



# SHARDUL SECURITIES LIMITED

CIN : L50100MH1985PLC036937

G 12, Tulsiani Chambers, Nariman Point, Mumbai - 400 021

Tel. : 91 22 4009 0500 Fax : 91 22 2284 6585

Email id : investors@shriyam.com Website : www.shardulsecurities.com

**Date:** 27th April 2021

To  
The Manager  
Department of Corporate Services  
The Stock Exchange, Mumbai  
P. J. Towers, Dalal Street  
Mumbai- 400 001.

Dear Sir,

**Re: Our Security Code No.512393**

**Sub: Certificate under regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half-year ended 31<sup>st</sup> March 2021.**

With reference to the captioned matter, please find enclosed for the half-year ended 31<sup>st</sup> March, 2021 Compliance Certificate pursuant to sub-regulation 9 of Regulation 40 of the SEBI Listing (Obligations and Disclosure Requirements) Regulations, 2015 received from M/s. Dharendra Maurya & Associates, Company Secretaries.

Kindly acknowledge the receipt and take the above on record.

Thanking you,

Yours faithfully,

**FOR SHARDUL SECURITIES LTD.**



**DAYA BHALIA**

**COMPANY SECRETARY & COMPLIANCE OFFICER**

Encl: As above

Dhirendra R. Maurya  
M.Com, ACS

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csmaurya.dhirendra@gmail.com  
☎ : 9819594742; off: 022 2814 9480

## Dhirendra Maurya & Associates Company Secretaries

### **CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LODR) REGULATIONS FOR THE HALF YEAR ENDED 31<sup>st</sup> MARCH 2021**

I have examined the relevant books and records of Link Intime India Pvt. Ltd., RTA of **Shardul Securities Limited** (CIN: L50100MH1985PLC036937) produced before me for the purpose of issuing the Certificate under sub-regulation 9 of Regulation 40 of the SEBI Listing (Obligations and Disclosure Requirements) Regulations, 2015 with the Mumbai Stock Exchange and based on my such examination as well as information and explanations to me which to the best of our knowledge and belief were necessary for the purposes of my certification, I hereby certify that in my opinion and according to the best of my information and belief the company has, in relation to the period ended 31<sup>st</sup> March 2021 delivered all certificates within the period stipulated under Listing Agreement from the date of lodgment for transfer, sub-division, consolidation, renewal, exchange of endorsement of calls/allotment monies.

Regards,  
Dhirendra Maurya & Associates  
Company Secretaries

  


Dhirendra R. Maurya  
Proprietor  
ACS: 22005, CP: 9594

UDIN: A022005C000182550

Place: Mumbai  
Date: 26<sup>th</sup> April 2021