

April 28, 2020

**The Manager**  
Listing Department  
National Stock Exchange of India Ltd  
Exchange Plaza, 5th Floor  
Plot No.C-1, Block G  
Bandra-Kurla Complex  
Bandra (E)  
Mumbai 400 051

**The Secretary**  
BSE Limited,  
25<sup>th</sup> Floor, Phiroze Jeejeebhoy Towers,  
Dalal Street, Fort,  
Mumbai 400 001

**Symbol : CHOLAHLDNG**

**Scrip Code : 504973**

Dear Sir/Madam,

**Sub: Compliance Certificate under Regulation 40(9 & 10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 – for the half year ended March 31, 2020**

Pursuant to Regulation 40(9 & 10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose a certificate from the Practicing Company Secretary for the period from October 1, 2019 to March 31, 2020.

We request you to take the above on record.

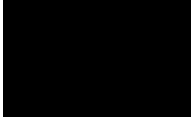
Thanking you,  
For **Cholamandalam Financial Holdings Limited**  
*(Formerly, TI Financial Holdings Limited)*

**E Krithika**  
**Company Secretary**

Encl: As above

**Cholamandalam Financial Holdings Limited**  
**(Formerly known as TI Financial Holdings Limited)**

Dare House, 234, N.S.C Bose Road, Chennai - 600 001, India Tel: 91.44.4217 7770-5  
Fax: 91.44.42110404 Website: www.cholafhl.com CIN -L65100TN1949PLC002905



27<sup>th</sup> April, 2020

**COMPLIANCE CERTIFICATE IN TERMS OF REGULATION 40 (9 & 10) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

For the period from **1<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020**

**ISIN: INE149A01033**

**SECURITY CODE: 504973**

The Securities and Exchange Board of India vide gazette notification dated 08<sup>th</sup> June, 2018 and its press release on 03<sup>rd</sup> December, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and mandated that the transfer of securities shall be carried out in dematerialized form only w.e.f. 01<sup>st</sup> April, 2019. Further SEBI vide its press release dated 27<sup>th</sup> March, 2019 clarified that the transfer deed(s) lodged prior to the above deadline and returned due to deficiency in the document(s) may be re-lodged for transfer even after the stipulated deadline of 01<sup>st</sup> April, 2019.

We have examined all Registers, files and other documents, relating to **Cholamandalam Financial Holdings Limited** (Formerly known as **TI Financial Holdings Limited**), having its Registered Office at "Dare House", 234, N.S.C. Bose Road, Chennai – 600001, maintained by its Registrar and Share Transfer Agent viz., **KFin Technologies Private Limited (Formerly known as Karvy Fintech Private Limited)**, having its office at Karvy Selenium Tower B, Plot 31-32, Gachibowli, Financial District, Nanakramguda, Hyderabad - 500 032 pertaining to transfer of equity shares of the Company for the period from **01<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020** for the purpose of issuing a Compliance Certificate under Regulation 40 (9 & 10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and Uniform Listing Agreement entered into by **Cholamandalam Financial Holdings Limited** with the **BSE Limited** and based on our such examination as well as information and explanations furnished to us which to the best of our knowledge and belief were necessary for the purposes of our certification, we hereby certify that in our opinion and according to the best of our information and belief, the Company during the half year ended **31<sup>st</sup> March, 2020** has not received any request(s) for Transfer, Deletion of name, Split and Consolidation of share certificate(s).

During the aforementioned period, **Cholamandalam Financial Holdings Limited** has received requests for Transmission of shares and Duplicate share certificates and the same have been delivered within the stipulated time period as stated in the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

**For R. SRIDHARAN & ASSOCIATES  
COMPANY SECRETARIES**

SRIDHAR AN   
Digitally signed by  
SRIDHARAN  
Date: 2020.04.27  
16:09:03 +05'30'

**CS R.SRIDHARAN  
C.P.No.3239  
FCS No.4775**

**UIN: S2003TN063400**

**UDIN: F004775B000181446**

**(This certificate is digitally signed)**