



**R.J. SHAH & CO. LTD.**  
ENGINEERS & CONTRACTORS

PHONE : 24148082  
E-MAIL : rjshah\_191@hotmail.com  
Regd. Office :  
NEAR WADALA CONTAINER YARD,  
MAHUL ROAD, ANTOP HILL,  
MUMBAI - 400 037. (INDIA)  
CIN NO. : L45202MH1957PLC010986  
GSTIN : 27AAACR2584D1ZI

Ref. No: R/064/2023

Date: 26<sup>TH</sup> April, 2023

To,

The Secretary,  
Stock Exchange,  
Mumbai - 400 023.

Dear Sir,

**Sub: Regulation 40 (9) of Securities and Exchange Board of India  
(Listing Obligations and Disclosure Requirements) Regulations, 2015  
("Listing Regulations")**

~~Scrp No. 500845~~

Please find enclosed herewith aforesaid Compliance Certificate Dated 25<sup>TH</sup> April, 2023 duly signed and issued by Bhumika & Co, Company Secretaries, Mumbai, and we request you to please take the same on record.

Thanking You,

Yours Faithfully,

**FOR R. J. SHAH & CO. LTD**

**KALINDI R. SHAH**  
MANAGING DIRECTOR  
DIN No. 00402482  
Address: 601-B, Simla House,  
Napeansea Road, Mumbai - 400036

Encl: As above

# CS BHUMIKA & CO.

Company Secretaries

B/618, Jaswanti Business Allied Centre,  
Off. Ramchandra Lane, Ext. Kachpada,  
Malad (W), Mumbai - 400 064

E-mail : bhumika.sidhpura@gmail.com  
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**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA  
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE  
YEAR ENDED 31<sup>ST</sup> MARCH, 2023.**

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to R J-SHAH AND COMPANY LIMITED maintained by Satellite Corporate Services Private Limited, Registrar and Share Transfer Agents (RTA), pertaining to issue of duplicate securities certificates, Claim from Unclaimed Suspense Account, Renewal/Exchange of securities certificates, Endorsement, Sub-division/Splitting of securities certificate, Consolidation or Securities certificates/folios, Transmission and Transposition for the period from 1<sup>st</sup> April 2022 to 31<sup>st</sup> March, 2023 in compliance with gazette notification No. SEBI/LAD-NRO/GN /2018/24 dated 08<sup>th</sup> June, 2018, SEBI's press release dated 03<sup>rd</sup> December, 2018, 27<sup>th</sup> March, 2019 and Gazette Notification no SEBI/LADNRO/GN/2022/66 dated 24<sup>th</sup> January, 2022 read with SEBI circular no SEBI/HO/MIRSD/MIRSD- RTAMB/P/CIR/2022/B dated 25<sup>th</sup> January, 2022 for the purpose of issuing

the certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015 and based on the information provided by the Company, I hereby certify that:

- A. During the period from 01<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023, no transfer deeds were lodged with Company/RTA and hence, the compliance of Regulation 40(9) read with circular SEBI/HO/MIRSD/RTAMB/CIR/P /2020/166 dated September 07, 2020 and SEBI/HO/MIRSD/RTAMB/ CIR/P /2020/236 dated 02<sup>nd</sup> December, 2020 did not arise for transfer of equity shares.
- B. During the period from 01<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023, RTA did not receive request for sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies, therefore the Company was not required to deliver the share certificates within 30 days from date of lodgment.

For Bhumika & Co.,  
Practicing Company Secretaries

Bhumika Sidhpura  
Proprietor  
A.C.S. 37321

Place: Mumbai  
Date: 25.04.2023  
Peer Review No: 1272/2021  
UDIN: A037321E000188164

