

SWARNA SECURITIES LIMITED

(CIN: L52520AP1990PLC011031)

Ph: 2575928

13/05/2025

To
Listing Compliance Department
BSE Limited, P J Towers, Dalal Street
Mumbai -400001, India.

Dear Sir / Madam,

Sub: Non-Applicability certificate of Corporate Governance report for the quarter and year ended 31st March, 2025 and Non applicability of Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) (Amendment) Regulations, 2018 in view of claiming exemption under Regulation 15(2) of SEBI (LODR) Regulations, 2015.

Ref: 1. SWARNA SECURITIES LTD – 531003
2. Your advisory to resubmit vide email dated Fri, 09 May 2025 13:13:36

We the undersigned hereby certify that our company having paid up equity share capital of Rs. 3,00,00,000/- which is not exceeding Rupees Ten crore and Net worth of Rs. 5,75,99,757/- which is not exceeding Rupees Twenty-Five crore, as on the last day of the previous financial year i.e. 2023-2024. Therefore, we hereby claim exemption under Regulation 15(2) of SEBI (LODR) Regulations, 2015 and consequently Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) (Amendment) Regulations, 2018 shall not be applicable to us for the quarter and year ended 31st March, 2025.

Please treat this as non-applicability certificate of Corporate Governance report for the quarter and year ended 31st March, 2025. Kindly also treat the same as disclosure under Regulation 30, 24A and 15(2) of SEBI (LODR) Regulations, 2015 and all other applicable Regulations of SEBI (LODR) Regulations as amended from time to time related to Corporate Governance.

Further as per Section II-B of SEBI Master Circular SEBI/HO/CFD/PoD2/CIR/P/2023/120 dated July 11, 2023, the Company hereby attached a non-applicability certificate to the effect, duly certified by a Practicing Company Secretary illustrating the paid-up equity capital and net worth of the Company for the previous three financial years i.e. 2022, 2023 & 2024.

Thanking you,

For **Swarna Securities Limited**

ANIL KUMAR
MOOLA

Digitally signed by ANIL
KUMAR MOOLA
Date: 2025.05.13 17:22:40
+05'30'

M Anil Kumar
Company Secretary & Compliance Officer
Membership No: A27989

II FLOOR, SWARNALOK COMPLEX, GOVERNORPET, VIJAYAWADA - 520002, AP, INDIA.

E-Mail: swarnasecurities@rediffmail.com

Website: www.Swarnasecurities.com

M/s. Ganga Anil Kumar & Associates
Practicing Company Secretaries



To

**The Board of Directors
Swarna Securities Ltd.
II Floor, Swarnalok Complex,
Governorpet, Vijayawada – 520002
Andhra Pradesh**

To

**Listing Compliance Department
BSE Limited
P J Towers, Dalal Street
Mumbai -400001, India.**

Dear Sir / Madam,

Sub: Practicing Company Secretary's Certificate pursuant to Regulation 15 (2) of SEBI (LODR) Regulations, 2015.

1. This report is issued in accordance with the terms of our engagement. We have been requested by the management of **Swarna Securities Ltd** (hereafter referred to as "**the company**") to issue a certificate certifying the paid-up capital, net worth and exemptions available pursuant to Regulation 15 (2) of SEBI (LODR) Regulations, 2015.
2. Accordingly, we hereby certify that Swarna Securities Ltd. having paid up equity share capital not exceeding Rs. 10.00 Crore (Indian Rupees Ten Crore) and Net worth not exceeding Rs. 25.00 Crore (India Rupees Twenty-Five Crore), as on the last day of any of the previous three financial years as mentioned in the following table. Therefore, we hereby certify that the exemptions under Regulation 15(2) of SEBI (LODR) Regulations, 2015 for the following financial years to the extent applicable are available to the Company.

S. No	FY	Paid-up Capital	Reserves & Surplus	Net worth
01	21-22	3,00,00,000	1,33,28,242	4,33,28,242
02	22-23	3,00,00,000	2,04,48,588	5,04,48,588
03	23-24	3,00,00,000	2,75,99,757	5,75,99,757

3. We further certify that in view of the above exemptions available to the Company, the compliance with the corporate governance provisions as specified in Regulation 17 to 27 and Clauses (b) to (i) and (t) of Sub-Regulation (2) of regulation 46 and Para C D and E of Schedule V *shall not be applicable to the Company* for the above stated financial years to the extent applicable.

Reg. Office: 3-548, 1st Floor, Javahar Vidya Nikethan School Building, Undavalli Centre,
Tadepalli, Amaravati, Andhra Pradesh – 522501, India
anilkumar@ananyalegal.com | +91 8008299901

M/s. Ganga Anil Kumar & Associates
Practicing Company Secretaries



Restriction on use:

4. The certificate is addressed to the management and BSE Limited, for the purpose of the use of the same for claiming exemption of compliances with the corporate governance provisions as specified in Regulation 17 to 27 and Clauses (b) to (i) and (t) of Sub- Regulation (2) of regulation 46 and Para C D and E of Schedule V and should not be used for any other purpose.

Accordingly, we don't accept or assume any liability or duty of care to any other person to whom this certificate is shown or in to whose hands it may come without our prior consent in writing.

For Ganga Anil Kumar & Associates
Practicing Company Secretaries
FRN- S2023AP952200

ANIL KUMAR
GANGA

Digitally signed by
ANIL KUMAR GANGA
Date: 2025.05.13
17:16:42 +05'30'

Ganga Anil Kumar, Proprietor
M No: F11250 | CP No: 26347
UDIN: F011250G000332043
Peer Review Unique Code - I2022AP2433800

Date: 13/05/2025
Place: Amaravati

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