



UMESH KUMAR & ASSOCIATES
COMPANY SECRETARIES

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To,

The Board of Directors,
Escorts Finance Limited
SCO-64-65, Third Floor
Sector-17-A
Chandigarh-160 017

Dear Sirs,

Sub: Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, I, hereby, confirm that I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Escorts Finance Limited** maintained by **M/s. Alankit Assignments Limited** pertaining to transfer of equity shares of the company for the period from **01/10/2018** to **31/03/2019** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, based on the revised Listing Agreement of which were entered into by **Escorts Finance Limited** with Bombay Stock Exchange (BSE) (The Stock Exchange) dated March 1, 2016 and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ending on **31st March, 2019**:

- A) Share Certificate(s) relating to the transfer of Shares received during the period from **01/10/2018** to **31/03/2019** as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer from respective date of lodgment of each deed.
- B) There was no any case for sub-division, duplicate, renewal, exchange of certificates and endorsement for payment of call/ allotment money and further there was 5 requests for consolidation of 958 shares.

Place: New Delhi
Date: 23.04.2019

For UMESH KUMAR & ASSOCIATES
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CS UMESH KUMAR
C.P No- 8361