



**Excel Industries Ltd.**

Corporate & Registered Office



27<sup>th</sup> April, 2018

BSE Ltd.  
Listing Department,  
Pheeroze Jeejeebhoy Towers,  
Dalal Street,  
Fort,  
Mumbai-400 001

National Stock Exchange of India Ltd.  
Listing Department,  
Exchange Plaza,  
Bandra-Kurla Complex,  
Bandra (E),  
Mumbai-400 051

**Sub:** Regulation 40(9) – Certificate from Practicing Company Secretary

**Ref:** BSE Script Code: 500650; NSE Script Code: EXCELINDUS

Dear Sir,

Pursuant to Regulation 40(9) of the (Listing Obligation and Disclosure Requirements) Regulations 2015, please find enclosed Certificate received from Practicing Company Secretary for the period from 1<sup>st</sup> October, 2017 to 31<sup>st</sup> March, 2018 certifying that all the share certificates have been issued by the Company within 30 days from the lodgment for transfer, subdivision, consolidation, renewal, exchange or endorsements of calls/allotment monies.

Kindly take this on your record.

Thanking you

Yours faithfully,  
**For Excel Industries Limited**

**S K Singhvi**  
**Company Secretary**

Encl: As above





**PRASHANT DIWAN**

**B.Com, LL.B, FCS, AICWA**  
**Practicing Company Secretary**

**B-703/704, Anand Sagar**  
**M. G. Road, Kandivall (W), Mumbai 400067**

**CERTIFICATE UNDER REGULATION 40(9) OF THE**  
**SEBI (LISTING OBLIGATIONS & DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 31<sup>st</sup> MARCH, 2018**

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to M/s. Excel Industries Limited maintained by M/s. Link Intime India Private Limited pertaining to transfer of equity shares of the company for the period from 1<sup>st</sup> October, 2017 to 31<sup>st</sup> March, 2018 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, by, M/s. Excel Industries Limited and based on the information provided by the Company, I hereby certify that the Company has delivered following during the half year ended on 31<sup>st</sup> March, 2018.

- A) Share Certificate(s) relating to the registration of Share Transfers form(s) and Deletion of Name(s) received during the period from 1<sup>st</sup> October, 2017 to 31<sup>st</sup> March, 2018 as entered in the Memorandum of Transfers/ Share Inward Register have been issued within fifteen days from respective date of lodgment of each Form except those rejected on technical grounds.
- B) Share Certificate(s) relating to the registration of Share Transmission(s) received during the period from 1<sup>st</sup> October, 2017 to 31<sup>st</sup> March, 2018 as entered in the Memorandum of Share Inward Register have been issued within Twenty One days from respective date of lodgment of each Form except those rejected on technical grounds.
- C) Share Certificate(s) relating to request for the Consolidation & issue of Duplicate Share Certificate(s) during the period from 1<sup>st</sup> October, 2017 to 31<sup>st</sup> March, 2018 as entered in the Memorandum of Share Inward Register have been issued within Thirty days from respective date of lodgment.

Further, there were no requests received for registration of transposition, sub-division, renewal, split, re-mat, exchange of Share Certificates or endorsement of calls/allotment monies etc during half year period ended as on 31<sup>st</sup> March, 2018.

Further, pursuant to Section 124(6) of the Companies Act, 2013 and Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016, the Company had issued new share certificates in lieu of old share certificate for the purpose of transfer to IEPF with respect to 32,956 Equity Shares. Accordingly, the said Equity Shares were transferred to IEPF account

This certificate is issued for the six months period ended 31<sup>st</sup> March, 2018 pursuant Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015.



**CS PRASHANT DIWAN**  
**PRACTISING COMPANY SECRETARY**

Date: 26<sup>th</sup> April, 2018  
Place: Mumbai