



LOHIA SECURITIES LTD.

- MEMBER : NSE, BSE, MCX-SX, USE, CSE
- DEPOSITORY PARTICIPANT : NSDL, CDSL



Regd. Office : 4, Biplabi Trailokya Maharaj Sarani, (Brabourne Road), 5th Floor, Kolkata - 700 001
Phone : +91 4002 6600 / 6700, Fax : +91-4002 6800 Website : www.lohiasecurities.com
Email : info@lohiasecurities.com Complaint : grievance@lohiasecurities.com

CIN No. L67120WB1995PLC067195

April 28, 2020

The Secretary
The Calcutta Stock Exchange Ltd.
7 Lyons Range
Kolkata 700 001

Dear Sir,


Sub: Submission of Compliance Certificate under Regulation 40(10) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015

Re: ISIN:INE803B01017

Enclosed please find a Certificate for compliance of Clause 40 (9) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 issued by M/s. Rajesh Kumar Mishra & Co., Company Secretaries, for the half year ended 31st March, 2020.

Please acknowledge receipt and oblige.

Yours faithfully,
For Lohia Securities Limited


Narendra Kumar Rai
Company Secretary
Membership No. F2879



Encl: As stated above

Institutional Dealing Office :

1602-B, Lady Ratan Tower, 72, Dalal Shikhar Marg, Gandhi Nagar, Worli, Mumbai - 400 018
Phone : +91 22 2261 0552/0562, 2492 4449/4452, 2490 1308, Fax : +91 22 2490 1310

RAJESH KUMAR MISHRA & CO.

Company Secretaries

36, ABHAY GUHA ROAD,

2ND FLOOR, BLOCK A, ROOM NO.203

LILUAH – 711204

PH.: 98316 67070

E- MAIL: mcarkm@gmail.com

CERTIFICATE
For the Half Year Ended 31.03.2020

I have examined all share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents in respect of M/s Lohia Securities Ltd., Kolkata maintained by M/s Niche Technologies Private Limited, Kolkata pertaining to transfer of Equity Shares of the company during the period from 01.10.2019 to 31.03.2020 for the purpose of issuing a Certificate as per Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Based on my examination, I hereby certify that all certificates have been issued within thirty days of the date of lodgement for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during half year ended 31.03.2020.

For M/s. Rajesh Kumar Mishra & Co.

Practising Company Secretaries



For RAJESH KUMAR MISHRA & CO.
Practising Company Secretary

Proprietor
CP 5030

RAJESH KUMAR MISHRA

Proprietor

C. P. No.: 5030

Udin-F005622B000183657

Liluah, the 28th April, 2020