



KERNEX MICROSYSTEMS (INDIA) LTD.

(An ISO 9001:2015 Certified Company)

CIN : L30007TG1991PLC013211

Tel: +91 8414 – 667600

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Website : www.kernex.in
KMIL:SE:21:22:023



Registered Office :

'TECHNOPOLIS', Plot Nos.: 38-41,
Hardware Technology Park,
TSIIC Layout, Raviryal (V),
Hyderabad – 501 510. Telangana. India.
25th June'21

To The Listing / Compliance Department Bombay Stock Exchange Limited Phiroze Jeejeebhoy Towers Dalal Street Mumbai – 400 001 BSE Scrip Code: 532686	To The Listing / Compliance Department National Stock Exchange of India Ltd Plot No.C/1, G Block,Exchange Plaza Bandra – Kurla Complex, Bandra (E) Mumbai – 400 051 NSE Symbol: KERNEX
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Dear Sir/Madam,

Sub: Submission of Annual Secretarial Compliance Report for the year ended March 31, 2021

Pursuant to SEBI Circular CIR/CFD/CMD1/27/2019 dated February 8, 2019, we are submitting herewith the Annual Secretarial Compliance Report of the Company for the year ended March 31, 2021, issued by M/s.P.S.Rao & Associates, Practicing Company Secretaries.

Kindly take the same on record.

Thanking you,
Yours Faithfully,

For Kernex Microsystems (India) Limited

M B Narayana Raju
Whole-Time Director
DIN: 07993925





SECRETARIAL COMPLIANCE REPORT
OF
KERNEX MICROSYSTEMS (INDIA) LIMITED
FOR THE FINANCIAL YEAR ENDED 31st MARCH, 2021

We, **P.S. Rao & Associates**, Company Secretaries, have examined:

All the documents and records made available to us and the explanation provided by **Kernex Microsystems (India) Limited**, having its registered office at Plot No. 38 (part) to 4, Survey No. 1/1, Kancha Imarat, Raviryal village, Maheswaram Mandal, Hyderabad-500005 IN, hereinafter referred to as "**the listed entity**";

- (a) The filings/ submissions made by the listed entity to the Stock Exchanges;
- (b) Website of the listed entity; and
- (c) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended **31st March, 2021** ("01.04.2020 to 31.03.2021"), in respect of compliance with the provisions of:

- (a) The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the regulations, circulars and guidelines issued thereunder; and
- (b) The Securities Contracts (Regulation) Act, 1956 ("SCRA"), the rules made thereunder and the regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI")

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined include:

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018- *Not applicable to the listed entity during the audit period*;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018- *Not applicable to the listed entity during the audit period*;



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- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014- *Not applicable to the listed entity during the audit period;*
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008- *Not applicable to the listed entity during the audit period;*
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013- *Not applicable to the listed entity during the audit period;*
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) The Depositories Act, 1996;
- (j) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;
- (k) The Securities and Exchange Board of India (Registrars to an issue and Share Transfer Agents) Regulations, 1993

and based on the above examination, we hereby report that, during the Review Period;

- a. The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued thereunder;
- b. The listed entity has maintained proper records under the provisions of the above regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records;
- c. The following are the actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken e.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practising Company Secretary, if any
1	BSE Limited & National Stock Exchange of India Limited	Non-compliance with the constitution of stakeholder relationship committee.	BSE and NSE have imposed a basic fine of Rs.1,84,000/- and Rs.58,000/- respectively against the said violation.	The Company has provided necessary clarification informing that the violation triggered due to a typographical error occurred while submitting quarterly corporate governance. Revised Corporate



D. Rao

				Governance Report has been submitted.
2	--	--	--	Some of the Promoters/ Persons Acting in Concert (PACs) of the Promoters have not submitted the annual disclosures to the Stock Exchanges in terms of regulation 30 of the Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011



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d. The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practising Company Secretary in the previous reports	Observations made in the Secretarial Compliance Report for the year ended 31 st March, 2020	Actions taken by the listed entity, if any	Comments of the Practising Company Secretary on the action taken by the listed entity
	The Company has not achieved 100% of Promoters' and Promoters Group's shareholding in dematerialized form	Observation made in the Secretarial Compliance Report for the year ended 31 st March, 2020	The Company is pursuing the same but unable to get fulfil the condition as the promoters were not responded	Suggested to request the Promoter Group Persons to get dematerialized their shares.
Not Applicable				

**For P.S. Rao & Associates
Company Secretaries**



D.S. Rao
D.S. Rao
M.No.12394
C.P. No. 14487
UDIN: A012394C000515347

Place: Hyderabad
Date : 25/06/2021