



AuSom Enterprise Limited

Ref No: - AEL/SEC/STOCK/2025-26
Date: 29/05/2025

To,
The Manager, DCS-CRD
Corporate Relationship Department,
BSE Limited,
Phiroze Jeejeebhoy Tower,
Dalal Street, Mumbai- 400 001

To,
The Listing Department, 5th Floor,
National Stock Exchange of India Limited,
"Exchange Plaza",
Bandra-Kurla Complex,
Bandra (East), Mumbai-400 051.

SECURITY CODE: 509009 || SECURITY ID: AUSOMENT || ISIN: INE218C01016 || SERIES: EQ

Dear Sir/Madam,

Sub.- Annual Secretarial Compliance Report for the financial year ended 31st March, 2025

Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, read with Securities and Exchange Board of India circular no. CIR/CFD/CMD1/27/2019 dated February 08, 2019. Please find enclosed Annual Secretarial Compliance Report issued by CS Niraj Trivedi, Practicing Company Secretary, for the financial year ended 31st March, 2025.

Kindly take the above on records.

Thanking you,
For, AuSom Enterprise Limited



Ravikumar Pasi
Company Secretary &
Compliance Officer



Encl: a/a

Corporate Office : Zaveri House, Fourth Floor, Sanidhya, Opp. Planet Landmark Hotel,
Bopal - Ambli Road, Ahmedabad - 380 058, Gujarat, INDIA Phone : +91 79 2642 1422-1499
E-mail : ausom.ael@gmail.com • Website : www.ausom.in

Registered Office : 11-B, New Ahmedabad Industrial Estate,
Sarkhej-Bavla Road, Village - Moraiya - 382 213.
Dist. Ahmedabad, Gujarat, INDIA • CIN : L67190GJ1984PLC006746

SECRETARIAL COMPLIANCE REPORT

OF

AUSOM ENTERPRISE LIMITED

(CIN: L67190GJ1984PLC006746)

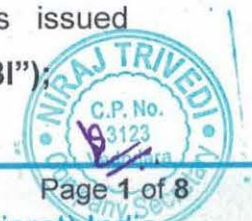
FOR THE FINANCIAL YEAR ENDED 31ST MARCH 2025

I, Niraj Trivedi, Practicing Company Secretaries have examined: -

- (a) All the documents and records made available to me and explanation provided by **AUSOM ENTERPRISE LIMITED** ("The Listed Entity"),
- (b) The filings / submissions made by the Listed Entity to the Stock Exchanges,
- (c) Website of the Listed Entity, and
- (d) Any other document/ filing, as may be relevant, which has been relied upon to make this report.

for the financial year ended on 31st March, 2025 ("Review Period") in respect of compliance with the provisions of: -

- (a) The Securities and Exchange Board of India Act, 1992 ("**SEBI Act**") and the Regulations, Circulars, Guidelines issued thereunder; and
- (b) The Securities Contracts (Regulation) Act, 1956 ("**SCRA**"), Rules made thereunder, and the Regulations, Circulars and Guidelines issued thereunder by the Securities and Exchange Board of India ("**SEBI**").



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Website : www.nirajtrivedi-cs.com E-mail : office@nirajtrivedi-cs.com, csneerajtrivedi@gmail.com

The specific regulations, whose provisions and the Circulars/ Guidelines issued thereunder, have been examined, include: -

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015; as amended from time to time;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; as amended from time to time- **Not Applicable for review period;**
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; as amended from time to time;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 – **Not Applicable for review period;**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021 – **Not Applicable for review period;**
- (f) Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021; as amended from time to time – **Not Applicable for review period;**
- (g) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; as amended from time to time
- (h) Securities and Exchange Board of India (Registrars to an issue and Share Transfer Agents) Regulation, 1993; as amended from time to time;
- (i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018; as amended from time to time

and circulars / guidelines issued thereunder;



and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Regulation/ Circular No.	Deviations	Action Taken by	Type of Action	Details of Violation	Fine Amount	Observations/ Remarks of the Practicing Company Secretary (PCS)	Management Response	Remarks
Not Applicable										

- (b) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations/ Remarks of the Practicing Company Secretary (PCS) in the previous reports)	Observations made in the Secretarial Compliance report for the year ended 31 st March 2024	Compliance requirement (Regulations/ circulars/ guidelines including specific clause)	Details of violation / Deviations and actions taken /penalty imposed, if any, on the listed entity	Remedial actions, if any, taken by the listed entity	Comments of the PCS on the actions taken by the listed entity
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01	Delay in entering data in Structural Digital Database (SDD)	The Company started entering data in Structural Digital Database (SDD) from May, 2024.	Reg. 3(5) of SEBI PIT Regulations, the board or head(s) of the organization to handle unpublished price sensitive information and to ensure that a structured digital database is maintained	Delay in entering data in SDD.	Started entering entry in SDD from May, 2024	From May, 2024, company started maintaining SDD.
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I. I hereby report that, during the review period the compliance status of the listed entity with the following requirements:

Sr. No.	Particulars	Compliance status (Yes/No/NA)	Observations/Remarks by PCS*
1.	<p>Secretarial Standards:</p> <p>The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India (ICSI).</p>	Yes	None
2.	<p>Adoption and timely updation of the Policies:</p> <ul style="list-style-type: none"> • All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entities. • All the policies are in conformity with SEBI Regulations and have been reviewed & updated on time, as per the regulations/circulars/ guidelines issued by SEBI 	Yes Yes	None None

3.	<p>Maintenance and disclosure on Website:</p> <ul style="list-style-type: none"> The Listed Entity is maintaining a functional website. Timely dissemination of the documents/information under a Separate Section on the website. Web-links provided in annual corporate governance reports under Regulation 27 (2) are accurate and specific which redirects to the relevant documents(s)/section of the website. 	<p>Yes</p> <p>Yes</p> <p>Yes</p>	<p>None</p> <p>None</p> <p>None</p>
4.	<p>Disqualification of Director(s):</p> <p>None of the Director(s) of the listed entity are disqualified under Section 164 of the Companies Act, 2013as confirmed by the listed entity.</p>	<p>Yes</p>	<p>None</p>
5.	<p>Details related to Subsidiaries of listed entities have been examined w.r.t:</p> <p>(a) Identification of material subsidiary companies.</p> <p>(b) Disclosure Requirement of material as well as other subsidiaries.</p>	<p>NA</p> <p>Yes</p>	<p>The Company does not have any material subsidiary.</p> <p>None</p>
6.	<p>Preservation of Documents:</p> <p>The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival Policy prescribed under SEBI (LODR) Regulations, 2015.</p>	<p>Yes</p>	<p>None</p>



	<p>SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder (or)</p> <p>The actions taken against the listed entity/ its promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges are specified in the last column.</p>		
12.	<p>Resignation of statutory auditors from the listed entity or its material subsidiaries</p> <p>In case of resignation of statutory auditor from the listed entity or any of its material subsidiaries during the financial year, the listed entity and / or its material subsidiary(ies) has / have complied with paragraph 6.1 and 6.2 of section V-D of chapter V of the Master Circular on compliance with the provisions of the LODR Regulations by listed entities.</p>	NA	No resignation of statutory auditors from the Company during the audit period.
13.	<p>Additional Non-compliances, if any:</p> <p>No additional non-compliance observed for any of the SEBI regulation/circular/guidance note, etc. except as reported above.</p>	NA	No additional non-compliances observed.

We further, report that the listed entity is in compliance/ not in compliance with the disclosure requirements of Employee Benefit Scheme Documents in terms of regulation 46(2) (za) of the LODR Regulations.: Not Applicable

Assumptions and Limitation of Scope and Review:

1. Compliance of the applicable laws and ensuring the authenticity of documents and information furnished, are the responsibilities of the management of the listed entity.



2. My responsibility is to report based upon our examination of relevant documents and information. This is neither an audit nor an expression of opinion.
3. I have not verified the correctness and appropriateness of financial Records and Books of Accounts of the listed entity.
4. This Report is solely for the intended purpose of compliance in terms of Regulation 24A (2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and is neither an assurance as to the future viability of the listed entity nor of the efficacy or effectiveness with which the management has conducted the affairs of the listed entity.



A handwritten signature in blue ink, appearing to read "Niraj Trivedi", written over a horizontal line.

DATE : 29TH MAY 2025
PLACE : VADODARA

SIGNATURE :
NAME OF PCS : NIRAJ TRIVEDI
C. P. NO. : 3123
FCS : 3844
P R. No. : 1014/2020
UDIN : F003844G000483257