

27th October, 2020

To, The Listing Manager, BSE Limited, P.J. Towers, Dalal Street, Mumbai - 400001 <u>Scrip Code: 500254</u>	To, The Listing Manager, The National Stock Exchange of India Limited Exchange Plaza, 5 th Floor, Plot No. C/1, G Block, Bandra Kurla Complex Bandra (East), Mumbai - 400 051 <u>SYMBOL: UVSL</u>
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Dear Sir/Madam,

Sub: Certificate under Regulation 40 (9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015.

Please find enclosed herewith a Certificate under Regulation 40(9) dated 27th October, 2020 issued by Practicing Company Secretary namely, Mr. Manish Gupta certifying compliance of Regulation 40 (9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 by the R & T Agent of the Company namely, M/s Bigshare Service Private Limited for the half year from 1st April, 2020 to 30th September, 2020.

Kindly take the same on your record.

Thanking you,
Yours truly,
For Uttam Value Steels Limited

Sd/-
Ram Gaud
Associate Vice President & Company Secretary

Encl: As above

Note: As permitted, this compliance is being submitted under "Sd/-" mode pursuant to guidelines issued by both the stock exchanges for submissions of compliances in the wake of outbreak of the COVID pandemic.

To,
The Board of Directors,
UTTAM VALUE STEELS LIMITED
4th Floor, Uttam House, 69,
P. D'Mello Road, Mumbai - 400009

**CERTIFICATE UNDER CLAUSE 40(9) OF THE SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE HALF YEAR ENDED 30th September, 2020

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **UTTAM VALUE STEELS LIMITED** (the Company) maintained by **BIGSHARE SERVICES PRIVATE LIMITED**, Company's Registrar & transfer Agents, pertaining to transfer of equity shares of the Company for the period from **1st April, 2020 to 30th September, 2020** for the purpose of issuing a Certificate as per Clause 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 entered into by the Company with National Stock Exchange of India Limited and BSE Limited and based on the information provided by the Company, I hereby certify that the Company has delivered in respect of the half year ended **30th September, 2020**:

- a) The Company has not received any request relating to the transfer of shares during the period from **1st April, 2020 to 30th September, 2020**; and
- b) The Company has neither issued any debenture nor carried out any activity such as sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies, during the period under consideration, hence information in this regards could not commented.

For VKMG & Associates LLP
Company Secretaries
FRN: L2019MH005300

MANISH R GUPTA
Digitally signed
by MANISH R
GUPTA
Date: 2020.10.27
13:07:24 +05'30'

Manish Gupta
Partner
ACS-43802, CP-16067
Place: Mumbai
Date : 27-10-2020
UDIN: A043802B001068641