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HINDUSTHAN URBAN INFRASTRUCTURE LIMITED

CIN : L31300DL1959PLC003141

Regd. Office : Kanchenjunga (7th Floor) 18, Barakhamba Road, New Delhi-110001Phone : +91-11-23310001-05 (3 Lines), Te-mail : info@hindusthanurban.com Website : www.hindusthanurban.com29th May, 2023

To,
The Listing Department,
BSE Limited,
Phiroze Jeejeebhoy Towers
Dalal Street, Mumbai- 400001

Scrip Code: 539984

Sub: Submission of Annual Secretarial Compliance Report for the financial year ended March 31, 2023

Ref:- Disclosure under Regulation 24A (2) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 "Listing Regulations"

Dear Sir/Madam,

Pursuant to the provisions of Regulation 24A (2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 8, 2019 and BSE Notice No. 20230316-14 dated March 16, 2023, we are enclosing herewith the Annual Secretarial Compliance Report for the financial year ended 31st March, 2023 issued by M/s. Agrawal Manish Kumar & Co., Practicing Company Secretary.

The disclosure is also being disseminated on the Company's website at www.hindusthanurban.com

You are requested to take the above information in your records.

Thanking You,

Yours Faithfully,

For Hindusthan Urban Infrastructure Limited
M.L. Birmiwala**President - Finance & Secretary**

Encl: As above

Bhubaneshwar : Plot No. 321, 325/1386, Village Champajhara, P.O. Malipada, District Khurda-752018, (Odisha) Phone : +91-6755-245244, 266, 299 (3 Lines)**Works****Guwahati** : Plot No. 1C, Brahmaputra Industrial Park, Vill.: Silla, P.O. College Nagar, North Guwahati, Distt. Kamrup-781 031 (Assam), Phone : +91-361-21305**Gwalior** : Industrial Area, P.O. Birla Nagar, Gwalior-474 004 (M.P.), Phone : +91-751-2421195**Insulators & Electricals Company** : 1-8, New Industrial area PB No.1, Mandideep-462045 (M.P.) Phone : +91 7480 350800, 350825, 350804

**ANNUAL SECRETARIAL COMPLIANCE REPORT OF HINDUSTHAN URBAN
INFRASTRUCTURE LIMITED - FOR THE FINANCIAL YEAR ENDED ON 31ST
MARCH 2023**

[Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular no CIR/CFD/CMD1/27/2019 dated February 08, 2019, Circular no. SEBI/HO/MRD2/MRD2_0DAP/P/OW/2022/24621/1 dated June 15, 2022 and NSE Circular No. NSE/CML/ 2023/21 dated March 16, 2023 and NSE Circular No. NSE/CML/2023/30 dated April 10, 2023]

I have conducted the review of the compliance of the applicable statutory provisions and the adherence to good corporate practices by **Hindusthan Urban Infrastructure Limited** (hereinafter referred as 'The Listed Entity'), having its Registered office at 7th Floor, Kanchenjunga Building, 18, Barakhamba Road, New Delhi-110001. Secretarial Review was conducted in a manner that provided me a reasonable basis for evaluating the corporate conducts/statutory compliances and to provide my observations thereon.

Based on my verification of the listed entity's books, papers, minutes books, forms and returns filed and other records maintained by the listed entity and also the information provided by the listed entity, its officers, agents and authorized representatives during the conduct of Secretarial Review, I have observed that the listed entity has complied with

the applicable provisions of the Companies Act, 2013 and the Securities Contracts (Regulation) Act, 1956 and the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and the NSE Listing Agreement entered into with the National Stock Exchange of India Limited.

For Secretarial Review

HINDUSTHAN URBAN INFRASTRUCTURE LIMITED

(A Public Limited Company)

Registered Office: 7th Floor, Kanchenjunga Building, 18, Barakhamba Road, New Delhi-110001

CIN: U47100DL2015PLC000000

Director's Designation: Director

Director's Name: Mr. Manish Kumar

Director's Address: 206 & 207, 2nd Floor, Chanakya Complex, B-10, Subhash Chowk Street, Laxmi Nagar, Delhi-92

Director's Email: mkagrawal.cs@gmail.com



- A. The Securities and Exchange Board of India Act, 1992 ('SEBI Act') and the Regulations, Circular, Guidelines issued thereunder and;
- B. The Securities Contracts (Regulation) Act, 1956 ('SCRA') and the rules made there under and the Regulations, Circular, Guidelines issued thereunder by the Securities & Exchange Board of India (SEBI);

The specific Regulations whose provisions and the Circulars/Guidelines issued thereunder have been examined, includes -

- a) The Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **(Not Applicable during the review period)**
- c) The Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **(Not Applicable during the review period)**
- e) The Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- f) The Securities and Exchange Board of India (Share Based Employees Benefits) Regulations, 2014; **(Not Applicable during the review period)**
- g) The Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021 and The Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(Not Applicable during the review period)**
- h) The Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **(Not Applicable during the review period)**



- i) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993, regarding the Companies Act and dealing with client to the extent of securities issued;
- j) The Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2009; **(Not Applicable during the review period)**
- k) The Depositories Act, 1996 and the Regulations and Bye-laws framed thereunder to the extend of Regulation 76 of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.
- l) The Securities and Exchange Board of India (Investor Protection and Education Fund) Regulations, 2009;
- m) The Securities and Exchange Board of India (Debenture Trustees) Regulations, 1993; **(Not Applicable during the review period)**

I have examined the compliance of above regulations/circulars/ guidelines issued thereunder, as applicable during the Review Period and based on the above examinations, I hereby report that, during the Review Period:

- a) The listed entity has complied with the provisions of the above Regulations and circulars /guidelines issued thereunder, except in respect of matters specified below:

- Refer Annexure "A" annexed to the Report

- b) The listed entity has taken the following actions to comply with the observations made in previous reports:

- Refer Annexure "B" annexed to the Report

(II) I further report that –

- The Company has complied with the requirements of Structural Digital Data Base in terms of Securities & Exchange Board of India (Prohibition of Insider Trading) Regulation, 2015 including various Circulars issued by SEBI thereunder and Circular(s) issued by BSE Limited dated March 16, 2023.



- Compliances related to resignation of statutory auditors from listed entities and their material subsidiaries as per SEBI Circular CIR/CFD/CMD1/114/2019 dated 18th October, 2019:

Sr. No.	Particulars	Compliance Status (Yes/No/NA)	Observations/Remarks by PCS*
1.	Compliances with the following conditions while appointing/re-appointing an auditor		
	i. If the auditor has resigned within 45 days from the end of a quarter of a financial year, the auditor before such resignation, has issued the limited review/ audit report for such quarter; or	NA	NA
	ii. If the auditor has resigned after 45 days from the end of a quarter of a financial year, the auditor before such resignation, has issued the limited review/ audit report for such quarter as well as the next quarter; or	NA	
	iii. If the auditor has signed the limited review/ audit report for the first three quarters of a financial year, the auditor before such resignation, has issued the limited review/ audit report for the last quarter of such financial year as well as the audit report for such financial year.	N/A	
2.	Other conditions relating to resignation of statutory auditor		
	Reporting of concerns by Auditor with respect to the listed entity/its material subsidiary to the Audit Committee:	NA	NA
	a. In case of any concern with the management of the listed entity/material subsidiary such as non-availability of information / noncooperation by the management which has hampered the audit process, the auditor has approached the Chairman of the Audit Committee of the listed entity and the Audit Committee shall receive such concern directly and immediately without specifically waiting for the quarterly Audit Committee meetings.	NA	
	b. In case the auditor proposes to resign, all concerns with respect to the proposed resignation, along with relevant documents has been brought to	NA	

	the notice of the Audit Committee. In cases where the proposed resignation is due to non-receipt of information / explanation from the company, the auditor has informed the Audit Committee the details of information/ explanation sought and not provided by the management, as applicable.		
	c. The Audit Committee / Board of Directors, as the case may be, deliberated on the matter on receipt of such information from the auditor relating to the proposal to resign as mentioned above and communicate its views to the management and the auditor	NA	
	ii. Disclaimer in case of non-receipt of information: The auditor has provided an appropriate disclaimer in the audit report.	NA	



Sr. No.	Particulars	Compliance Status (Yes/ No/ NA)	Observations / Remarks by PCS*
1.	Secretarial Standards: The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India (ICSI)	Yes	Not Any
2.	Adoption and timely updation of the Policies: • All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entities. • All the policies are in conformity with SEBI Regulations and has been reviewed & timely updated as per the regulatory requirements/ guidelines issued by SEBI.	Yes Yes	Not Any Not Any
3.	Maintenance and disclosures on Website: • The listed entity is maintaining a functional website. • Timely dissemination of the documents/ information under a separate section on the website. • Web-links provided in annual corporate governance reports under Regulation 20(2) are accurate and specific which re-	Yes Yes Yes	Not Any Not Any Not Any

Company Secretary of the company

(Signature of the Company Secretary)

(Name of the Company Secretary)

(Designation of the Company Secretary)

(Date of the Report)

(Place of the Report)

(Signature of the Company Secretary)

(Name of the Company Secretary)

(Designation of the Company Secretary)

(Date of the Report)

(Place of the Report)

(Signature of the Company Secretary)

(Name of the Company Secretary)

	subsidiary companies b) Requirements with respect to disclosure of material as well as other subsidiaries	Yes	subsidiary Named Hindusthan Speciality Chemicals Limited
6.	Preservation of Documents: The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI LODR Regulations, 2015.	Yes	Not Any
7.	Performance Evaluation: The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year as prescribed in SEBI Regulations	Yes	Not Any
8.	Related Party Transactions: a) The listed entity has obtained prior approval of Audit Committee for all Related party transactions b) In case no prior approval obtained, the listed entity shall provide detailed reasons along with confirmation whether the transactions were subsequently approved/ratified/ rejected by the Audit committee	Yes	Not Any
		No such Case	Not Any
9.	Disclosure of events or information: The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.	Yes	Not Any
10.	Prohibition of Insider Trading: The listed entity is in compliance with Regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015	Yes	Not Any



11.	Actions taken by SEBI or Stock Exchange(s), if any: No Actions taken against the listed entity/ its promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder	Yes	Not Any
12.	Additional Non-compliances, if any: No any additional non-compliance observed for all SEBI regulation/circular/ guidance note etc.	N.A.	Not Any

Assumptions & Limitation of scope and Review:

1. Compliance of the applicable laws and ensuring the authenticity of documents and information furnished, are the responsibilities of the management of the listed entity.
2. Our responsibility is to report based upon our examination of relevant documents and information. ~~This is neither an audit nor an expression of opinion.~~
3. We have not verified the correctness and appropriateness of financial Records and Books of Accounts of the listed entity.
4. This Report is solely for the intended purpose of compliance in terms of Regulation 24A (2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and is neither an assurance as to the future viability of the listed entity nor of the efficacy or effectiveness with which the management has conducted the affairs of the listed entity.

Place: New Delhi
Date: 29/05/2023



**For AGRAWAL MANISH KUMAR & CO
COMPANY SECRETARIES**

MANISH KUMAR AGRAWAL
(Proprietor)

Membership Number – F-9528, C.P. NO. 7057
UDIN- F009528E000407191

Annexure “A”

The listed entity has complied with the provisions of the above regulations and circulars/guidelines issued thereunder, except in respect of matters specified below: -

Compliance Requirement (Regulations/Circulars/guidelines including specific clause)	Regulation /Circular No.	deviations	Action taken by	Type of Action (Advisory/Clarification/Fine/Show Cause Notice/ Warning , etc.)	Details of Violation	Fine Amount	Observations/ Remarks of the Practicing Company)	Management Response
Not Any	N.A.	Not Any	Not Any	Not Any	Not Any	Nil	Not Any	Not Applicable

Annexure “B”

The listed entity has taken the following actions to comply with the observations made in previous reports:-

Compliance Requirement (Regulations/Circulars/guidelines including specific clause)	Regulation/Circular No.	deviations	Action taken by	Type of Action (Advisory/Clarification/Fine/Show Cause Notice/Warning, etc.)	Details of Violation	Fine Amount	Observations/Remarks of the Practicing Company)
Not Any	N.A.	Not Any	Not Any	Not Any	Not Any	Nil	Not Any

