at 11.00 a.m. We are forwarding you the Voting Results as per Regulation 44(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

In view of the continuing COVID-19 pandemic, the Ministry of Corporate Affairs ("MCA") has vide its circular dated January 13, 2021, read with Circular dated May 5, 2020 read with circulars dated April 8, 2020, April 13, 2020 and January 13, 2021 (collectively referred to as "MCA Circulars") along with SEBI Circular dated January 15, 2021 permitted the holding of the Annual General Meeting ("AGM") through Video Conferencing ("VC") / Other Audio- Visual Means ("OAVM"), without the physical presence of the Members at a common venue. In compliance with the provisions of the Companies Act, 2013 ("Act"), SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("the Listing Regulations") and MCA Circulars, the AGM of the Company is being held through VC / OAVM.

Please Acknowledge.

Yours Faithfully
For **SMIFS CAPITAL MARKETS LIMITED**

(Poonam Bhatia)
Company Secretary-cum- Senior Manager Legal

SMIFS CAPITAL MARKETS LIMITED KOLKATA 700 020

Format for Voting Resu ts

Pursuant to Regulation 44 of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, the requisite information relating to the Annual General Meeting (AGM) of the Company, held on Saturday, 25TH September, 2021 at 11.00 a.m. at the Registered Office of the Company at Vaibhav 4 Lee Road, 4th Floor, Kolkata 700020 through Video Conferencing ("VC") / Other Audio-Visual Means ("OAVM") is furnished below:

Date of the AGM	**25TH SEPTEMBER,2021**
Tota Number of Shareholders on record date	1507
No. of Shareho ders present in the meeting either in person or through proxy • **Promoters & Promoter Group** • **Pub ic**	 0 0
No. of Shareho ders present in the meeting through Video Conferencing • **Promoters & Promoter Group** • **Public**	 8 19

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For SMIFS CAPITAL MARKETS LIMITED

(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

	Resolution No. 1 (ORDINARY RESOLUTION) To receive, consider and adopt the Audited Balance Sheet of the Company as at March 31, 2021, the Statement of Profit & Loss and the Cash Flow Statements for the year ended on that date and the Reports of the Board of Directors' and Auditors' thereon								
	Category	Mode of Voting	No of Shares held	No of votes polled	% of votes polled on outstanding shares	No of Votes in favour	No of votes against	% of votes in favour on votes polled	% of votes against on votes polled
			1	2	3=(2/1*100)	4	5	6=(4/2)* 100	7=(5/2)* 100
1	Promoter Group	E-Voting	2534051	2534051	100	2534051	Nil	100	Nil
		Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Total	2534051	2534051	100	2534051	Nil	100	Nil
2	Public Institution	E-Voting	933235	933235	100	933235	Nil	100	Nil
		Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Total	933235	933235	100	933235	Nil	100	Nil
3	Public Non Institution	E-Voting	649102	649102	100	25796	623306	3.974	96.026
		Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Total	649102	649102	100	25796	623306	3.974	96.026

Details of Invalid Votes	
Category	No of Votes
Promoter & Promoter Group	Nil
Public Institution	Nil
Public Non Institution	Nil

Resolution carried by requisite majority

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For SMIFS CAPITAL MARKETS LIMITED

(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

	Resolution No. 2 : Ordinary Resolution. To appoint a director in place of Mr Utsav Parekh (DIN: 00027642), who retires by rotation and being eligible offers himself for re-appointment.								
	Category	Mode of Voting	No of Shares held	No of votes polled	% of votes polled on outstanding shares	No of Votes in favour	No of votes against	% of votes in favour on votes polled	% of votes against on votes polled
			1	2	3=(2/1*100)	4	5	6=(4/2)*100	7=(5/2)*100
1	Promoter Group	E-Voting	2534051	2534051	100	2534051	Nil	100	Nil
		Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Total	2534051	2534051	100	2534051	Nil	100	Nil
2	Public Institution	E-Voting	933235	933235	100	933235	Nil	100	Nil
		Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Total	933235	933235	100	933235	Nil	100	Nil
3	Public Non Institution	E-Voting	649102	649102	100	25887	623215	3.988	96.012
		Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Total	649102	649102	100	25887	623215	3.988	96.012

Details of Invalid Votes	
Category	No of Votes
Promoter & Promoter Group	Nil
Public Institution	Nil
Public Non Institution	Nil

Resolution carried by requisite majority.....

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...ARKETS LIMITED

(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

	Resolution No. 3 : Special Resolution. To reappoint Mr Kishor Shah (DIN: 00170502) as Managing Director of the Company for a period of3 years.								
	Category	Mode of Voting	No of Shares held	No of votes polled	% of votes polled on outstanding shares	No of Votes in favour	No of votes against	% of votes in favour on votes polled	% of votes against on votes polled
			1	2	3=(2/1*100)	4	5	6=(4/2)*100	7=(5/2)*100
1	Promoter Group	E-Voting	2534051	2534051	100	2534051	Nil	100	Nil
		Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Total	2534051	2534051	100	2534051	Nil	100	Nil
2	Public Institution	E-Voting	933235	933235	100	933235	Nil	100	Nil
		Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Total	933235	933235	100	933235	Nil	100	Nil
3	Public Non Institution	E-Voting	649102	649102	100	25891	623211	3.989	96.011
		Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Total	649102	649102	100	25891	623211	3.989	96.011

Details of Invalid Votes	
Category	No of Votes
Promoter & Promoter Group	Nil
Public Institution	Nil
Public Non Institution	Nil

Resolution carried by requisite majority.....

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For SMIFS CAPITAL MARKETS LIMITED

(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

	Resolution No. 4 : Special Resolution. Increase the limits applicable for making Investments/Extending loans and giving guarantees or providing securities in connection with loans to Persons/ Bodies Corporate								
	Category	Mode of Voting	No of Shares held	No of votes polled	% of votes polled on outstanding shares	No of Votes in favour	No of votes against	% of votes in favour on votes polled	% of votes against on votes polled
			1	2	3=(2/1*1 0)	4	5	6=(4/2)*100	7=(5/2)*100
1	Promoter Group	E-Voting	2534051	2534051	100	2534051	Nil	100	Nil
		Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Total	2534051	2534051	100	2534051	Nil	100	Nil
2	Public Institution	E-Voting	933235	933235	100	933235	Nil	100	Nil
		Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Total	933235	933235	100	933235	Nil	100	Nil
3	Public Non Institution	E-Voting	649102	649102	100	25887	623215	3.988	96.012
		Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Total	649102	649102	100	25887	623215	3.988	96.012

Details of Invalid Votes	
Category	No of Votes
Promoter & Promoter Group	Nil
Public Institution	Nil
Public Non Institution	Nil

Resolution carried by requisite majority.....

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For SMIFS CAPITAL MARKETS LIMITED

(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

Sudhansu Sekhar Panigrahi

Practicing Company Secretary
Off: 75, Metcalf Street, 2nd Floor
Room No 203, Kolkata - 700 013

Mob: 9674029751
panigrahis7@gmail.com,
sudhansuspanigrahi@yahoo.co.in

Scrutinizer's Report

Pursuant to Section 108 of the Companies Act, 2013 and Rule 20 of the Companies (Management and Administration) Rules, 2014

To
The Chairman
SMIFS CAPITAL MARKETS Ltd
Vaibhav, 4F, 4 Lee Road
Kolkata– 700 020, West Bengal

Dear Sirs/Madams

(1) I, Sudhansu Sekhar Panigrahi, Practicing Company Secretary (ACS 23187 /CP 19649) was appointed as Scrutinizer by the Company in connection with the 38th Annual General Meeting of the members of SMIFS Capital Markets Ltd (herein after called "Company") held on 25th September, 2021 through Video Conferencing ("VC")/Other Audio-Visual Means ("OVAM") at its registered office at Vaibhav, 4F, 4 Lee Road, Kolkata – 700 020 at 11.00 A.M. for the purpose of scrutinizing the e-voting and voting through physical ballots process in a fair and transparent manner and ascertaining the requisite majority for the said voting as per the provisions of the Companies Act, 2013 and Rule 20 of the Companies (Management and Administrations) Rule, 2014 as amended on the resolutions referred to in this report.

(2) The management of the Company is responsible to ensure the compliance with the requirements of the Companies Act, 2013 and Rules relating to E-Voting and voting through physical ballot process on the resolutions contained in the Notice of Annual General Meeting dated 29th June, 2021. My responsibility as a scrutinizer for the voting process through electronic means and physical ballots is restricted to make a Scrutinizer's Report of the votes cast "in favour" or "against" the resolutions, based on the report generated from the e-voting system of Central Depository Services (India) Ltd (CDSL) and of voting through physical ballots as provided by M/s Maheshwari Datamatics Private Limited, Kolkata the agencies engaged by the Company to provide e-voting and physical ballot facilities.

(3) I submit the report as under:

i. The remote e-voting period remained open from 10 a.m. IST on Tuesday the 21st day of September, 2021 up to 5 p.m. IST on Friday the 24th day of September, 2021.

ii. The share holders holding shares as on the "cut off" date i.e. 18th day of September, 2021 were entitled to vote on the proposed 4 (Four) resolutions as mentioned in the Notice dated 29th June, 2021 of the Annual General Meeting of the Company.

iii. The Company has also allowed e-voting facility at the venue of the Annual General Meeting to enable the share holders to cast the vote in case the same has not been casted by them through remote e-voting.

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For SMIFS CAPITAL MARKETS LIMITED

(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

Sudhansu Sekhar Panigrahi
CP No. 19649
Company Secretary

iv. The e-votes were unblocked on Saturday, the 25th September, 2021 around 11.25 am after the completion of Annual General Meeting in the presence of two witnesses namely Mr Manoj Swain of 3/37 Azadgarh, P.O. – Regent Park, Kolkata – 700 040 and Mr Damodar Behera of 64, Aswini Nagar, P.O. - Regent Park, Kolkata – 700 040 who are not in the employment of the Company.

v. There were no Ballots received by the company.

vi. The Combined result of remote e-voting (EVSN:210803010) and votes casted through physical ballot papers distributed at the AGM venue are as under

	Resolution No. 1 (ORDINARY RESOLUTION) To receive, consider and adopt the Audited Balance Sheet of the Company as at March 31, 2021, the Statement of Profit & Loss and the Cash Flow Statements for the year ended on that date and the Reports of the Board of Directors' and Auditors' thereon								
	Category	Mode of Voting	No of Shares held	No of votes polled	% of votes polled on outstanding shares	No of Votes in favour	No of votes against	% of votes in favour on votes polled	% of votes against on votes polled
			1	2	3=(2/1*100)	4	5	6=(4/2)*100	7=(5/2)*100
1	Promoter Group	E-Voting	2534051	2534051	100	2534051	Nil	100	Nil
		Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Total	2534051	2534051	100	2534051	Nil	100	Nil
2	Public Institution	E-Voting	933235	933235	100	933235	Nil	100	Nil
		Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Total	933235	933235	100	933235	Nil	100	Nil
3	Public Non Institution	E-Voting	649102	649102	100	25796	623306	3.974	96.026
		Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Total	649102	649102	100	25796	623306	3.974	96.026

Details of Invalid Votes	
Category	No of Votes
Promoter & Promoter Group	Nil
Public Institution	Nil
Public Non Institution	Nil

Resolution carried by requisite majority

Sudhansu Sekhar Panigrahi ★ Company Secretary ★ CP No. 19643

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For SMIFS CAPITAL MARKETS LIMITED

(POONAM BHADANI)
COMPANY SECRETARY
CUM-SENIOR MANAGER-[redacted]

	Resolution No. 2 : Ordinary Resolution. To appoint a director in place of Mr Utsav Parekh (DIN: 00027642), who retires by rotation and being eligible offers himself for re-appointment.								
	Category	Mode of Voting	No of Shares held	No of votes polled	% of votes polled on outstanding shares	No of Votes in favour	No of votes against	% of votes in favour on votes polled	% of votes against on votes polled
			1	2	3=(2/1*100)	4	5	6=(4/2)*100	7=(5/2)*100
1	Promoter Group	E-Voting	2534051	2534051	100	2534051	Nil	100	Nil
		Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Total	2534051	2534051	100	2534051	Nil	100	Nil
2	Public Institution	E-Voting	933235	933235	100	933235	Nil	100	Nil
		Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Total	933235	933235	100	933235	Nil	100	Nil
3	Public Non Institution	E-Voting	649102	649102	100	25887	623215	3.988	96.012
		Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Total	649102	649102	100	25887	623215	3.988	96.012

Details of Invalid Votes	
Categ ory	No of Votes
Promoter & Promoter Group	Nil
Public Institution	Nil
Public Non Institution	Nil

Resolution carried by requisite majority.....

For SMIFS CAPITAL MARKETS LIMITED

(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

	Resolution No. 3 : Special Resolution. To reappoint Mr Kishor Shah (DIN: 00170502) as Managing Director of the Company for a period of 3 years.								
	Category	Mode of Voting	No of Shares held	No of votes polled	% of votes polled on outstanding shares	No of Votes in favour	No of votes against	% of votes in favour on votes polled	% of votes against on votes polled
			1	2	3=(2/1*100)	4	5	6=(4/2)*100	7=(5/2)*100
1	Promoter Group	E-Voting	2534051	2534051	100	2534051	Nil	100	Nil
		Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Total	2534051	2534051	100	2534051	Nil	100	Nil
2	Public Institution	E-Voting	933235	933235	100	933235	Nil	100	Nil
		Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Total	933235	933235	100	933235	Nil	100	Nil
3	Public Non Institution	E-Voting	649102	649102	100	25891	623211	3.989	96.011
		Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Total	649102	649102	100	25891	623211	3.989	96.011

Details of Invalid Votes	
Category	No of Votes
Promoter & Promoter Group	Nil
Public Institution	Nil
Public Non Institution	Nil

Resolution carried by requisite majority.....

Sudhansu Sekhar Panigrahi ★ Company Secretary ★ CP No-19649

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For SMIFS CAPITAL MARKETS LIMITED

(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

	Resolution No. 4 : Special Resolution. Increase the limits applicable for making Investments/Extending loans and giving guarantees or providing securities in connection with loans to Persons/ Bodies Corporate								
	Category	Mode of Voting	No of Shares held	No of votes polled	% of votes polled on outstanding shares	No of Votes in favour	No of votes against	% of votes in favour on votes polled	% of votes against on votes polled
			1	2	3=(2/1*100)	4	5	6=(4/2)*100	7=(5/2)*100
1	Promoter Group	E-Voting	2534051	2534051	100	2534051	Nil	100	Nil
		Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Total	2534051	2534051	100	2534051	Nil	100	Nil
2	Public Institution	E-Voting	933235	933235	100	933235	Nil	100	Nil
		Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Total	933235	933235	100	933235	Nil	100	Nil
3	Public Non Institution	E-Voting	649102	649102	100	25887	623215	3.988	96.012
		Poll	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Postal Ballot	Nil	Nil	Nil	Nil	Nil	Nil	Nil
		Total	649102	649102	100	25887	623215	3.988	96.012

Details of Invalid Votes	
Category	No of Votes
Promoter & Promoter Group	Nil
Public Institution	Nil
Public Non Institution	Nil

Resolution carried by requisite majority.....

(vii) The ballot forms, e-voting register and other related papers / registers and records shall remain in my safe custody until the Chairman considers, approve and signs the minutes of physical ballot and e-voting.

(viii) The proposed resolutions have been therefore approved by the share holders of the Company with requisite majority.

(ix) You may declare the result of e-voting and physical ballot accordingly.

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For SMIFS CAPITAL MARKETS LIMITED

(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

Sudhansu Sekhar Panigrahi ★ Company Secretary ★ CP No. 19649

Thanking You

Yours Truly

Sudhansu Sekhar Panigrahi
Practicing Company Secretary
C.P. No 19649

We the undersigned witness that the votes in respect of e-voting of share holders of SMIFS Capital Markets Ltd were unblocked from e-voting website of Central Depository Services (India) Ltd (CDSL) in our presence at 11.25 a.m. on 25th September, 2021

Witnesses

Manoj Swain.
Signature.....
(Manoj Swain)
3/37,Azadgarh
P.O. – Regent Park
Kolkata – 700 040

Signature Damodar Behera
(Damodar Behera)
64, Aswini Nagar
P.O. – Regent Park
Kolkata – 700 040

Counter Signed by
For SMIFS Capital Markets Ltd

UTSAV PAREKH
Digitally signed by UTSAV PAREKH
Date: 2021.09.25 15:39:34 +05'30'

Chairman
(Utsav Parekh)
DIN:00027642

Place: Kolkata
Date: 25.09.2021

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For MIFS CAPITAL MARKETS LIMITED
(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL

UDIN : A023187C001004893